



directional invisibility cloaking, and one-way optical communication [28–31]. In experiments, the unidirectional flow of classical information has been demonstrated based on optical nonlinearity [32–34], synthetic materials [35], etc. Recently, significant progress has been achieved in nonreciprocal quantum effects, such as nonreciprocal photon blockade [36–38], nonreciprocal entanglement [39], nonreciprocal phonon laser [40], and nonreciprocal mechanical squeezing [41]. These nonreciprocal quantum effects are significant for a variety of quantum technologies.

As the first key step in preparing mechanical quantum states, cooling MRs has been one of the core research directions in the past decades, then nonreciprocal cooling can be understood as a controllable switch between classical and quantum states. Enlightened by the above works, here we propose an scheme for nonreciprocal ground-state cooling in coupled cavities spinning optomechanical system with directional driving. By analyzing the optical force spectrum and deriving the optimal cooling conditions, we demonstrate that the auxiliary WGC mode in our present system brings out the quantum interference effect, so the cooling of MR can be achieved even if the optomechanical cavity decay is in unresolved sideband regime. Meanwhile the optical Sagnac effect generated by the WGC rotation creates a frequency difference between the WGC mode with respect to the directional driving field, we found that the MR can be cooled to the ground state for driving from the opposite direction to the spinning direction of the WGC, but it cannot be cooled effectively from along the spinning direction, vice versa, so that the nonreciprocal cooling is achieved. Compared with the work [11] only considering the coupled cavity without the spin of the cavities, the current scheme has unique advantages. The cooling or heating process is very sensitive to the the optical Sagnac–Fizeau shift which is related to the spinning speed, driving direction. Therefore, it provides the possibility of high controllability and tunability. This opens up a new route for applications in chiral acoustic engineering and the phonon-based information processing and communication.

This paper is organized as follows. In Section 2, the spinning optomechanical system is introduced and the effective Hamiltonian is given. In Section 3, by using the quantum noise approach, we calculate the noise spectrum, and the optimum cooling conditions are obtained. In Section 4, nonreciprocal cooling dynamics of the system is investigated by using master equation. Finally, a brief summary of our work is presented in Section 5.

## 2 Model and Hamiltonian

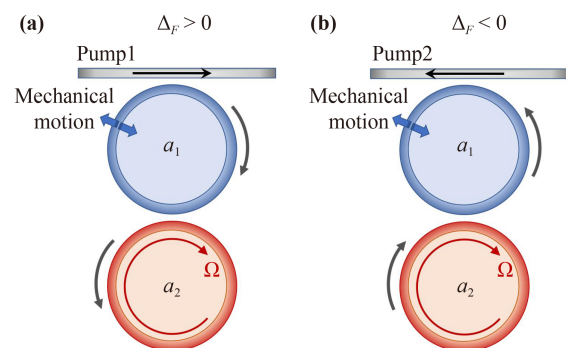
We consider a compound optomechanical system as

illustrated in Fig. 1, which consisting of an optomechanical anharmonic resonator (with frequency  $\omega_1$  and decay rate  $\kappa_1$  for cavity mode  $a_1$  and frequency  $\omega_m$  and decay rate  $\gamma_m$  for MR  $b$ ) and a spinning optical harmonic resonator  $a_2$  (with frequency  $\omega_2$  and decay rate  $\kappa_2$ ). These two WGC resonators are evanescently coupled to each other with a coupling strength of  $J$  [42]. An external laser is coupled into and out of the optical mode  $a_1$  through tapered fibers of frequency  $\omega_d$  and amplitude  $\varepsilon$ . For the WGC mode  $a_2$  spinning at angular speed  $\Omega$ , the light propagates against or along the spinning direction of the WGC  $a_2$  experiences a Fizeau shift  $\Delta_F$  [43, 44], i.e.,  $\omega_1 \rightarrow \omega_1 + \Delta_F$ , with  $\Delta_F = \pm \frac{nr\Omega\omega_1}{c} (1 - \frac{1}{n^2} - \frac{\lambda}{n} \frac{dn}{d\lambda})$ , where  $\omega_1 = 2\pi c/\lambda$  is the optical resonance frequency of the nonspinning WGC mode,  $c(\lambda)$  is the speed (wavelength) of light in vacuum,  $n$  is the refractive index of the cavities. Typically, the dispersion term  $dn/d\lambda$  describing the relativistic origin of the Sagnac effect is relatively small  $\sim 1\%$  [45]. For convenience, we always assume that the WGC mode  $a_2$  rotates clockwise, hence  $\pm\Delta_F$  denote light propagating against ( $\Delta_F > 0$ ) and along ( $\Delta_F < 0$ ) the direction of the WGC mode, respectively.

In a rotating framework with respect to driving frequencies  $\omega_d$ , i.e., rotating operator  $U = \exp[-i\omega_d(a_1^\dagger a_1 + a_2^\dagger a_2)]$ , the Hamiltonian can be written as

$$H_0 = \Delta_1 a_1^\dagger a_1 + (\Delta_2 + \Delta_F) a_2^\dagger a_2 + \omega_m b^\dagger b + g a_1^\dagger a_1 (b^\dagger + b) + J(a_1^\dagger a_2 + a_2^\dagger a_1) + \varepsilon(a_1^\dagger + a_1), \quad (1)$$

where  $\Delta_i = \omega_i - \omega_d$  ( $i = 1, 2$ ) is the detuning between optical modes and the driving field. Here  $a$  ( $b$ ) is the annihilation operator of the optical modes (MR). We consider a weak optomechanical coupling strength ( $g \approx 0.1\omega_m$ ) that is well within the current experimental abilities [46–48]. To



**Fig. 1** Schematic diagram of the model. A mechanical mode is coupled to optomechanical cavity  $a_1$  which is driven by a external laser through tapered fibers. Cavity  $a_2$  with clockwise spinning angular speed  $\Omega$  coupled to cavity  $a_1$ . The spinning WGC mode results in different Fizeau drag  $\Delta_F$  for the different input direction of the driving laser. (a) and (b) show the driving laser propagating against (along) the direction of the spinning cavity  $a_2$ , i.e.,  $\Delta_F > 0$  ( $\Delta_F < 0$ ).



obtain the linearized Hamiltonian, the three modes are split into an average amplitude and a fluctuation term, i.e.,  $a_1 \rightarrow \alpha_1 + \delta a_1, a_2 \rightarrow \alpha_2 + \delta a_2, b \rightarrow \beta + \delta b$ , where  $\alpha_1, \alpha_2$  and  $\beta$  denote the steady-state displacements of the optical and mechanical modes. One can then obtain the effective linearized Hamiltonian of the fluctuation operator (for simplicity, hereafter we drop the notation “ $\delta$ ” for all fluctuation operators, as “ $\delta a_1 \rightarrow a_1$ ”):

$$H_{\text{eff}} = \Delta'_1 a_1^\dagger a_1 + (\Delta_2 + \Delta_F) a_2^\dagger a_2 + \omega_m b^\dagger b + G(a_1^\dagger + a_1)(b + b^\dagger) + J(a_1^\dagger a_2 + a_2^\dagger a_1), \quad (2)$$

where  $\Delta'_1 = \Delta_1 - g(\beta + \beta^*)$  is the effective detuning of cavity 1,  $G = g\alpha_1$  is the enhanced effective optomechanical coupling coefficients.

### 3 Quantum noise approach

Quantum noise approach permits us to solve the model and gain insight into the cooling results. We can employ the noise spectrum of optical force, which is related to the cooling (heating) coefficient and can also reflect the sensitivity of the weak force measurement [49, 50], defined as  $S_{\text{FF}}(\omega) = \int dt e^{i\omega t} \langle F(t)F(0) \rangle$  with the optical force acting on the mechanical motion  $F = -G(a_1 + a_1^\dagger)/x_{\text{ZPF}}$ , where  $x_{\text{ZPF}}$  is the zero-point fluctuation. To obtain the absorption spectrum, we transform Langevin equation to frequency domain for facilitate the calculation, meanwhile in the weak coupling regime, the back action of the MR can be ignored. We write the optical Hamiltonian

$$H_{\text{op}} = \Delta'_1 a_1^\dagger a_1 + (\Delta_2 + \Delta_F) a_2^\dagger a_2 + J(a_1^\dagger a_2 + a_1 a_2^\dagger), \quad (3)$$

and the Langevin equations as follows:

$$\begin{aligned} -i\omega a_1(\omega) &= -(i\Delta'_1 + \kappa_1) a_1(\omega) - iJ a_2(\omega) \\ &\quad - \sqrt{2\kappa_1} a_{1,in}(\omega), \\ -i\omega a_2(\omega) &= -[i(\Delta_2 + \Delta_F) + \kappa_2] a_2(\omega) - iJ a_1(\omega) \\ &\quad - \sqrt{2\kappa_2} a_{2,in}(\omega), \end{aligned} \quad (4)$$

where  $a_{o,in}$ ,  $o=1,2$ , are the noise operators associated with the intrinsic cavity dissipation. The correlations for these noise operators are given by

$$\langle a_{o,in}(t) a_{o,in}^\dagger(t') \rangle = \delta(t-t'), \quad \langle a_{o,in}^\dagger(t) a_{o,in}(t') \rangle = 0. \quad (5)$$

As a result, we obtain

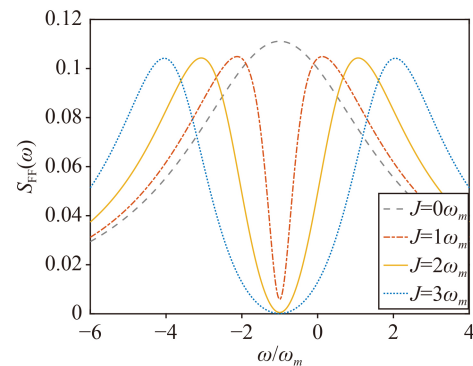
$$S_{\text{FF}}(\omega) = \frac{G^2}{x_{\text{ZPF}}^2} [\chi(\omega) + \chi^*(\omega)], \quad (6)$$

where

$$\begin{aligned} \chi_{a_1}(\omega) &= \frac{1}{-i(\omega - \Delta'_1) + \kappa_1}, \\ \chi_{a_2}(\omega) &= \frac{1}{-i[\omega - (\Delta_2 + \Delta_F)] + \kappa_2}, \\ \chi(\omega) &= \frac{1}{\frac{1}{\chi_{a_1}(\omega)} + J^2 \chi_{a_2}(\omega)}, \end{aligned} \quad (7)$$

$\chi(\omega)$  is the total response function of the coupled cavities;  $\chi_{a_1}(\omega)$  and  $\chi_{a_2}(\omega)$  are the response functions of  $a_1$  and  $a_2$ , respectively.  $A_{\mp} = x_{\text{ZPF}}^2 S_{\text{FF}}(\pm\omega_m)$  stand for the cooling rate  $A_-$  and heating rate  $A_+$  for the MR, which mainly determine the final cooling result of the MR. Therefore, in order to obtain the optimal cooling effect, it is necessary to investigate the dependence of the optical force spectrum on the parameters, that is, the effect of the coupling strength between the two cavities  $J$  and the effective detuning of the two cavities on  $S_{\text{FF}}(\omega)$ .

Due to the involvement of the auxiliary cavity  $a_2$ , we first investigate the effect of the coupling strength of the two cavities  $J$  on the optical force spectrum in order to obtain the optimal value. The optical force spectrum  $S_{\text{FF}}(\omega)$  are plotted versus the frequency  $\omega$  with different optical coupled strength  $J$  in Fig. 2. For the case of standard optomechanical system, i.e.,  $J=0$ , it is necessary to meet the condition of resolved sideband ( $\kappa_1 < \omega_m$ ) to realize ground-state cooling according to the sideband cooling regime [51]. Since our scheme works in the unresolved-sideband regime ( $\kappa_1 > \omega_m$ ), the optical force spectrum will show a Lorentzian line with the width larger than the MR's frequency (gray dotted line), and cooling spectrum  $S_{\text{FF}}(+\omega_m)$  and heating spectrum  $S_{\text{FF}}(-\omega_m)$  are comparable, thus the ground-state cooling of the MR cannot be achieved [10, 51, 52]. However, in our current scheme, because of the coupling of the two cavities, the optical force spectrum  $S_{\text{FF}}(\omega)$  has a more complex form than that of the standard optomechanical system. The Lorentz spectrum will be modified to two peaks and a valley. The position of the valley is always located  $\omega = -\omega_m$ , the positions of the peaks are determined by



**Fig. 2** The optical force spectrum  $S_{\text{FF}}(\omega)$  (in arbitrary units) versus the frequency  $\omega$  for different optical coupled strength  $J$ . The parameters are taken as  $\Delta'_1 = -\omega_m$ ,  $\Delta_2 + \Delta_F = -\omega_m$ ,  $G = 0.1\omega_m$ ,  $\kappa_1 = 3\omega_m$ ,  $\kappa_2 = 0.1\omega_m$ .

the value of  $J$ . In addition, the width of each peak is smaller than the MR frequency, so that the MR can be cooled close to its ground state even in unresolved sideband case.

Actually, the two split peaks of the optical force spectrum originate precisely from the normal mode splitting due to the coupling between the two cavities. To explain the physical mechanism, we give the diagonalized Hamiltonian  $H_{\text{eff}}$  with  $H_{\text{diag}} = E_+ a_b^\dagger a_b + E_- a_d^\dagger a_d + G'(a_b^\dagger + a_b + a_d^\dagger + a_d) \cdot (b^\dagger + b)$ , where  $a_{b,d} = \frac{a_1 \pm a_2}{\sqrt{2}}$ ,  $G' = \frac{G}{\sqrt{2}}$ . By adjusting the appropriate parameters, the MR can resonate with the bright mode, which result in the cooling of the MR. If the MR is far away from resonating, then the MR is decoupled from the diagonalized cavity modes, so the MR will heating by the thermal environment. We give the effective frequency of the normal mode as

$$E_{\pm} = \frac{1}{2} \left[ \Delta'_1 + (\Delta_2 + \Delta_F) \pm \sqrt{(\Delta'_1 - (\Delta_2 + \Delta_F))^2 + 4J^2} \right]. \quad (8)$$

Here, we focus only on the cooling peak on the right, which corresponds to the optimal cooling effect  $E_+ = \omega_m$ . Meanwhile, we found that the valley of the optical force spectrum is always located at  $\omega = \Delta_2 + \Delta_F = -\omega_m$ , in this case, the phenomenon of quantum destructive interference happens, thereby the heating process of MR is suppressed effectively. As a result, we can set

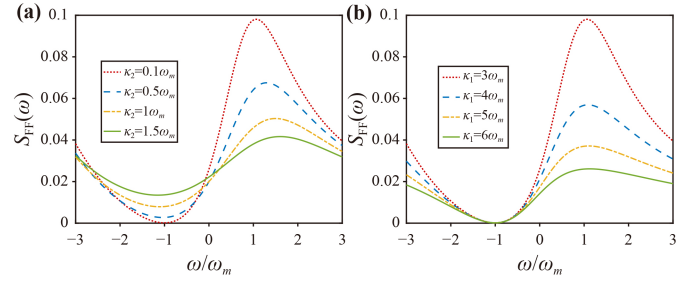
$$J = \sqrt{2\omega_m(\omega_m - \Delta'_1)}. \quad (9)$$

Optimal cooling is achieved when the above conditions are met.

In Fig. 3, the optical force spectrum  $S_{\text{FF}}(\omega)$  are plotted versus the frequency  $\omega$  with different decay rate  $\kappa_{1,2}$  under the optimal coupling strength in Eq. (9), where we choose  $\Delta'_1 = -3\omega_m$ , then the corresponding optimal coupling strength  $J = 2\sqrt{2}\omega_m$ . For the sake of observation, we kept only the valley and the cooling peak on the right. It is worth noting that even if the optimal cooling conditions have been met, the decay rate  $\kappa_2$  also needs to be controlled, as it directly affects the depth of the valley of heating process, as well as the height of the peak of cooling process. That is, the smaller decay rate  $\kappa_2$ , the better effect for cooling. Moreover, We find that  $\kappa_1$  does not affect the suppression of the heating process, but does affect the cooling process, but even so, ground-state cooling also can be achieved in the unresolved sideband condition.

#### 4 Nonreciprocal cooling mechanism

The master equation of the system has the following form:

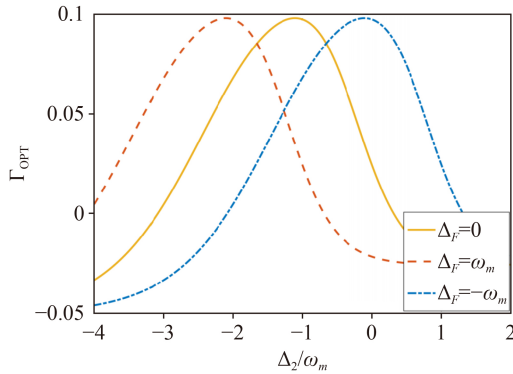


**Fig. 3** The optical force spectrum  $S_{\text{FF}}(\omega)$  (in arbitrary units) versus the frequency  $\omega$  for different decay rates of (a) the second cavity  $\kappa_2$  and (b) the optomechanical cavity  $\kappa_1$  in the optimum conditions with  $\Delta'_1 = -3\omega_m$ ,  $J = 2\sqrt{2}\omega_m$ ,  $\Delta_2 + \Delta_F = -\omega_m$ , and  $G = 0.1\omega_m$ . The other parameters are taken as  $\kappa_1 = 3\omega_m$  for (a),  $\kappa_2 = 0.1\omega_m$  for (b).

$$\dot{\rho} = -i[H_{\text{eff}}, \rho] + \kappa_1 \mathcal{L}[a_1] \rho + \kappa_2 \mathcal{L}[a_2] \rho + \gamma_m (n_{\text{th}} + 1) \mathcal{L}[b] \rho + \gamma_m n_{\text{th}} \mathcal{L}[b^\dagger] \rho, \quad (10)$$

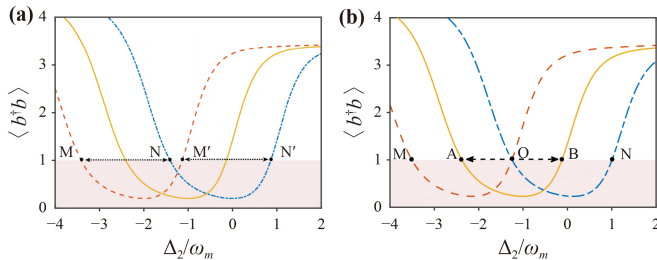
where  $\mathcal{L}[\rho] = \rho \rho^\dagger - (\rho^\dagger \rho + \rho \rho^\dagger) / 2$  is the Lindblad superoperator, describing the decay of the two cavity modes and the mechanical oscillator respectively.  $n_{\text{th}}$  is the thermal phonon number given by  $n_{\text{th}} = [e^{\hbar\omega_m / (k_B T)} - 1]^{-1}$ , where  $T$  is the environmental temperature and  $k_B$  is Boltzmann constant.

After discussing the cooling process and the optimal conditions for achieving cooling, we next investigated the nonreciprocal cooling mechanism. Using experimentally feasible parameters [2, 45, 53, 54], that is,  $\lambda = 1550$  nm,  $n = 1.48$ ,  $r = 4.75$  mm,  $\Omega = 6$  kHz and  $\omega_m / (2\pi) = 2.3$  MHz, thus  $\Delta_F \approx \omega_m$ . The resonator with a radius of  $r = 1.1$  mm can spin at an angular velocity of  $\Omega = 6.6$  kHz [45]. It has been experimentally demonstrated that using a levitated optomechanical system,  $\Omega$  can even be increased to GHz values [55, 56]. The optomechanical strength  $g$  is typically  $10^3$ – $10^6$  Hz in optical microresonators [2, 46, 57, 58], and  $J$  can be adjusted by changing the distance of the double resonators [59]. Figure 4 shows the analytical net cooling rate  $\Gamma_{\text{opt}} = A_- - A_+$  as a function of the detuning  $\Delta_2$ , where the yellow curve represents the spin angular velocity of WGC is 0, and the red dashed line (blue dot-dashed line) refers to the laser driven against (along) the spinning direction of  $a_2$ , i.e.,  $\Delta_F > 0$  ( $\Delta_F < 0$ ). It can be seen that the maximum net cooling rate is located at the point  $\Delta_2 + \Delta_F = -\omega_m$ . The numerical simulation steady-state average phonon number  $\langle b^\dagger b \rangle$  versus the effective detuning  $\Delta_2$  by using the master equation (10) for  $\Delta_F >, =, < 0$  are plotted in Fig. 5. The maximum value of the net cooling rate corresponds exactly to the lowest point of the average phonon number. In Fig. 5(a), there are two nonreciprocal cooling segments on  $\Delta_2$ -axis, corresponding to horizontal lines MN and M'N'. When the optimal conditions (9) are met, taking the value of  $\Delta_2$  from line MN (M'N'), it is possible to obtain the ground-state cooling and get its optimal value near  $\Delta_2 + \Delta_F = -\omega_m$  for  $\Delta_F > 0$  ( $\Delta_F < 0$ ).

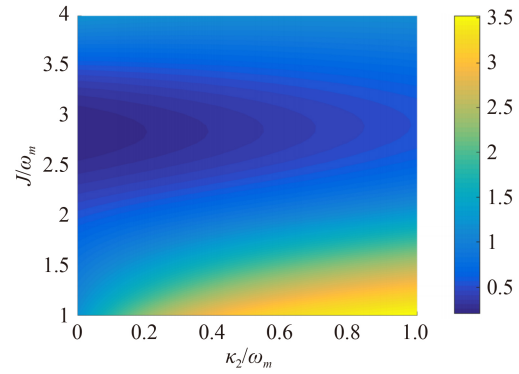


**Fig. 4** The net cooling rate  $\Gamma_{\text{opt}} = A_- - A_+$  versus the detuning of the WGC mode  $a_2$ . The yellow solid line represents the spin angular velocity of WGC is 0, and the red dashed line (blue dot-dashed line) refers to the laser driven against (along) the spinning direction of  $a_2$ , i.e.,  $\Delta_F = \omega_m$  ( $\Delta_F = -\omega_m$ ). The parameters are chosen as  $\Delta_1' = -3\omega_m$ ,  $J = 2\sqrt{2}\omega_m$ ,  $G = 0.1\omega_m$ ,  $\kappa_1 = 3\omega_m$  and  $\kappa_2 = 0.1\omega_m$ .

That is say, at a given angular velocity, when the propagation direction of driving light is opposite to the spin direction of  $a_2$ , the MR can be cooled down to its ground state, meanwhile the propagation direction of driving light is along with the spin direction of  $a_2$ , it cannot be effectively cooled, and vice versa, i.e., nonreciprocal cooling is achieved. The two nonreciprocal cooling segments are separated by  $N \rightarrow M'$ , and when the spin angular velocity  $\Omega = 0$ , the nonreciprocity disappears, even if the direction of the driving laser is changed. In Fig. 5(b), we show that by choosing a special value of  $\Delta_F$ , we can achieve a maximum range of nonreciprocal cooling regions. In the absence of rotation, points A and B are the two boundary points for the ground-state cooling, respectively. In order to achieve the maximum range of nonreciprocal ground-state cooling, it is essential to make  $\Delta_2(B) - \Delta_2(A) = |2\Delta_F|$ . Then, the two nonreciprocal segments will intersect at a point O, and  $\Delta_2(B) - \Delta_2(O) = \Delta_2(O) - \Delta_2(A) = |\Delta_F|$ , the nonreciprocal cooling segment is concentrated in



**Fig. 5** The steady-state average phonon number  $\langle b^\dagger b \rangle$  versus the detuning of the WGC mode  $a_2$ . The yellow solid line represents the spin angular velocity of WGC is 0, and the red dashed line (blue dot-dashed line) refers to the laser driven against (along) the spinning direction of  $a_2$ . In (a), we choose  $\Delta_F = \pm\omega_m$ . In (b), we choose  $\Delta_F \approx \pm 1.129\omega_m$ . Other parameters are the same as Fig. 4, and  $n_{\text{th}} = 1000$ ,  $\gamma_m = 10^{-5}\omega_m$ .

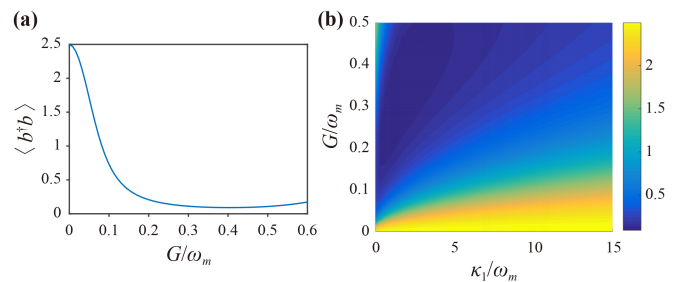


**Fig. 6** The average phonon number  $\langle b^\dagger b \rangle$  versus the decay rate  $\kappa_2$  and the optical coupling strength  $J$ . The parameters are taken as  $\Delta_2 + \Delta_F = -\omega_m$ ,  $n_{\text{th}} = 1000$ ,  $\gamma_m = 10^{-5}\omega_m$ . Other parameters are the same as Fig. 4.

horizontal line MN.

By employing the master equation, the numerical simulation for the average phonon number  $\langle b^\dagger b \rangle$  of the steady state versus the coupled strength of two optical cavities  $J$  and the decay rate of WGC mode  $\kappa_2$  are shown in Fig. 6(a). From the figure, it can be seen that when a value of detuning  $\Delta_1 = -3\omega_m$  is given, the optimal cooling can be achieved with the hopping strength between the two cavities at  $2\sqrt{2}\omega_m$ , which is in accordance with the optimal conditions derived above. In addition, the final average phonon number decreases as the decay rate  $\kappa_2$  increases.

In Fig. 7(a),  $\langle b^\dagger b \rangle$  is plotted versus the optomechanical coupling strength  $G$ . We found that the average phonon number decreases with the increase of the optomechanical coupling strength in a appropriate range. This is due to the fact that as the optomechanical coupling strength increases, beam-splitter interaction dominates so the ability of the optical field vacuum bath to absorb thermal phonons increases. When the  $G$  continues to increase, the counter rotating-wave term which has a heating effect on the MR gradually dominates, so that the average phonon number tends to increase. In Fig. 7(b),  $\langle b^\dagger b \rangle$  is



**Fig. 7** (a) The steady-state average phonon number  $\langle b^\dagger b \rangle$  versus the optomechanical coupling strength  $G$ . (b) The average phonon number  $\langle b^\dagger b \rangle$  versus the decay rate  $\kappa_1$  and the optomechanical coupling strength  $G$ . The parameters are taken as  $\Delta_2 + \Delta_F = -\omega_m$ ,  $n_{\text{th}} = 1000$ ,  $\gamma_m = 10^{-5}\omega_m$ . Other parameters are the same as Fig. 4.

shown as a function of the optomechanical coupling strength  $G$  and the decay rate  $\kappa_1$ . The average phonon number decreases rapidly as  $G$  increases in the appropriate range. Also it gets larger as the decay rate  $\kappa_1$  increases. It is worth noting that there is a small area in the upper left corner where the average phonon number becomes larger when  $\kappa_1$  approaches 0. Actually, the vacuum bath of the cavity field extracts the thermal excitation in the MR by means of nonequilibrium dynamics, and then the whole system reaches a steady state. When the optomechanical decay rate  $\kappa_1$  is approximately 0, the vacuum bath cannot extract the thermal phonons in the MR, so that the system will be thermalized to the thermal equilibrium state.

## 5 Conclusions

In summary, we theoretically investigate the role of rotation in achieving nonreciprocal ground-state cooling of MR in a system consisting of a cavity optomechanical resonator coupled to a spinning optical resonator. We analyze the optical force spectrum and derive the optimal cooling conditions, and we demonstrate that the auxiliary WGC mode in our present system results in the quantum interference effect, so the cooling of MR can be achieved even if the optomechanical cavity decay is in unresolved sideband regime. We also show that the optical Sagnac effect strongly modifies the cooling and heating process which depending on whether the input direction of the drive laser is opposite to or the same as the direction of the WGC mode. We point out that the mechanical mode coupled to a WGC optical mode has been investigated experimentally [53, 60, 61], in addition, some studies have extended the optical Sagnac effect [38, 40, 44]. The present scheme continues the study of this topic and focuses on the quantum aspects of its nonreciprocal properties.

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