

## REVIEW

# Developing bioimaging and quantitative methods to study 3D genome

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**The recent advances in chromosome configuration capture (3C)-based series molecular methods and optical super-resolution (SR) techniques offer powerful tools to investigate three dimensional (3D) genomic structure in prokaryotic and eukaryotic cell nucleus. In this review, we focus on the progress during the last decade in this exciting field. Here we at first introduce briefly genome organization at chromosome, domain and sub-domain level, respectively; then we provide a short introduction to various super-resolution microscopy techniques which can be employed to detect genome 3D structure. We also reviewed the progress of quantitative and visualization tools to evaluate and visualize chromatin interactions in 3D genome derived from Hi-C data. We end up with the discussion that imaging methods and 3C-based molecular methods are not mutually exclusive — actually they are complementary to each other and can be combined together to study 3D genome organization.**

**Keywords:** 3D Genome; quantitative methods; bioimaging; super resolution

## INTRODUCTION

In eukaryotic nucleus, the organization of chromatin is very complex. Highly condensed chromatin and huge numbers of components, such as transcription factors, chromatin proteins and RNA-processing factors, cram together in a very small volume. Essential nuclear processes such as transcription, replication, and repair occur at spatially defined locations in the nucleus. Accumulating evidence suggests that the expression of many genes is correlated with their positions in cell nucleus, and the spatial organization of chromatins has played essential role in regulating transcriptional activity [1]. How these could be done is one of the greatest challenges in molecular biology.

DNA helix is hierarchically packaged into chromatin in an elegant way, and eventually form a chromosome in the

eukaryotic nucleus over several levels of higher-order structures [1], indicating that a range of microscopy techniques with spatial and temporal scales that cover several orders of magnitude are necessary to understand the organization principles at different levels in a chromosome. For example, the structure of nucleosomes, the fundamental organization unit of chromatin, has been elucidated by X-ray crystals at nanometer scale [2]. The structure of “beads-on-a-string” fiber, which has a diameter of 11-nm and is the very first level of chromatin organization, is also well-understood through Electron Microscope [33]. However, substructure at the scale of 10–200 nm is poorly understood, especially in live cells, partly due to the requirement of biological system that the dynamics, process and function in biology need to be measured with high spatial (approximately nanometer) and temporal (approximately microsecond to millisecond)

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resolution, partly due to the physical limitations of electron microscopy with no temporal resolution at all and standard optical microscopy with spatial resolution at 200 nm because of light diffraction, and partly due to the mutually exclusive nature of temporal and spatial resolution, as high spatial resolution is normally achieved only at long measurement time and thus lowers temporal resolution, in order to obtain high signal-to-noise ratio (SNR). For example, there is still debate about the existence of the 30 nm chromatin fiber *in vivo* [3,4]. Despite considerable efforts from researchers during the past three decades, there are still a lot of discussion and debate on the higher-order levels of chromatin structure, such that the structure of 30-nm chromatin fiber is supposed to be the second structural level of chromatin organization [3].

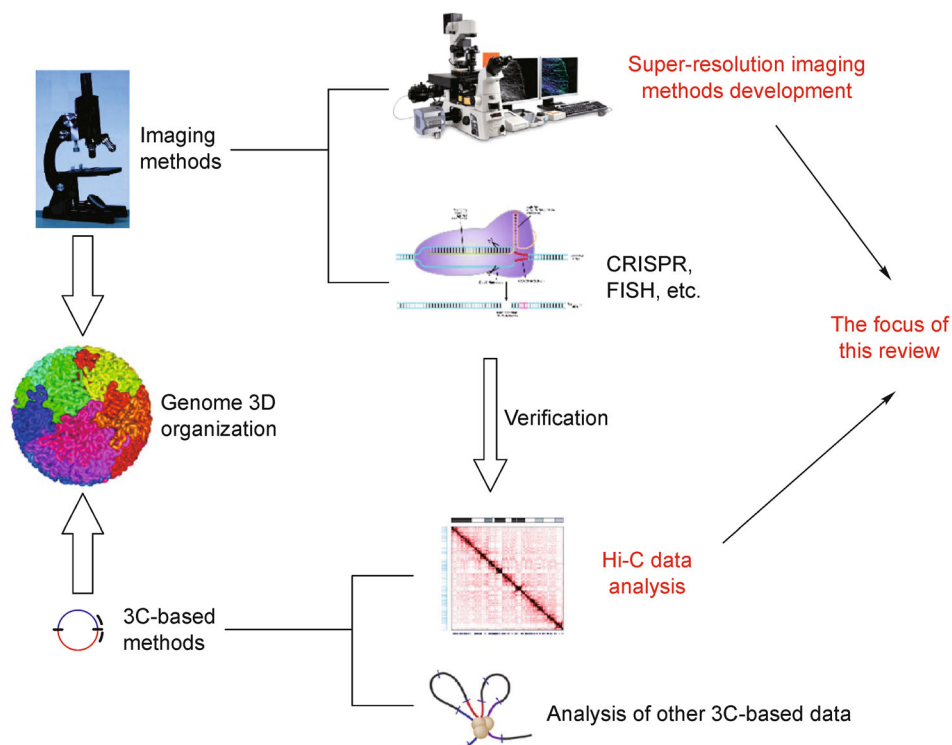
Fortunately, over the last decades, various super-resolution (SR) techniques with subdiffraction spatial resolution of 10–100 nm have been developed (for recent reviews of super-resolution techniques, see [5,6]), in order to fulfill the special requirements in biological system. Super-resolution is achieved through On-Off modulation mechanism, either in a targeted fashion such as Saturation-structured illumination microscopy (SSIM), stimulated emission depletion (STED) or in a stochastic fashion such as photoactivated localization microscopy

((f)PALM) or stochastic optical reconstruction microscopy (STORM). Super-resolution allows biological investigators to evaluate the location of specific genes or proteins at a precision that was never reached before (For example, the SR imaging of telomere loop [7]).

On the other hand, high-throughput molecular mapping assays derived from chromosome conformation capture (3C) [8] have been developed in parallel during the last decade. 3C-based molecular methods employ cross-linking and intramolecular DNA ligation to investigate the spatial interactions and relationship of different loci and even whole genomes. Among these approaches, Hi-C interrogates all possible cross-linked contacts at acceptable resolution within the whole genome [9–12].

## INTRODUCTION TO MODELS OF 3D GENOME ORGANIZATION

Combining the established fluorescence microscopy-based methods with molecular 3C-based approaches is giving us unprecedented power to investigate 3D genomic structure in prokaryotic and eukaryotic cell nucleus. We focus on the development of bioimaging and quantitative methods to study 3D genome in this review (Figure 1). At first we introduce 3D genome organization and various model of 3D genomes here. Next we provide a short



**Figure 1.** Genome 3D organization can be studied using imaging methods and 3C-based methods. The focus of this review is to use super-resolution microscopy methods and Hi-C data analysis jointly to study genome organization.

introduction to various super-resolution microscope (SRM) setting-ups and methods. Super-resolution imaging of tissue and organs has been extensively reviewed elsewhere, so these are outside the purview of this review. Then we review the development of quantitative and visualization software to evaluate and visualize the chromatin interactions, especially derived from Hi-C data, in 3D genome. We end up with the discussion about how to confirm the information obtained from these methods, and a short outlook on the potential future development of these techniques.

### At chromosome level

Nucleus is spatially compartmentalized into chromosome territories (CTs) [13]. In Eukaryotic cells, chromosomes and sub-nuclear organelles have their characteristic 3D positions or preferred positions relative to nuclear interior or periphery. At chromosome level, there are mainly three models to describe chromosome positioning during interphase, and another three for mitosis.

#### Models for interphase chromosome positioning

**Rabl configuration:** During interphase, chromosomes are specifically organized in eukaryotic cells. For example, in *Schizosaccharomyces pombe*, chromosomes are arranged in polarized manner, where telomeres of all chromosomes are also associated with each other on one side of nucleus, and centromeres are clustered close to spindle pole body (SPB) at the opposing hemisphere near nuclear periphery [14,15]—This is called Rabl configuration, which is established during anaphase and thereafter maintained during interphase, but is disrupted during late interphase and early prophase. It is first described in nuclei of salamander larvae by Karl Rabl [16]. Rabl-like configuration also exists in other eukaryotic cells, such as *Sacharomyces cerevisiae* [17], in the embryonic cells of *Ascaris* [18], in *Drosophila melanogaster* [19], in spermatocytes of the mosquito *Aedes aegypti* [20], fibroblasts of the treeshrew (*Tupaia belangeri*), the lymphocytes of the *Indian muntjac*, Chinese hamster fibroblasts [21], and some plants [22]. However, it was reported that chromosome alignment in Rabl configuration might not be important to control gene expression [23].

**Radial positioning:** Accumulating evidence from fluorescence *in situ* hybridization (FISH) and Hi-C suggests that, in many cell types, the spatial organization of chromosomes is related to gene density, with gene-dense chromosomal regions or whole chromosomes closer to the center of the nucleus, gene-poor chromosome more frequently at the periphery, and with the clustering of the rDNA-containing chromosomes close to

nucleoli [10,24–26]. This model of radial positioning not only is specific to *human chromosome* 18 and 19 [13,27] and all other human chromosomes [25], but also has been observed in other species such as rodents, birds, primates, suggesting that radial positioning is probably a general feature of the organization in eukaryotic cells [28]. Furthermore, radial positioning suggests that there might be a quantitative relationship between CT's gene density and CT's distance to nuclear center, according to the “spherical 1 Mb chromatin domain” model [29]. However, gene-poor chromosomes can move away from nuclear periphery in quiescent or senescent human fibroblasts [30,31].

**Preferential positioning:** In this model, chromosomes occupy some preferential positions within cell nucleus. Evidence for this model comes from the so-called “mitotic rosettes” (or mitotic ring) [32], where chromosomes were arranged in a ring in the metaphase plate during metaphase, and homologues often occupy opposed positions diametrically in the ring. Similar evidence was extended into interphase cells as well [33]. However, no evidence indicates that a given chromosome must have a specific neighbor in the nucleus [34], and it is shown that in at least chicken cells, there is no preferential pattern for the relative positioning of chromosome territories [35].

#### Models for mitotic chromosome positioning

**Model 1: Physical linkage between chromosomes.** Chromosome positioning in metaphase rosettes of human cells is not random [32]. During metaphase, chromosomes are attached to each other physically, and this linkage in interphase and mitosis contributes to the conservation of neighborhood chromosomal relations. Symmetrical chromosome positions in sister cells indicate that chromosome position reconstruction depends on the metaphase configuration [36]. During chromatin condensation, relative neighborhoods are maintained [37]. During chromatin decondensation, there are no major relative positional changes [38]. On the other hand, one study did not confirm the configuration of non-random rosette during metaphase [39].

**Model 2: Chromosome tethering by the nuclear envelope.** During mitosis, chromosome tethering with broken down nuclear envelope makes the chromosome positioning random. In early G1, some chromosomes set up specific interactions with nuclear envelope (gene-poor chromosomes tether to peripheral positions) and establish radial positioning. There are chromosome repositioning dynamics during early G1 phase of live cells [40,41]. After mitotic division, typical peripheral localization of gene-poor chromosomes was observed in cells [30]. This model could explain how radial order could be established during mitosis, though it cannot explain why

chromosome arrangements can be resembled in clonally descendent cells.

**Model 3: Chromosome-specific timing of segregation.** When chromosomes congress to the flat metaphase plate along spindle axis, the spatial order information of chromosomes is lost. However, the chromosome order of non-random relative positions is re-established during segregation in early anaphase by chromosome-specific anaphase onset, such that the order in daughter nuclei is similar to that in mother nucleus again. The increasing amounts of peri-centromeric heterochromatin could delay the timing of chromosome segregation. Chromosomal positions are maintained along the metaphase plate in daughter nuclei by linear segregation. Consistent with both radial positioning model and preferred neighborhoods model, chromosome-specific timing of segregation model does not exclude the possibility of chromosome-specific interactions with nuclear envelope, which might further modify post mitotic chromosome positioning during early G1 [34]. One thing we have to point out is that, none of the models mentioned above is mutually exclusive.

#### At the sub-chromosome level

**Compartment A and B:** Individual chromosomes can be further folded into ~5 Mb size compartment A and B which correspond to open and closed chromatin, respectively [11]. Open compartment A is normally enriched in transcription activity, DNase I hypersensitivity, high GC content, and histone modifications associated with H3K36me3 (active chromatin mark) and H3K27me3 (poised chromatin mark) [28]. In contrast, compartment B often has higher interaction frequencies, higher level of silencing H3K9me3 mark and stronger tendency toward self-association. The position of compartment B can be highly correlated with lamin associated domains and late replication timing. The distribution of compartment A and B is highly stable along chromosomes across all mammalian cell types examined so far.

**Domains and boundaries:** Genomes can be further partitioned into distinct globular units called “topological associated domains”(TADs). Dixon *et al.* first identified these megabase-sized local chromatin interaction TADs (between 0.5 and 1 Mb), which are highly conserved between species and preserved in different cell types. TADs might be adjacent along chromosomes, but can remain spatially distant. Loci within TADs interact with each other much more frequently than those outside of the domain [9]. Genes within TADs tend to be coexpressed, therefore, most long-range gene regulation by enhancers is constrained inside of TADs [28].

On the other hand, the boundaries of TADs delineate the original TADs by constraining the spread of

heterochromatin. TAD boundaries are enriched in binding sites for the architectural proteins CTCF and cohesion, transcription start sites (TSSs), corresponding histone marks (such as H3K4Me3, H3K9Me1, H3K9Me2), many housekeeping genes, some tRNA genes, and/or short interspersed nuclear elements. Enrichment of cohesion and CTCF at the boundaries may imply a role of these proteins in establishing and defining a TAD, which is not yet completely understood.

All of these features suggested that TADs might be universal building blocks or inherent units in mammalian genomes, though TADs have not been identified in yeast, bacteria, or larger plant genomes yet [42–44].

**Sub-TADs:** Larger conserved TADs are composed of smaller domains (~0.2 Mb) [45], which can be called “sub-TADs”, because of their sub-megabase size [46]. Sub-TADs are more tissue specific and the function of boundaries at subdomains is uncertain.

## METHODS FOR IMAGING AT SINGLE MOLECULE LEVEL

Seeing is believing. It is important to utilize microscopy to observe the co-localization or close physical proximity of given genes or chromatins, in order to further illustrate how genes are regulated in 3D fashion. The optical resolution in fluorescent microscopy determines the depth of biological study, as it describes how fine we can look into the cell. For centuries, the resolution of microscopy has been restricted to ~200 nm and ~600 nm for lateral and axial situations, respectively, due to the barrier of diffraction limit. Whereas inside the crowded cell, the subcellular organelles are typically of the size of several to tens of nanometers.

Various novel super-resolution microscopy techniques emerged during the last two decades. The milestones and breakthroughs made by super-resolution microscopy was recognized by the 2014 Nobel Prize in Chemistry. The general methods to achieve super-resolution can be largely categorized into either structured illumination, including STED and SIM; or stochastic photoswitching/fluctuation and localization analysis (Figure 2), including PALM, STORM, super-resolution optical fluctuation imaging (SOFI) and Bayesian analysis of the Blinking and Bleaching (3B analysis) [51]. These breakthroughs offer biologists new tools for far-field fluorescence imaging of sub-cellular structures at resolution beyond diffraction limit. Therefore, SRM was employed in a quite broad range of biology research fields and topics such as cellular structures, membrane organization, dynamic assembly of proteins in cells. The rapid progress now allows 3D imaging with 10–100 nm axial resolution and video-rate SRLM in live cells. SRM has been applied in biology so widely that it is difficult to cover all of them in

this review. Therefore, we only focus on bioimaging methods directly related, to try to answer one important biology question: how genome is organized in 3D fashion?

### Localization super-resolution microscopy (LSRM)

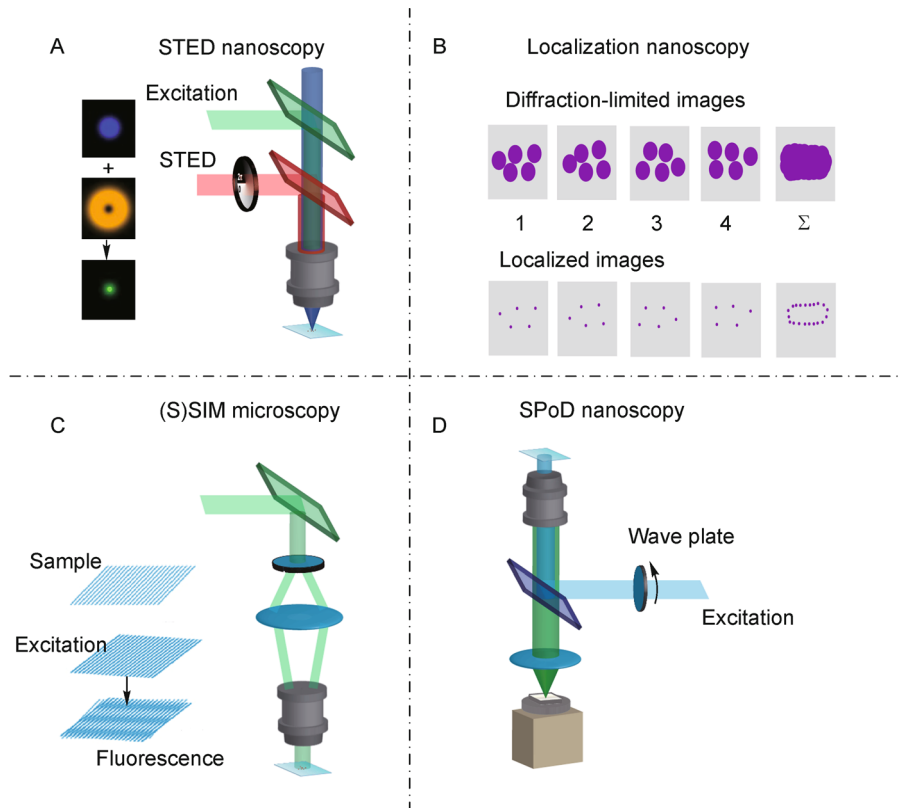
Localization super-resolution microscopy is an imaging technique that uses time-resolved localization and sequential activation of photoswitchable fluorophores to create super-resolution images. This strategy is generally based on time-series data containing the information of several isolated fluorophores in each frame. To obtain a super-resolution image, at any given moment, only one subset of fluorophores, which is optically resolvable, is activated to determine the position of each fluorophore with high precision. The fluorophore is subsequently deactivated, and another subset is activated and imaged. This process needs to be repeated many times to localize numerous fluorophores, and a super-resolution image was finally reconstructed from these image data.

Localization SRM is based on two important concepts: photoswitchable/photoactivatable fluorescent molecule, and the algorithm to localize single fluorescent molecules. Fluorescence photoswitching or photoactivation can be

any process which results in on/off transitions, such as blinking or transient binding. Therefore, based on this principle, besides PALM/STORM, a lot of other LSRM techniques have been developed in the recent years, including CORM [52], PAINT [53,54], QuickPALM [55], BaLM [56], SOFI [57], 3B [51,58] and QDB3 [59].

Both the modulation speed and the harvested photons determine the temporal resolution of these super-resolution techniques. Of them, PALM/STORM requires no more than one emitter in the diffraction spot in the ON state in each frame. In contrast, BaLM, SOFI, 3B and QDB3 utilize stochastic blinking of fluorophores and reconstruct the blinking behaviors in a time-series data with respective algorithms. Taking into account of all the fluctuation information for a more robust localization analysis, Bayesian localization microscopy liberated the requirement of one emitter per spot.

LSRM has been used frequently to study genome organization (Table 1). Recently, a novel super-resolution technique based on fluorescent polarization demodulation (SPoD) was developed [50], which records additional information in each frame by modulating the polarization angle of incident excitation light. Unlike STORM/PALM behavior which needs time series frames to record for one SR image (usually hundreds of or thousands of frames),



**Figure 2. Super resolution methods for imaging genome at single molecules level.** (A) STED microscopy [47,48], (B) Localization Microscopy, (C) (S)SIM [49], (D) SPoD Nanoscopy [50].

**Table 1. PALM/STORM are used to investigate chromatin organization in live or fixed cells.**

Goal	Method		Conclusion	Ref.
	SRM & cell types used	Experimental conditions		
To map protein localization in the chicken kinetochore	PALM + STORM, Dual color. Chicken DT40 conditional knockout cell lines	CENP-A Alexa647 and Dronpa-CENP-A (photo-blinking was induced). Labeling density should be high enough	For the first time, SRM can distinguish between canonical 10-nm & 30-nm fibers in stretched chromatin	[60]
How to image condensed mitotic chromosomes?	PALM to image EGFP-histones in <i>Drosophila</i> embryo fixed by fixation buffer	EGFP-H2AvD (i.e., EGFP-histones)	Chromosome is composed of ~70 nm filament-like structures formed from 35-nm sub-filaments, empty cavities & unstructured chromatin	[61]
How to infer density fluctuation & structural features?	SPDM + statistical methods. Human fibroblast and HeLa cells	H2B-emGFP	H2B distribution remains the same at scale < 100 nm as a function of cell type, but different significantly as function of expression method	[62]
What is the distribution of nuclear protein H2A & Snf2H?	Dual color localization microscopy. Human U2OS fixed cells	Histone H2A-mRFP1 and the chromatin remodeling factor Snf2H-GFP, in mammalian cell nucleus	The relative amounts of H2A and Snf2H differ throughout the cell, suggesting chromatin regions locally enriched with Snf2H do exist	[63]
Bacterial chromosome segregation	PALM + EM. Bacterium <i>Caulobacter crescentus</i>	EYFP-ParA (nucleoid-associated ATPase)	ParA is organized as linear polymer in the center of cell body, similarly to eukaryotic cells' mitotic spindles	[64]
What's structure and function of most NAPs in bacteria?	Spatial point statistics + SR imaging in bacterium <i>Caulobacter crescentus</i>	HU is the most abundant nucleoid-associated Protein (NAP). HU2-eYFP can be made to blink on and off to achieve SR imaging	HU is slightly clustered throughout the swarmer and stalked stages of the cell cycle but more highly clustered in predivisive cells	[65]
To study the distributions of NAPs in live <i>Escherichia coli</i> cells	STORM in live cells + 3C in living <i>E. coli</i> cells. H-NS is global transcriptional silencer	Four NAPs—HU, Fis, IHF, and StpA—were largely scattered throughout the nucleoid	H-NS forms two compact clusters per chromosome and plays key role in global chromosome organization in bacteria	[66]
How to label protein with an organic fluorophore	(d)STORM in living HeLa cells	dSTORM of histone H2B proteins using TMP chemical tag for genetic encoding with photostable fluorophores	Live-cell SR imaging with trimethoprim conjugates. Cells were stained using $2.5 \times 10^{-8}$ M TMP ATTO655 for 1 hour	[67]
Develop more chemical tags	dSTORM + standard chemical tags. 4 eukaryotic cell lines: HeLa, COS-7, mouse 3T3 and C2C12 cells	SNAP-Cell 505 (rhodamine green) & TMR-Star. Transfected cells with plasmids coding for histone H2B-SNAP-tag fusion proteins	Labeling efficiency depends on cell type. SNAP-Cell 505: not suitable to stain 3T3 & C2C12 cell nuclei. TMR: well-suited to stain nuclear proteins	[68]
How to develop binding-activated SRM?	BALM (resolution: ~14 nm). Fixed <i>E. coli</i> cells	Two different DNA-binding dyes to visualize the organization of the bacterial chromosome	BALM is based on the localization of individual binding events, with spatial sampling 1/nm	[56]
How to develop DNA-intercalating dyes for SR imaging?	$\lambda$ -DNA + SRM. Immobilized $\lambda$ -DNA (is labeled with YO-PRO-1 or YOYO-1)	Blinking can be induced in the DNA-intercalating dimeric dye YOYO-1 in combination with a reducing buffer	Both YO-PRO-1 and YOYO-1 can be used in SR imaging, in which photoswitching reactions or blinking can be induced	[69]

(Continued)

Goal	Method		Conclusion	Ref.
	SRM & cell types used	Experimental conditions		
How to develop COMBO-FISH (COMBINatorial Oligo FISH)?	COMBO-FISH + SPDM. Two cell lines: human epithelial cells (AG-11132) & Peripheral blood lymphocyte	Use bioinformatical approach for probe set design. Probes are Peptide Nucleic Acids—synthetic DNA analogues with neutral backbone	COMBO-FISH is developed in this paper. Alexa488-labeled PNAs has reversible photo-bleaching (blinking between dark and bright state)	[70]
How to image specific repetitive DNA such as DYZ2?	FISH + SPDM. Human diploid fibroblast cells VH7	Example of target DNA: DYZ2, which is located in heterochromatin region on human chrom. Yq12	300–700 single-probe molecules were resolved in individual chromatin domains with 500/ $\mu\text{m}^2$ density	[71]
How to visualize maternal and paternal homologous chromosomes?	SNPs + Oligo-paint probes + STORM + 3D FISH Mammalian WI-38 & <i>Drosophila</i> clone cells were used	(i) <i>In situ</i> visualization of single-copy regions in genome (ii) Oligopaint probes are bioinformatically designed	(i) SNPs to distinguish maternal & paternal homologous chromosomes. (ii) The secondary oligonucleotides (oligos) to produce and enhance fluorescent signals	[72]

only less than ten frames are needed to record different polarization information for one SPoD super-resolution frame. Total imaging time of SPoD is greatly reduced to even less than 1 s. Furthermore, a decent spatial resolution of less than 50 nm is achieved and several periods of polarization of modulation can be performed to enhance signal-to-noise ratio via lock-in effect. So far there is no application of SPoD in 3D genome structure yet. We used SPoD to observe GFP labelled septin protein CDC12, which shows a finer structure and higher orientation uniformity factor than that in conventional wide-field based images [73]. This new technology can be used to study not only the structure of membrane proteins and nuclear pore complex, one of the key organizers of genome structure, but also the dynamics (especially the rotation information) of these proteins.

### Structured illumination microscopy (SIM)

Although it can achieve high spatial resolution, localization super-resolution microscopy has several limits. One is that it is a TIRF-based technology (Total Internal Reflection Fluorescence, TIRF), therefore the excitation is restricted largely to the ~100 nm of the superficial layer of the cell. This makes it difficult to be applied to disperse interphase chromatin, as the thickness of chromosome is ~500 nm, which is ~5× thicker than accessible. The other is acquisition time which typically lasts several minutes—traditional PALM/STORM relies on (tens of) thousands of individual images to achieve an SR image, which typically takes several minutes or more. Acquisition time largely hindered LSRM technique from gaining more attention to study genome structure. Instead, SIM, which works through frequency modulation to achieve 100-nm resolution, is more useful to investigate

chromatin structure in cell nuclei for live cell study (See Figure 2).

SIM has been used to study peripheral nuclear pore complex and nuclear lamina [49], *Sox2* binding sites [74], and the functional organization difference between transcriptionally active CTs and Barr body [75]. 3D-SIM and 3D-FISH can be combined to show that DNA sequences within the same TAD colocalized, therefore, interacted more frequently than those in different TADs [76], and that chromatin decompacted in some key genes which are important for differentiation and these genes migrated to nucleus center during embryonic development [77].

### STED microscopy

STED microscopy creates super-resolution image by selectively deactivating fluorophores, to minimize illumination area at focal point and to enhance the achievable resolution in a given system. As a deterministic functional technique, STED was firstly developed in 1994 [47], and was first experimentally demonstrated in 1999 [48]. STED exploits the non-linear response of common fluorophores to improve the resolution, differing from PALM/STORM, which is a stochastic functional technique and use math model to reconstruct sub-diffraction limit.

The super-resolution of STED is obtained by overlaying excitation laser and depletion laser to achieve an optical “sculpting” of the point spread function (PSF). To create a PSF narrower than the diffraction limit, a Gaussian beam was employed for excitation and a donut beam for de-excitation. The depletion laser beam is introduced into the light path to render a “donut” shape PSF, which is aligned and overlaid with the excitation

beam. By increasing the depletion laser power the size of the donut hole where normal fluorescence process occurs decreases [78–80]. STED owns two major advantages over SIM and PALM/STORM: (i) It is purely optical resolution improvement without post-processing required; and (ii) STED has the same capability of optical sectioning as confocal microscope does (Figure 2).

The high power density requirement of donut beam (in  $\text{GW}/\text{cm}^2$  level) of STED makes it difficult to be applied in probing the chromatin structure in living cells so far. Correlative STED/EM and correlative PALM/EM were employed to observe H2B localization in *C. elegans* with nanoscale resolution [81], and to detect the localization of HDAC1 (histone deacetylases) [82].

### Light sheet super resolution microscopy

Wide field microscopy obtains an image with excitation through the sample, which works very well with thin specimen. But for thick samples, photons outside of the focus plane will severely blur the image. Confocal microscope can block the light out of foci region, but the sample has to be illuminated during the stack imaging, leading to excessively photobleaching. These issues are circumvented by light sheet microscopy, in which the excitation and imaging are through different objectives perpendicular to each other (Figure 3). The illuminated sheet plane is imaged from the top, and the sheet can move up and down (or to rotate the sample) to form volumetric imaging.

#### Reflected light sheet microscopy (RLSM)

Single protein in living cells, especially protein in the nucleus, requires high sensitivity to render its localization, which is a big challenge for traditional microscopes. Gebhardt *et al.* developed RLSM to allow selective plane illumination to go through the nucleus of living mammalian cell using two high numerical aperture objectives [84,85]. RLSM can generate a very thin light sheet cutting inside the cell, thus facilitates the visualization of the spatial organization of RNA polymerase II-mediated transcription inside the nucleus of mammalian cell. Previously, the physical size of the high NA objectives prohibits them from being used in light sheet microscopy, as they collides before reaching the field of view. In RLSM, parallel to imaging plane and close to sample surface, a thin light sheet is obtained by reflecting an elliptical beam of laser incident from the top by  $45^\circ$  with an AFM cantilever as reflective mirror (see Figure 3). With RLSM and two-color single-molecule imaging, the DNA-bound fraction of glucocorticoid receptor (GR) was observed/measured, and different modes of the DNA

binding of GR were resolved. Furthermore, the combination of reflected light-sheet illumination with SR microscopy allows users to count biomolecules with the accuracy of single copy, in order to understand the spatial distribution and organization of RNA polymerase II and chromatins [84,85].

#### Light sheet Bayesian localization super-resolution microscopy

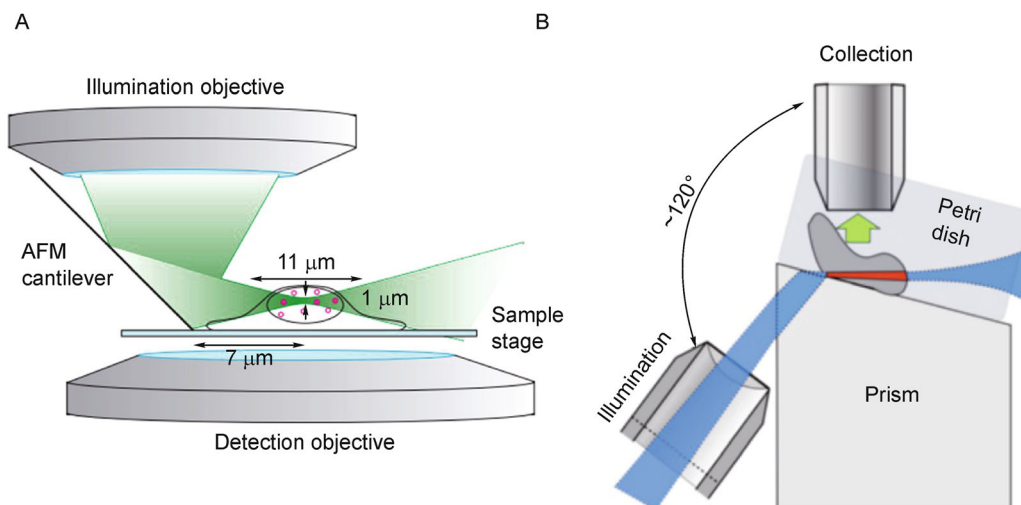
The key points for localization super-resolution microscopy are (i) a high signal/noise ratio and (ii) the amount of single molecule events in one image frame. But many subcellular structures in human embryonic cells' nuclei, for example the heterochromatin which plays a vital role in the epigenetic regulation of gene expression, need to be monitored with high spatial resolution in deep position in cell nucleus. Therefore, these subcellular structures cannot be obtained by localization super-resolution microscopy only. Light sheet illumination can enhance SNR for single molecule SR imaging in nucleus. A light sheet Bayesian microscope based on a prism-coupled light-sheet setup [83] was developed to render deep-cell sub-diffraction imaging of heterochromatins in human embryonic stem living cells.

#### Lattice light-sheet microscopy (LLSM)

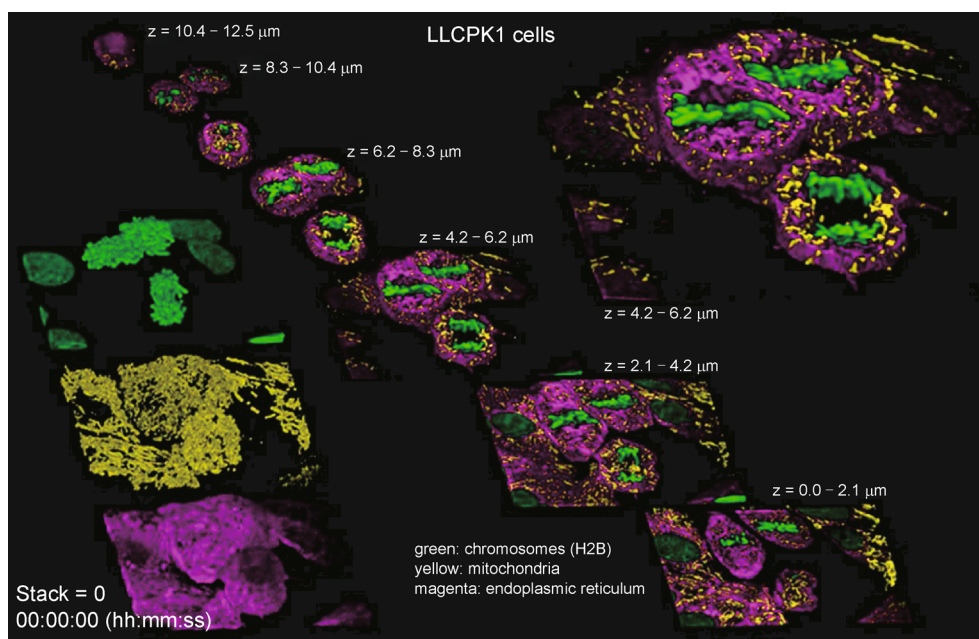
To address the limitation that traditional SR microscope can only be used for very limited temporal durations, a new microscope named Lattice Light-sheet Microscope (LLSM) was developed which uses ultrathin light sheets derived from two-dimensional (2D) optical lattices (Figure 4) [86]. For LLSM, the high axial resolution, negligible photobleaching and negligible background outside of the focal plane were obtained by the thinness of the sheet, while the simultaneous illumination of the entire field of view renders imaging at the rate of hundreds of planes per second even with the extremely low peak excitation intensities.

Either by implementing SIM super-resolution technique mentioned above, or by dithering the lattice to achieve a uniform light sheet illumination, one can image cells and small embryos in 3D fashion with decent spatial and temporal resolution.

LLSM was used to image TagRFP-H2B highlighted chromosomes, at five different stages during the division of a single HeLa cell [86]. The super-resolution imaging of chromosomes, mitochondria and ER in dividing LLC-PK1 cells indicates that LLSM is a very promising tool to image, in 3D fashion, the fast dynamic processes *in vivo* in cell nuclei, due to its noninvasiveness, speed, and high spatial resolution.



**Figure 3. Diagram of light sheet super-resolution microscopes for nucleus imaging.** (A) Reflected light-sheet microscopy (RLSM). (B) Prism-coupled light-sheet Bayesian microscopy (LSBM). The image was Adapted from [83] with permission.



**Figure 4. Imaging of chromosomes (green, mCherry-H2B), mitochondria (yellow, mitotracker-deep red), and ER (magenta, mEmerald-calnexin) in a field of dividing LLC-PK1 cells, using Lattice Light-sheet Microscopy.** The image was adapted from [86] with permission.

**Trade-offs: Generating the best super-resolution images**

The number of SR technologies is booming so quickly that it is quite difficult for microscopy experts to keep up following the update. Therefore, it also challenges

biology scientists. A comparison of localization nanoscopy, SIM and STED is shown in Table 2. In experimental practice, we need to balance some features of special super resolution modalities. Factors such as lateral resolution/axial resolution, temporal resolution, imaging depth, dyes, post-processing requirements,

Table 2. Summary of super resolution methods commonly used for biological study.

Principle	Frequency shift in fourier domain			PSF narrowing		Photoswitching and localization of single molecules		
	Evanescent illumination	Speckle	Moiré effect	Saturated emission depletion	Saturated excitation	Gauss fitting of individual spots	Spots gauss fitting using different frames	
Name	TIRF	SCORE/blind-SIM /Hybrid speckle microscopy	SIM/3D SIM SSIM	STED/CW-STED	SAX	PALM/FPALM/STORM/dSTORM /quik-PALM	SOFI/QDB3 /Three B/BaLM /PAINT /Dao STORM /Cs STORM	SPoD
Illumination emission dependence	Linear	Linear	Non-linear	Non-linear	Non-linear	Linear	Linear	Linear
XY resolution	200–300 nm	~110 nm	50 nm	20 nm–50 nm	130 nm	20–50 nm	~50 nm	40 nm
Z resolution	100 nm	500–700 nm	No report	100 nm (phase mask)	360 nm	100 nm(TIRF)	~100 nm	No report
Imaging depth	None	50–100 $\mu$ m	20 $\mu$ m	< 50 $\mu$ m > 100 $\mu$ m (two photon)	50–100 $\mu$ m	< 100 nm	50–100 $\mu$ m	50–100 $\mu$ m
Dyes	Any	Any	Conventional photostable dyes	Special Dyes require special characteristics (CW-STED works with many conventional dyes)	Conventional dyes	Photo-switching Dyes	Special characteristics (Photo-switching, blinking)	Special (anisotropy orientation dipole)
Temporal resolution	ms	ms-s	ms	ms-s	s	min	s-min	ms-s
Limits	Region near the coverslip	Risk of artefacts	High excitation; artefacts risk	Complex instrumentation; photobleaching	High excitation	TIRF setup; Critical labeling density		
Postprocessing	No	2–150 per slice image	~100 per image	No	Yes	~10,000 per image	< 1000 per image	10 per image
Colors	3	1	1	2	1	2	3	3

colors, artifacts, should be taken into consideration (Table 2). Also, one of the keys to obtain super-resolution images with good quality lies in the labeling with the respective fluorescent probes. At present, there is no super resolution technology can address everything well, so we have to choose the one which matches experimental requirements with special probes, such as organic dyes, fluorescence proteins, QDs, and so on. In any case, faced with the array of possible techniques, we will have to trade off the merits and drawbacks of each SR technology, by selecting the essence and abandoning the dross, in order to get the best super-resolution images for each unique project.

## THE DEVELOPMENT OF QUANTITATIVE METHODS BASED ON 3C-BASED TECHNIQUES

In higher eukaryotes, gene transcription is frequently regulated through the communication of regulatory elements between promoters and enhancers or terminators [87,88], which can be located hundreds, thousands or even millions of base pairs away from each other along the linear chromatin. How these regulatory elements communicate with their target genes is largely unknown.

Although many advances in imaging methods and microscopes were made during the last several decades, these methods are not able to offer genome-wide positioning information of chromatin. For example, FISH is visually compelling but generally limited to looking at the locations of a few specific targets in a few cells, although recent probe developments based on massively parallel custom oligonucleotide synthesis have expanded the scope and scale of sequences that can be analyzed in each hybridization reaction [89].

Biochemical methods, such as 3C-based techniques, complemented the imaging approach, by indicating that TADs or sub-TADs containing co-regulated clusters of genes and chromatin interactions present a non-random organizational genome structure. Even though existing imaging and these molecular mapping methods can provide us with a large deal of knowledge about genome spatial organization, computational models and quantitative data analysis are still very necessary to analyze the data produced from 3C-based techniques, and to evaluate and simulate the three dimensional conformation of the genome. At present, three methods were developed to identify TADs in Hi-C data: HiCseg [90], Directionality Index (DI) method [9] and CHDF [91].

### Directionality Index (DI)

Ren *et al.* considered that loci in upstream portion of a topological domain are highly biased towards interacting

downstream, and downstream loci are highly biased towards interacting upstream. They constructed a chi-squared test statistic called Directionality Index, which is a measure of the difference along a chromosome between upstream and downstream interactions [9]. The null hypothesis for DI is that each bin is equally likely to interact with the regions upstream and downstream of it. Hidden Markov model (HMM) was used to find “true” hidden directionality bias. The hidden states are “Upstream Bias”, “Downstream Bias” or “No Bias”. Baum-Welch algorithm was used to estimate parameters of transition and emission, Forward-backward algorithm was used to calculate posterior marginal, and Akaike information criterion to avoid overfitting. Only regions which have a median posterior marginal probability  $\geq 0.99$  or at least 80 kb long were considered as domains [9].

### HiCseg

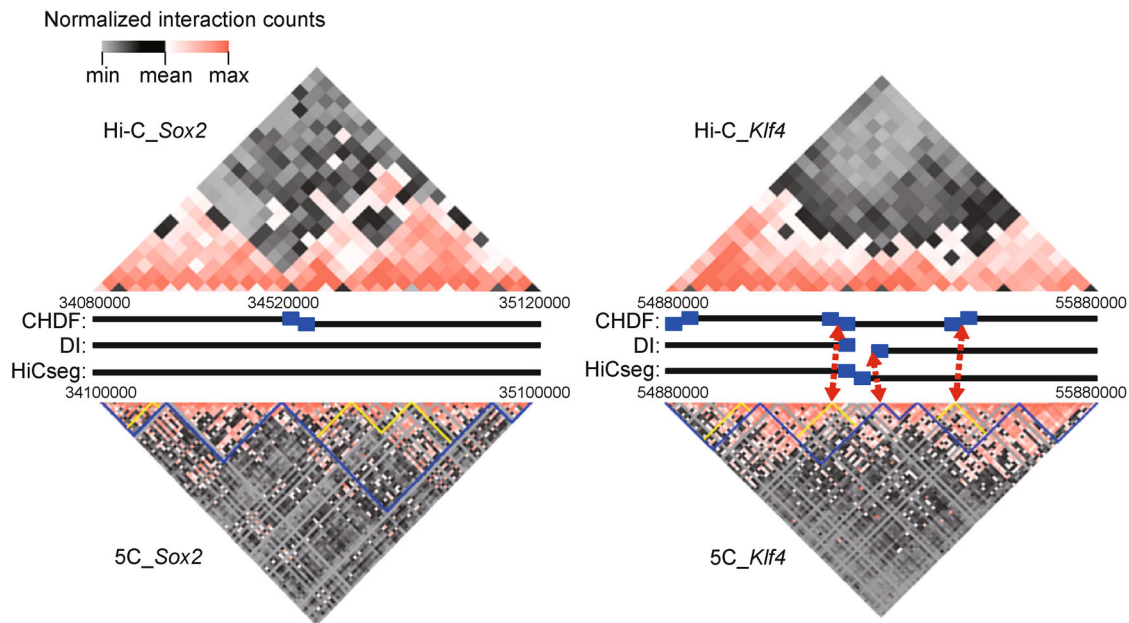
For all domains, HiCseg method supposes that all inter-domain contact intensities are independent random variables with the same distribution, whereas all intra-domain contact intensities are independent random variables, which has a distribution with specified parameters [90]. Poisson, Gaussian, or negative binomial distributions were used to model the contact intensities. The normalized Hi-C data was modelled by Gaussian distribution and raw Hi-C data by Poisson or negative binomial distributions. Variance was assumed to be constant for all kinds of contact intensities in Gaussian model. Domains were identified by maximizing the likelihood, and optimal solutions were found by dynamic programming [90].

### CHDF

CHDF is to call Hi-C domains based on clustering. Hi-C interaction matrix was decomposed to three kinds of regions: (i) domain regions, (ii) the regions between two adjacent domains and (iii) the residuals. Each region was considered as a cluster and the sum-of-squared-error criterion was used for clustering. CHDF not only minimized the sum-of-squared-error criterion, but also emphasized the difference value of the average interaction intensity between domain regions and the regions between two adjacent domains. Thereafter, a penalty term was used to find domains where the Hi-C interaction intensity is much higher than the regions between two adjacent domains. Dynamic programming was employed to find the optimal solutions of domains [91].

### The comparison of these three methods

CHDF, Direction Index and HiCseg methods were



**Figure 5. Comparison of three methods (Direction Index, HiCseg and CHDF) using 5C data, boundaries and domains around gene *Sox2* and *Klf4* in mouse ES cell.** Top: the chromatin interactions in Hi-C data after normalization. Middle: blue bars were the domain borders identified using three different methods (The border width was bin size of 40 kb). Bottom: the matrix of 5C interactions, with bin size of 10 kb. 5C domains were the lines marked in yellow and blue with different resolution. If a Hi-C border can be aligned to a 5C domain border, then a red dash line with arrows was used to connect these two borders. In *Klf4* gene region, CHDF identified three borders and two of them can be aligned to the borders called by 5C data. The only border that cannot be aligned was too close to the beginning of *Klf4* gene region, which lacks upstream 5C data. In contrast, DI method identified just two borders of which only one border can be aligned, while HiCseg method identified only one border which cannot be aligned at all. Reproduced from [91].

systematically compared (Figure 5) on datasets of human IMR90 and mouse ES cell lines [9]. The other datasets tested include higher resolution map of Hi-C data, synthetic Hi-C data, 5C data [92], histone modification marks, cis-eQTLs and CTCF binding sites.

First, for simulated data of which the positions of domains were known, the results calculated by CHDF method performed low false discovery rate and high sensitivities among three methods.

Second, 5C is a 3C-based method which could provide chromatin contact maps with higher resolution in specific chromatin regions, therefore has more potential to reflect finer chromatin structure. Domains called by 5C data were used to evaluate the Hi-C domains called by three methods. CHDF can identify chromatin structure at smaller scale [91], and domains called by CHDF were consistent with 5C domains [91].

Third, the insulator binding protein CTCF is important to maintain chromatin structure [9,93]. Combining with CTCF, active histone modification marks define TAD boundaries which lead them enriched on topological boundary regions [9]. Latest study showed that cis-eQTLs

were also significantly enriched on the regions genomically close to TAD boundaries [94].

Last but not the least, the robustness to technical noise was measured by the consistence of the domains called on sub-sampling data. The robustness to biological noise was measured by the consistence of the domains called on biological replicates. HiCseg performed the best to resist technical noise in these three methods, while CHDF the best to resist biological noise [91]. Furthermore, CHDF has some parameters to limit the size and number of domains when finding the optimal solution, in order to reduce computational cost [91].

### Chromatin structure prediction tools

The prediction of 3D genome structures enables scientists to get a visual insight about the spatial positioning of some genomic regions and the distribution of epigenetic signals [43,95,96]. Generally, two types of computational approaches are adopted to predict the three dimensional structure of the genome [97]: (i) “*Direct approaches*” that try to design a polymer model that can explain the

**Table 3. Summary of some Hi-C-based 3D genome prediction algorithms.**

Method	Frequency assumption	Inference method	Type	Principle
ChromSDE [101]	$d_{ij} = f_{ij}^{-a}$	Semi-definite programming	Consensus	No preprocessing needed
Pastis [102]	Poisson	Maximum likelihood	Consensus	No preprocessing needed
MCMC5C [103]	Gaussian	MCMC-Gaussian	Ensemble	Models the frequency noise
ShRec3D [104]	Shortest-path	MDS	Consensus	To enforce reliable interactions
MBO [105]	Shortest-path	Manifold optimization	Consensus	To enforce reliable interactions
BACH [106]	Poisson	Baysian- MCMC	Consensus	Bias incorporation
Bau <i>et al.</i> [107]	$d_{ij} = 1/(z\text{-score}(f_{ij}))$	IMP	Ensemble	Restraining oscillators
Wang <i>et al.</i> [108]	$d_{ij} = f_{ij}^{-a}$	Bayesian	Ensemble	To minimize polymer chain energy

observed data, and (ii) “*inferential approaches*” that start from the data and try to find the spatial 3D configuration that better fits the observed interaction frequencies.

One highly debatable model among the direct approaches is the Strings and Binders Switch (SBS) model developed by Barbieri *et al.* [98]. In the SBS model the authors started from the observation of the variability of Hi-C power-law exponent (0.9 ~ 1.7) between different cell-types and species. The authors proposed a unified polymer model in which the equilibrium state of a chromatin is affected by the concentration of diffused proteins. Some scientists argued that simulations could be done using short polymers [99] while the authors claimed that long polymer simulation leads to comparable results [100].

However, with the increasing availability of 3C-based data, such as 5C and Hi-C data, many inferential computational methods were developed recently (For the summary of some of these methods, see Table 3). These inferential approaches generally are composed of two steps.

#### Distance matrix construction

First, the contact map needs to be converted from the frequency space to Euclidian distance space. Methods, such as in ChromSDE [101] and Pastis [102], consider the distance to anti-correlate with the frequency  $d_{ij} = f_{ij}^{-a}$ . To model the uncertainty of the data, MCMC5C [103] supposed that the observed frequencies were sampled from a Gaussian distribution. However, as the frequency inversion method led to mingled values for small frequency, recent algorithms such as ShRec3D [104] or MBO [105] adopted a short-path strategy in which the distance between two points is equal to the length of the shortest-path separating them. The benefits of this approach are twofold, (i) removing the effect of noisy

interactions and (ii) enabling the competition of missing values in the frequency matrix.

#### 3D model prediction

Two diverging strategies are used for the prediction of 3D chromatin structure. A set of methods predict a “global consensus 3D structure”, which start from the hypothesis that the highly reliable observed frequencies represent a kind of shared structure among the cell population. To infer this structure, different methods are adopted. Some methods, such as ChromSDE and shRec3D, optimize multi-dimensional scaling problems, while others, such as BACH [106] and Pastis, optimize a statistical model in which the counts raise from Poisson distribution, with BACH considering the different types of bias and Pastis incorporating the bias in a global parameter.

MBO, on the other hand, is designed for single-cell Hi-C experiments (which justifies its consensus model). It uses a manifold based optimization approach, in which the distances are constructed using weighted shortest-path. The advantage of MBO over classical MDS methods is that it needs less memory and has a faster execution time.

The dependency of contact probability on the genomic distance observed in Hi-C data indicates a cell-to-cell genomic structure variability with the increasing genomic distance. Thus, the second type of inference strategies predicts an “ensemble” of spatial conformation that captures the variability among cells. Early approach such as the one published by Bau *et al.* [107] uses the Integrated Modeling Platform (IMP) to generate a list of 10,000 models that fits the observed frequency constraints. More elaborated methods such as MCMC5C employ a Markov Chain Monte Carlo (MCMC) algorithm to sample ensemble structures from the posterior of the proposed statistical model. Wang *et al.* [108] use a

different strategy by employing a Bayesian model that tries to find the best polymer structures which have the smallest conformational energy and can be fitted to the observed Hi-C data.

## Visualization tools

With the development of 5C and Hi-C technologies, an increasing amount of local and genome-wide interaction frequencies maps have been generated. These data enabled scientists to develop computational methods for the 3D reconstruction of the underlying chromatin structure that better fits the generated experimental 2D contact maps [102]. These 3D model inference methods use different techniques ranging from the optimization of a multi-dimensional-based functions to Bayesian models [102].

Investigators adopted many strategies to visualize and explore the predicted three-dimensional chromatin structures. The basic solution, which is largely adopted, is the direct use of 3D visualization tools designed primarily for the visualization of biomolecules such as proteins. One characteristic of biomolecules visualization software tools is the high-quality rendering capabilities which are generally stable due to the fact that they have been used and maintained by community for many years. However, one drawback of biomolecule visualization tools is that these tools were built upon the manipulation of molecules and are not well suited to fetch genomic information or to overlay different genomic signals on the top of the 3D structure without a lot of hacking.

To cope with these limitations, different chromatin structure visualization tools have been developed, which have different functionalities and are well adapted for chromatin 3D structure exploration. A summary of some of these tools (Table 4) are discussed below.

### PyMol

PyMol is a stand-alone open-source multi-platform application widely used for biochemical structure visualization, available at <http://www.pymol.org/>. PyMol was first developed in 2000 by Warren Lyford DeLano [109] and then commercialized by Schroedinger, Inc from 2010.

PyMol supports a wide variety of bio-molecular 3D structure file formats such as PDB and provides very mature 3D visualization capabilities. Users have the option to use a graphical user interface or to write their own custom scripts in Python language.

Generally, the output of the existing three-dimensional chromatin prediction algorithms is in the PDB format. However, biomolecule representation format such as PDB does not store genomic information, making it challenging to overlay other genomic information onto the top of the 3D structure of chromatin without the need of additional scripting and workarounds. Nevertheless, PyMol and similar tools can be used for preliminary chromatin 3D structure visualization and they are still adopted among the chromatin structure study community.

### GMol

GMol [110] builds on the top of biochemical visualization tool Jmol and was adapted to genome structure visualization. The functions introduced include genomic unit selection, sequence querying functionality, measuring distance between different units, in addition to scaling system that enables the visualization of chromosomes using different scales ranging from genome to nucleosome. GMol also defines a new file format GSS as a counter part that enables the display of chromatin structure at different scales compatible with GMol's multi-scale display system.

Users who want more functionality can write additional scripts to do some custom annotations. Despite these functionalities, GMol is still at a pre-mature stage. It can solve some of the chromatin structure visualization problems but needs improvement to enable easy overlay of different genomic data (such as ChIP-Seq signals).

### Genome3D

Genome3D [111] is one of the first tools designed to solve the problem of visualizing chromatin structure in 3D fashion. It is a GUI-based C++ software that runs on the top of Windows Operating System. The 3D display in Genome3D is based on OpenGL framework. Similar to GMol, Genome3D implements a multi-scaling system

**Table 4. Comparison of several popular 3D chromatin visualization tools.**

Tool	Specific to 3D genome display	3D model display	Cis-interaction display	Trans-interaction display	Custom annotation	Need scripting
PyMol [109]	×	√	×	×	√	√
GMol [110]	√	√	×	×	×	√
Genome3D [111]	√	√	×	×	√	×
Tadkit	√	√	×	×	√	×
HiC-3DViewer(unpublished)	√	√	√	√	√	×

that enables the visualization of the genome at different scales. Additionally, users have the ability to import epigenetic data from UCSC Genome Database Browser and overlay it onto the 3D structure. However, a bottleneck is that users need to provide an openXML formatted genome that encodes different scaling information, which makes it difficult to upload custom genomes easily.

### Tadkit

Tadkit (<http://sgt.cnag.cat/3dg/tadkit/>) is a web-based tool to visualize chromatin structure. It exploits the WebGL feature offered by HTML5 for 3D display. Investigators can overlay genomic data on the top of the 3D structure or upload their own 3D model. Different tracks are available for user to choose from, such as Genes track and some epigenetic signals. Users can also upload their own data. However, as Tadkit is still at development stage and different features will be added step by step, no final conclusion can be drawn. But it seems that more customization and display performance capabilities need to be considered in order to display larger genomes.

### HiC-3DViewer

In our lab we are also interested in developing chromatin visualization tools. Recently, we developed HiC-3DViewer (unpublished data), an interactive web-based chromatin visualization tool to provide an intuitive environment for investigators to do 3D exploratory analysis. It offers many functionalities missed in the previous tools and accepts simple input formats that can be created by non-professional users without scripting knowledge.

## CONCLUSION

Super-resolution fluorescence microscopy is developed to fill a resolution gap not only between electron microscope and traditional fluorescence microscope, but also between “beads-on-a-string” 10-nm fiber and condensed chromatin fiber with a diameter of several hundred nanometers. These novel bioimaging methods have been employed to study the complex chromatin organization, which span several orders of magnitude to save genetic information on the mix of DNA and proteins, and to verify that organizational units, for example, domains with similar size as TADs, do exist in 3D genome [112].

However, it is challenging to employ SR microscopy to study the relationship between 3D genome organization and the regulation of gene transcription. The difficulty might stem from multiple sources: (i) SRM heavily relies

on the properties of fluorophores, as single-fluorophore detection is a prerequisite for SR imaging [113]. Users need to evaluate which fluorescent probes should be used — organic dyes, fluorescent proteins, quantum dot or else. (ii) Choosing the most appropriate labelling strategy is also challenging. To study 3D genome organization, what labelling density should be chosen, for a given SR microscope? Should DNA be directly labelled, or should DNA-associated proteins such as histone be labelled? Should histones be tagged by photoswitchable fluorescent proteins, or chemical tags, or by immunostaining? (iii) Cellular states should be considered as well, such as diploid nature of individual cells, different cell cycle stages, live cell or fixed cells, embryonic stem cells or differentiated cells, and the significant difference in chromatin conformations between individual cells, etc. (iv) Which SR microscope and what algorithms should be employed to minimize the noise in images? And what kind of control or calibration is suitable — fluorescent beads, DNA origami nanopillars [114], UCNP [115], or else — for *in vitro* or *in vivo* experiment? (v) How could one combine optical microscope with electron microscope, to have a better vision about genome structure?

Though the fundamental principles behind chromatin folding and unfolding in 3D fashion during interphase are still unknown, it is clear that distal elements such as enhancers control gene regulation by physical contacts between them. Imaging methods such as FISH and SRM, and molecular biology methods such as 3C-series methods are not mutually exclusive — actually they are complementary to each other. FISH and the recently rapidly established type II clustered regularly interspaced short palindromic repeats (CRISPR) technology [116] can be used not only to visualize specific DNA fragments in cell nucleus, in fixed cells or living cells respectively, but also to verify the chromatin interactions identified by Hi-C or other 3C derivatives. For example, FISH was used to visualize the colocalization of olfactory receptor allele and an enhancer element in individual neurons [117]. CRISPR/Cas9-based technology [118,119] in conjunction with 3C derivatives, can be used to study chromatin organization [120,121,122] in an elegant way. With the power of SRM, 3C-based methods and FISH/CRISPR technology are offering us more solutions to understand how the dynamics and organization of chromatin structure are functionally translated into the regulation of gene transcription, repair, and expression.

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The authors Juntao Gao, Xusan Yang, Mohamed Nadhir Djekidel, Yang Wang, Peng Xi and Michael Q. Zhang declare that they have no conflict of interest.

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