

# Clinical significance of CD34<sup>+</sup>CD117<sup>dim</sup>/CD34<sup>+</sup>CD117<sup>bri</sup> myeloblast-associated gene expression in t(8;21) acute myeloid leukemia

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**Abstract** t(8;21)(q22;q22) acute myeloid leukemia (AML) is a highly heterogeneous hematological malignancy with a high relapse rate in China. Two leukemic myeloblast populations (CD34<sup>+</sup>CD117<sup>dim</sup> and CD34<sup>+</sup>CD117<sup>bri</sup>) were previously identified in t(8;21) AML, and CD34<sup>+</sup>CD117<sup>dim</sup> cell proportion was determined as an independent factor for this disease outcome. Here, we examined the impact of CD34<sup>+</sup>CD117<sup>dim</sup>/CD34<sup>+</sup>CD117<sup>bri</sup> myeloblast-associated gene expression on t(8;21) AML clinical prognosis. In this study, 85 patients with t(8;21) AML were enrolled. The mRNA expression levels of CD34<sup>+</sup>CD117<sup>dim</sup>-associated genes (*LGALS1*, *EMP3*, and *CRIP1*) and CD34<sup>+</sup>CD117<sup>bri</sup>-associated genes (*TRH*, *PLAC8*, and *IGLL1*) were measured using quantitative reverse transcription PCR. Associations between gene expression and clinical outcomes were determined using Cox regression models. Results showed that patients with high *LGALS1*, *EMP3*, or *CRIP1* expression had significantly inferior overall survival (OS), whereas those with high *TRH* or *PLAC8* expression showed relatively favorable prognosis. Univariate analysis revealed that CD19, CD34<sup>+</sup>CD117<sup>dim</sup> proportion, *KIT* mutation, minimal residual disease (MRD), and expression levels of *LGALS1*, *EMP3*, *CRIP1*, *TRH* and *PLAC8* were associated with OS. Multivariate analysis indicated that *KIT* mutation, MRD and *CRIP1* and *TRH* expression levels were independent prognostic variables for OS. Identifying the clinical relevance of CD34<sup>+</sup>CD117<sup>dim</sup>/CD34<sup>+</sup>CD117<sup>bri</sup> myeloblast-associated gene expression may provide new clinically prognostic markers for t(8;21) AML.

**Keywords** t(8; 21)(q22; q22) AML; CD34<sup>+</sup>CD117<sup>dim</sup>/ CD34<sup>+</sup>CD117<sup>bri</sup> cell population; gene expression; prognosis

## Introduction

Acute myeloid leukemia (AML) with t(8;21)(q22;q22) is a malignant hematological proliferative disease characterized by the accumulation of clonal proliferative myeloid cells that are arrested at different hematological stages [1]. The fusion protein *RUNX1-RUNX1T1*, which is produced by the (8;21) translocation, could block myeloid cell differentiation. However, this protein is not sufficient to induce leukemia, and additional genetic alterations are required to address t(8;21) AML pathogenesis [2]. t(8;21) is one of the most frequent chromosomal translocations in AML and accounts for 10%–15% of adult *de novo* AML

cases [3–6]. Most of the t(8;21) AML cases belong to the AML-M2b subtype in the FAB nomenclature. Although t(8;21) AML is a favorable subtype [7], it has a high relapse rate in China and some other countries, leading to poor prognosis. Thus, its pathogenesis needs investigation.

In our previous study, CD34<sup>+</sup> myeloblasts are classified into two heterogeneous cell populations, namely, CD34<sup>+</sup>CD117<sup>dim</sup> and CD34<sup>+</sup>CD117<sup>bri</sup> (bri), by using the antibody combination of CD34 and CD117 in t(8;21) AML, according to CD117 expression level. These myeloblasts are blocked at different myeloid stages and have distinct characteristics that manifest through several approaches, including single-cell RNA sequencing (scRNA-seq), RNA sequencing (RNA-seq), and morphological and immunophenotypic analyses [8]. The CD34<sup>+</sup>CD117<sup>dim</sup> cell population is located at the earliest stage of myeloid differentiation, exhibits high expression of granulocyte-monocyte progenitor markers, and presents a leukemia stem cell gene

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expression signature. Bulk RNA-seq data in 62 patients with t(8;21) AML revealed that several genes are aberrantly upregulated in patients with different proportions of cell populations. Normal hematopoiesis and cellular differentiation are believed to strictly depend on transcriptional regulation systems. Aberrantly high gene expression in heterogeneous cell populations may lead to the abnormal phenotypes of these cells. Hence, the role of the overexpressed genes in the CD34<sup>+</sup>CD117<sup>dim</sup>/CD34<sup>+</sup>CD117<sup>bri</sup> population in t(8;21) AML deserves further investigation. In addition, our previous study revealed that the proportion of the CD34<sup>+</sup>CD117<sup>dim</sup> population is associated with the disease clinical outcome. Combined with *KIT* mutation, which is a well-established prognostic factor in t(8;21) AML, t(8;21) AML could be further stratified into one low-risk subgroup, two intermediate-risk subgroups, and one high-risk subgroup. Whether the highly expressed genes in CD34<sup>+</sup>CD117<sup>dim</sup>/CD34<sup>+</sup>CD117<sup>bri</sup> cells are associated with t(8;21) AML prognosis need to be addressed. Therefore, the present work aimed to investigate the gene expression and prognostic value of the overexpressed genes in CD34<sup>+</sup>CD117<sup>dim</sup> and CD34<sup>+</sup>CD117<sup>bri</sup> cells and the correlations between gene expression and gene mutations in t(8;21) AML.

## Materials and methods

### Patient characteristics

This study enrolled 85 patients with *de novo* t(8;21) AML and 21 healthy donors from Ruijin Hospital Affiliated to Shanghai Jiao Tong University School of Medicine, Shanghai, China. Informed consent was obtained from all the patients and healthy donors in accordance with the *Helsinki Declaration II*.

The patients were treated with standard first-line “3 + 7” induction regimens consisting of idarubicin (12 mg/m<sup>2</sup> for days 1–3) and Ara-C (100 mg/m<sup>2</sup> for days 1–7), followed by 2–4 courses of high-dose cytarabine-based therapy (2 g/m<sup>2</sup> every 12 h for days 1–3, a total of six doses) or allogeneic hematopoietic stem cell transplantation (allo-HSCT) as consolidation therapy. Among the 85 patients, 16 (18.82%) received allo-HSCT.

### Gene expression analysis via qRT-PCR

Quantitative reverse transcription PCR (qRT-PCR) was conducted to detect the gene expression levels of *LGALS1*, *ANXA2*, *EMP3*, *TRH*, *PLAC8*, and *IGLL1* by using TB Green<sup>TM</sup> Premix Ex *TaqII* (Tli RNaseH Plus) (TAKARA, Japan) on an ABI ViiA 7 detection system (Life technologies, USA). Positive and negative controls were included in all assays. All values were normalized to *GAPDH* mRNA levels and presented as  $\Delta$ CT values. The primer sequences are listed in Table S1.

### Gene expression analysis via 10x Genomics scRNA-seq

Gene expression data and cell annotations of 10x Genomics scRNA-seq were obtained from our previously study [8]. Gene set enrichment analysis (GSEA) was performed using the clusterProfiler R package [9]. Gene sets were downloaded from the Molecular Signatures Database (MSigDB, v7.1) of the Broad Institute. Hallmark gene sets (H) and Kyoto Encyclopedia of Genes and Genomes (KEGG) gene sets (C2) were used to perform GSEA in 1000-gene set. R package enrichplot was employed to visualize the GSEA results. Uniform manifold approximation and projection (UMAP) were utilized to reduce dimensionality by using the first 20 principal components for visualization. Clusters were identified using differentially expressed genes with an adjusted *P* value ( $p_{val\_adj} \leq 0.05$ ) and an average log fold change ( $avg\_logFC \geq 0.2$ ). The *P* values of the highly expressed genes in CD34<sup>+</sup>CD117<sup>dim</sup> and CD34<sup>+</sup>CD117<sup>bri</sup> populations were calculated using two-sided Wilcoxon test. Heatmap and ggplot2 R packages were employed for the visualization of the gene expression data.

### TCGA cohort analysis

Data from the public database The Cancer Genome Atlas (TCGA-LAML) were used to analyze the gene expression levels of patients with different AML subtypes [10]. The raw expression matrix of fragments per kilobase per million (FPKM) and clinical data were downloaded using TCGAAbiolinks [11].  $\log_2(\text{FPKM}+1)$  was applied to evaluate the expression level of each gene.

### Statistical analysis

The clinical characteristics of the two groups were analyzed using  $\chi^2$ -test or Fisher's exact test for categorical parameters and Mann-Whitney U test for continuous variables. Overall survival (OS) was measured from the date of disease diagnosis to the date of death (failure) or the last follow-up time (censored). A Cox regression model was employed for the univariate and multivariate analyses of OS. Kaplan-Meier method was applied to estimate the probabilities of OS, and the log-rank test was utilized to compare the *P* values. Major molecular remission (MMR) was based on the *RUNX1-RUNX1T1* transcript level as previously described [12–14]. Statistical analyses were performed with SPSS 25.0 (IBM) and GraphPad Prism 6.0.

## Results

### Gene expression in t(8;21) AML

The previous scRNA-seq data of nine patients with t(8;21) AML [8] were analyzed. GSEA results revealed that

CD34<sup>+</sup>CD117<sup>dim</sup> cells had highly expressed genes enriched in the epithelia-mesenchymal transition (EMT), apical junction, IL6-JAK-STAT3 signaling, and TNFA signaling via the NF- $\kappa$ B pathways. The genes associated with DNA repair and G2M checkpoint were activated in the CD34<sup>+</sup>CD117<sup>bri</sup> population (Fig. 1A). Given that the genes related to cell adhesion and EMT pathways were highly expressed in the CD34<sup>+</sup>CD117<sup>dim</sup> population, the genes participating in these pathways were further evaluated. The results showed that *CRIP1*, *LGALS1*, and *EMP3* associated with cell adhesion and EMT were overexpressed in the CD34<sup>+</sup>CD117<sup>dim</sup> population, with significant *P* values ( $P < 0.0001$ , Fig. 1B). Thus, these genes were selected as candidate genes in the following study. The highly expressed genes in CD34<sup>+</sup>CD117<sup>bri</sup> cells with significant *P* values were also analyzed. *PLAC8*, *TRH*, and *IGLL1* (Fig. 1B) were selected for subsequent study because they could be specifically quantified by the qRT-PCR primers. The RNA-seq data obtained from the bone marrow mononuclear cell (BMMC) samples of 62 patients with t(8;21) AML also confirmed that *CRIP1*, *LGALS1*, and *EMP3* were overexpressed in patients with a high proportion of CD34<sup>+</sup>CD117<sup>dim</sup> cells, and *PLAC8*, *TRH*, and *IGLL1* were highly expressed in those with a high proportion of CD34<sup>+</sup>CD117<sup>bri</sup> cells (Fig. 1C). In our previous study, CD34<sup>+</sup>CD117<sup>dim</sup> ratio was found to be associated with clinical outcome, and patients with a high proportion of CD34<sup>+</sup>CD117<sup>dim</sup> or CD34<sup>+</sup>CD117<sup>bri</sup> populations present poor or favorable clinical outcomes, respectively. Hence, whether the highly expressed genes in the CD34<sup>+</sup>CD117<sup>dim</sup> (*LGALS1*, *CRIP1*, and *EMP3*) or CD34<sup>+</sup>CD117<sup>bri</sup> (*TRH*, *PLAC8*, and *IGLL1*) population may be related to inferior or favorable prognoses, respectively, in t(8;21) AML was determined in the present work.

### Gene expression in AML

The expression levels of *LGALS1*, *CRIP1*, *EMP3*, *TRH*, *PLAC8*, and *IGLL1* in BMMC samples from different AML subtypes, including M0–M7 in the FAB nomenclature, were compared using the bulk RNA-seq data from the TCGA AML project. *TRH* and *IGLL1* levels in t(8;21) AML were significantly higher than their mean expression in other AML subtypes, whereas *LGALS1* expression in patients with t(8;21) AML was lower than its mean value in other AML subtypes. However, all AML subtypes presented high expression levels for all the six genes, with most of their log<sub>2</sub>(FPKM+1) value exceeding 10 (Fig. 2).

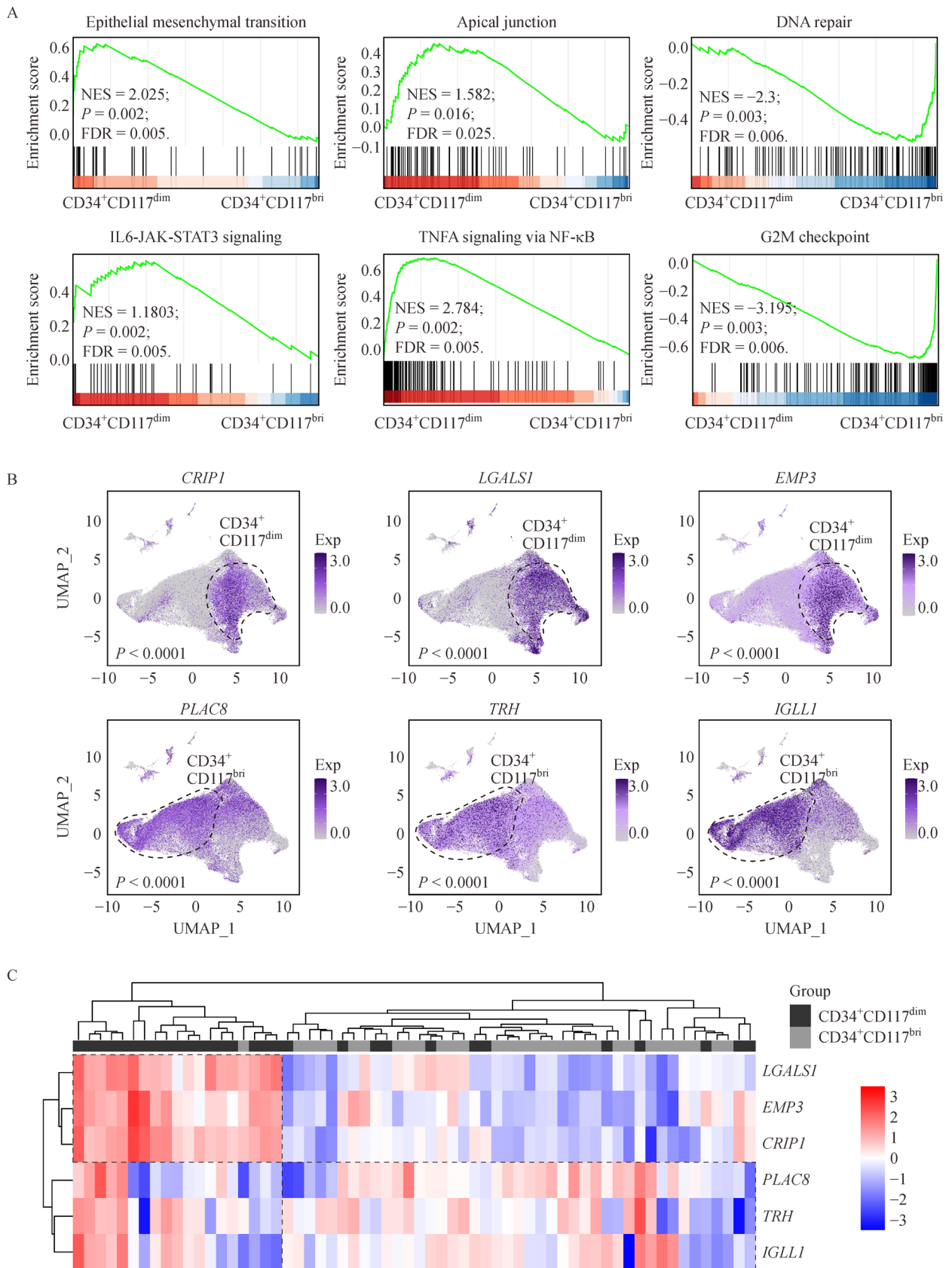
The expression levels of *LGALS1*, *EMP3*, *PLAC8*, *TRH*, *IGLL1*, and *CRIP1* in BMMC samples from 85 patients with t(8;21) AML and 21 healthy donors from our cohort were compared using qRT-PCR. The clinical characteristics of these 85 patients are summarized in Table 1. The expression levels of *LGALS1*, *CRIP1*, *TRH*, and *IGLL1* in

patients with t(8;21) AML were significantly higher than those in the healthy donors (Fig. 3A). Although *EMP3* and *PLAC8* levels were higher in patients with t(8;21) AML than in normal controls, the differences did not reach statistical significance (Fig. 3A).

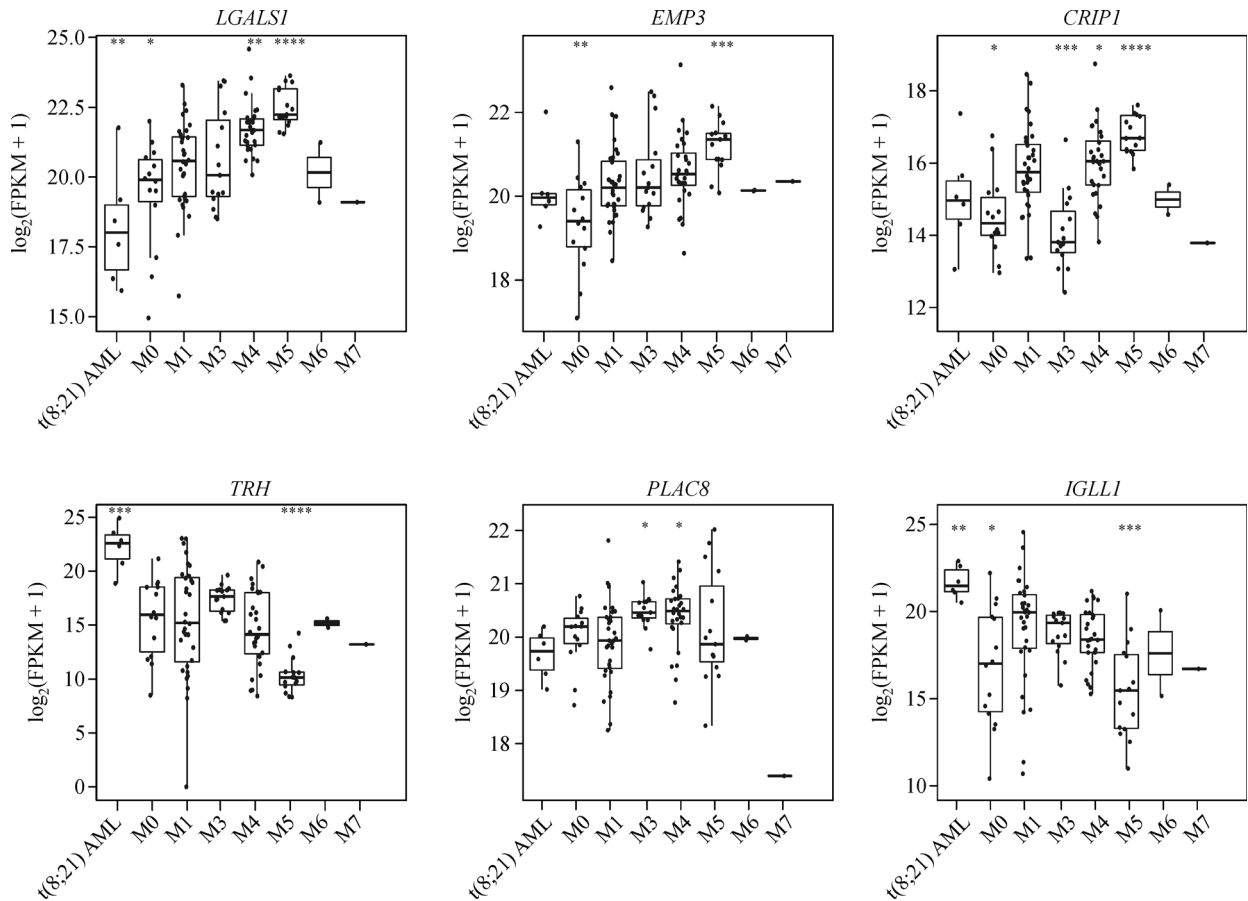
### Clinical features and outcomes related to gene expression in AML

The clinical relevance of the expression levels of *LGALS1*, *CRIP1*, *EMP3*, *PLAC8*, *TRH*, and *IGLL1* were further analyzed. For the 85 patients with t(8;21) AML in our cohort, the median relative expression levels of *LGALS1*, *CRIP1*, *EMP3*, *PLAC8*, *TRH*, and *IGLL1* to *GAPDH* were 0.1358, 0.0434, 0.082, 0.1039, 0.0691, and 0.06, respectively. The patients were further classified into high and low groups according to the median values of these genes. A nearly bimodal distribution was achieved (Fig. 3B); thus, the median values were defined as the cutoff values. The clinical features of the high and low groups for each gene were compared (Table 2). Patients with high *CRIP1* expression harbored more marrow blasts ( $P = 0.004$ ) than those with low expression. They also had more cytogenetic abnormalities other than t(8;21) ( $P = 0.016$ ) and tended to lose the sex chromosome ( $P = 0.001$ ) compared with those with low *CRIP1* expression. The expression levels of *CRIP1* in patients with other abnormal karyotypes were higher than those in patients with t(8;21), except for those with t(15;17) in TCGA database (Fig. S1). This finding confirmed our result from another aspect. The high *EMP3* expression group had high blood platelet counts at diagnosis ( $P = 0.047$ ). *FLT3*-ITD mutation and *PML-RARA* fusion transcript are correlated with low blood platelet counts [15–17], leading to poor prognosis in acute promyelocytic leukemia. However, whether blood platelet count is associated with clinical outcome in t(8;21) AML remains unknown [12,18–20]. Patients with high *IGLL1* expression tended to have a high median age ( $P = 0.001$ ) and more myeloblasts ( $P = 0.005$ ), whereas those with low *PLAC8* expression tended to have *KIT* gene mutations ( $P = 0.017$ ). No significant difference in gender, median white blood count, median hemoglobin, AM cell proportion, induction cycles, and relapse rate was observed among the different expression groups (Table 2).

The clinical outcomes of 85 patients with t(8;21) AML in the cohort were further analyzed. Patients with high expression levels of *LGALS1*, *EMP3*, and *CRIP1* presented significantly worse OS than those with low gene expression levels ( $P = 0.0146$ ,  $P = 0.0007$ , and  $P = 0.0354$ , respectively). The high *TRH* and *PLAC8* expression groups showed more favorable prognosis than the low expression groups ( $P = 0.0126$  and  $P = 0.0059$ , respectively, Fig. 4). The gene expression combination could further stratify these patients into two groups with significant differences (Fig. S2). Poor relapse-free survival



**Fig. 1** GSEA and expression of highly expressed genes in the CD34<sup>+</sup>CD117<sup>dim</sup> and CD34<sup>+</sup>CD117<sup>bri</sup> populations. (A) Representative GSEA plots showing the activated pathways in the CD34<sup>+</sup>CD117<sup>dim</sup> and CD34<sup>+</sup>CD117<sup>bri</sup> populations. Normalized enrichment score (NES), nominal *P* value, and false discovery rate (FDR) value are given. (B) Uniform manifold approximation and projection (UMAP) plots displaying the expression patterns of the highly expressed genes in the CD34<sup>+</sup>CD117<sup>dim</sup> and CD34<sup>+</sup>CD117<sup>bri</sup> populations from nine patients with primary t(8;21) AML after batch effects were removed. Each dot represents a cell, and the purple color indicates cells expressing the marker genes. Statistical significance was determined using a two-sided Wilcoxon test. (C) Hierarchical cluster analysis using six differentially expressed genes in the CD34<sup>+</sup>CD117<sup>dim</sup> and CD34<sup>+</sup>CD117<sup>bri</sup> populations in 62 patients with t(8;21) AML. The relative expression levels of genes (rows) among patients (columns) are shown.

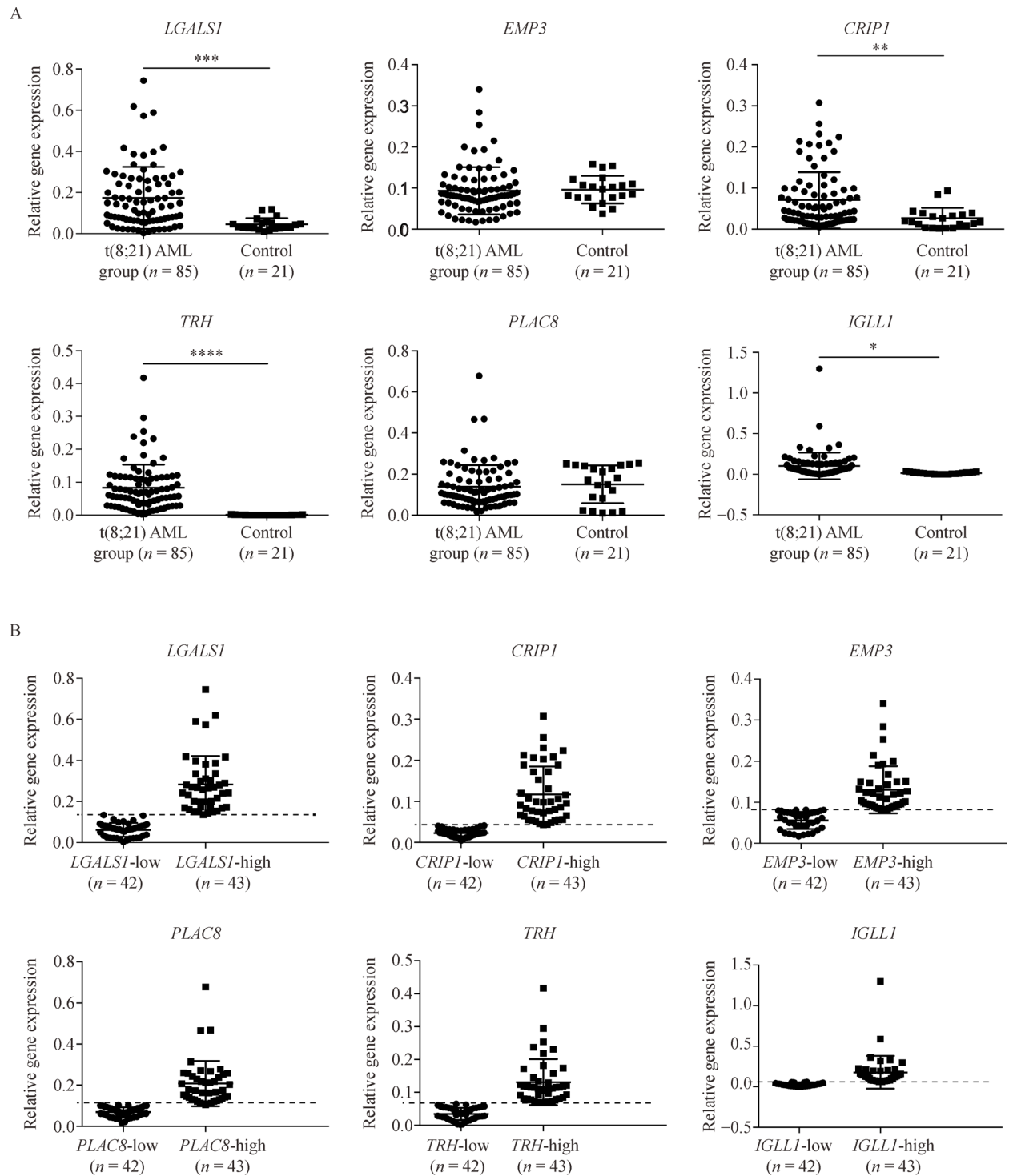


**Fig. 2** Gene expression levels of *LGALS1*, *EMP3*, *PLAC8*, *TRH*, *IGLL1*, and *CRIP1* in BMBC samples from patients with each AML subtype, including M0–M7 in the FAB nomenclature. t(8;21) AML represents the M2b subtype because most of the t(8;21) AML cases belong to this subtype. The gene expression of each AML subtype was compared with the mean value of the gene expression in other AML subtypes. \*  $P < 0.05$ ; \*\*  $P < 0.01$ ; \*\*\*  $P < 0.001$ ; \*\*\*\*  $P < 0.0001$ . Statistical significance was determined using two-sided Wilcoxon test.

**Table 1** Clinical characteristics of 85 patients with t(8;21) AML

Characteristics	Number (%) or median (range)
Age, median (range) (year)	40 (15–74)
Gender, male/female	46/39
WBC, median (range) ( $\times 10^9/\text{L}$ )	9.56 (0.80–94.6)
HGB, median (range) (g/L)	71.0 (41.0–116.0)
PLT, median (range) ( $\times 10^9/\text{L}$ )	32.0 (4.0–132.0)
Marrow blasts, median (range) (%)	45.5 (9.0–92.0)
AM, median (range) (%)	12.0 (0.0–45.0)
Karyotype <sup>a</sup>	
t(8;21) alone/all patients	26/78
Loss of X or Y chromosome/all patients	40/78
Molecular mutations	
<i>KIT</i> mutation/all patients	28/85
Induction cycle to attain CR	
1 cycle/> 1 cycle	75/10
HSCT/Chemotherapy	16/85

<sup>a</sup>78 patients were available for cytogenetic analysis.



**Fig. 3** Relative quantification of *LGALS1*, *EMP3*, *PLAC8*, *TRH*, *IGLL1*, and *CRIP1* expression. (A) Relative expression levels of *LGALS1*, *EMP3*, *PLAC8*, *TRH*, *IGLL1*, and *CRIP1* in BMMC samples from patients with t(8;21) AML and healthy donors. (B) Relative expression levels of *LGALS1*, *EMP3*, *PLAC8*, *TRH*, *IGLL1*, and *CRIP1* in BMMC samples from 85 patients with t(8;21) AML. The cutoff value of each gene is shown as a dashed line. \*  $P < 0.05$ ; \*\*  $P < 0.01$ ; \*\*\*  $P < 0.001$ ; \*\*\*\*  $P < 0.0001$ . Statistical significance was determined using two-sided Student's *t* test.

was observed for patients with high *LGALS1* expression and those with low *TRH* and *PLAC8* expression ( $P = 0.0323$ ,  $P = 0.0296$ , and  $P = 0.006$ , respectively, Fig. S3).

Data from TCGA AML project confirmed these results and showed that patients with high expression levels of *LGALS1*, *EMP3*, and *CRIP1* had poor clinical outcome

Table 2 Gene expression and patient clinical characteristics

Gene expression	Age (year, median (range))	Male/female (n/n)	WBC count ( $\times 10^9/L$ , median (range))	HGB (g/L, median (range))	PLT ( $\times 10^9/L$ , median (range))	Marrow blasts (% median (range))	AM (% median (range))	Karyotype		Induction cycle to attain CR (1 cycle/>1 cycle)	Relapse <sup>b</sup> /CR
								t(8;21) alone/all	Loss of X or Y chromo-some (all)		
<i>CRP1</i>											
Low (n = 42)	40.0 (17-67)	19/23	9.02 (1.4-66.0)	72.50 (45.0-116.0)	26.50 (4.0-94.0)	35.35 (9.0-85.5)	12.75 (1.5-39.0)	17/36	11/36	38/4	18/39
High (n = 43)	41.0 (15-74)	27/16	10.8 (0.80-94.6)	70.0 (41.0-111.0)	33.0 (9.0-132.0)	51.5 (21.5-92.0)	10.0 (0.0-45.0)	9/42	29/42	37/6	22/41
P	0.981	0.104	0.191	0.644	0.689	0.004	0.341	0.016	0.001	0.766 <sup>a</sup>	0.502
<i>LGALS1</i>											
Low (n = 42)	40 (17-65)	24/18	10.17 (0.80-66.0)	77.5 (43.0-116.0)	32.50 (4.0-132.0)	43.0 (9.0-92.0)	14.25 (0.0-45.0)	13/40	22/40	38/4	16/39
High (n = 43)	41 (15-74)	22/21	9.56 (1.4-94.6)	67.0 (41.0-110.0)	27.0 (8.0-94.0)	49.0 (22.0-82.0)	10.0 (1.0-39.0)	13/38	18/38	37/6	24/41
P	0.623	0.580	0.559	0.093	0.712	0.124	0.135	0.873	0.500	0.766 <sup>a</sup>	0.117
<i>EMP3</i>											
Low (n = 42)	43.50 (17-67)	22/20	10.18 (2.4-66.0)	72.50 (41.0-116.0)	23.50 (4.0-73.0)	41.75 (9.0-92.0)	13.25 (0.0-45.0)	16/38	16/38	36/6	18/39
High (n = 43)	40.0 (15-74)	24/19	9.54 (0.80-94.6)	70.0 (49.0-111.0)	35.0 (6.0-132.0)	49.0 (12.0-82.0)	10.0 (1.0-38.0)	10/40	24/40	39/4	22/41
P	0.524	0.751	0.970	0.998	0.047	0.380	0.141	0.109	0.114	0.707 <sup>a</sup>	0.502
<i>TRH</i>											
Low (n = 42)	43.50 (17-67)	22/20	12.55 (0.8-94.6)	64.50 (41.0-116.0)	23.5 (4.0-132.0)	38.75 (9.0-92.0)	13.0 (0.0-38.0)	16/41	19/41	37/5	23/40
High (n = 43)	40.0 (15-74)	24/19	8.9 (1.4-82.0)	75.0 (43.0-116.0)	34.0 (8.0-55.0)	51.5 (13.0-86.5)	12.0 (1.5-45.0)	10/37	21/37	38/5	17/40
P	0.195	0.751	0.058	0.284	0.616	0.123	0.754	0.262	0.358	1.000 <sup>a</sup>	0.180
<i>PLAC8</i>											
Low (n = 42)	41.0 (17-65)	22/20	12.43 (1.4-94.6)	68.0 (41.0-113.0)	26.5 (6.0-132.0)	43.75 (9.0-86.5)	10.00 (1.0-38.0)	14/37	17/37	39/3	24/40
High (n = 43)	40.0 (15-74)	24/19	9.17 (0.8-52.8)	76.0 (42.0-116.0)	33.0 (4.0-73.0)	47.0 (13.0-92.0)	13.0 (0.0-45.0)	12/41	23/41	36/7	16/40
P	0.735	0.751	0.086	0.350	0.839	0.542	0.667	0.423	0.370	0.332 <sup>a</sup>	0.074
<i>IGLL1</i>											
Low (n = 42)	36 (17-65)	22/20	12.67 (0.8-82.0)	69.5 (42.0-116.0)	22.0 (4.0-132.0)	40.25 (9.0-78.0)	14.0 (1.0-45.0)	14/39	22/39	40/2	18/41
High (n = 43)	47 (15-74)	24/19	8.9 (1.4-94.6)	73.0 (41.0-116.0)	33.0 (6.0-94.0)	55.5 (21.5-92.0)	10.0 (0.0-39.0)	12/39	18/39	35/8	22/39
P	0.001	0.751	0.115	0.967	0.347	0.005	0.076	0.631	0.365	0.700	0.263

<sup>a</sup>Induction cycle was compared and tested using continuity correction. The remaining binary variables were tested by Pearson's Chi-square.<sup>b</sup>Among the 85 patients, 5 failed to achieve CR.

( $P = 0.00026$ ,  $P = 0.033$ , and  $P = 0.08$ , respectively), whereas those with high expression levels of *TRH* and *PLAC8* presented favorable outcomes ( $P = 0.00013$  and  $P = 0.0014$ , respectively, Fig. S4). Given the significantly higher *LGALS1* expression in patients with other AML subtypes than in patients with t(8;21) AML, the relationship of *LGALS1* expression and prognosis in patients with other AML subtypes was also examined by using the data from TCGA database. The result showed that patients with high *LGALS1* expression presented significantly poor OS in other AML subtypes ( $P = 0.00017$ , Fig. S5).

Univariate and multivariate analyses were performed to assess whether *LGALS1*, *CRIP1*, *EMP3*, *PLAC8*, *TRH*, and *IGLL1* expression levels are independent prognostic factors associated with OS (Table 3). The results of univariate analysis showed the association of OS with CD19 positive rate (HR, 0.400; 95% CI, 0.191–0.836;  $P = 0.015$ ), CD34<sup>+</sup>CD117<sup>dim</sup> proportion (HR, 2.444; 95% CI, 1.059–5.639;  $P = 0.036$ ), *KIT* mutation (HR, 3.340; 95% CI, 1.602–6.964;  $P = 0.001$ ), MRD status (HR, 0.356; 95% CI, 0.147–0.861;  $P = 0.022$ ), *LGALS1* expression (HR, 2.579; 95% CI, 1.165–5.707;  $P = 0.019$ ), *CRIP1* expression (HR, 2.188; 95% CI, 1.027–4.659;  $P = 0.042$ ), *EMP3* expression (HR, 3.710; 95% CI, 1.637–8.412;  $P = 0.002$ ), *PLAC8* expression (HR, 0.341; 95% CI, 0.153–0.756;  $P = 0.008$ ), and *TRH* expression (HR, 0.379; 95% CI, 0.173–0.830;  $P = 0.015$ ). The results of multivariate analysis revealed that *KIT* mutation (HR, 3.926; 95% CI, 1.635–9.427;  $P = 0.002$ ), MRD status (HR, 0.286; 95% CI, 0.108–0.758;  $P = 0.012$ ), *CRIP1* expression (HR, 2.651; 95% CI, 1.017–6.907;  $P = 0.046$ ), and *TRH* expression (HR, 0.237; 95% CI, 0.093–0.602;  $P$

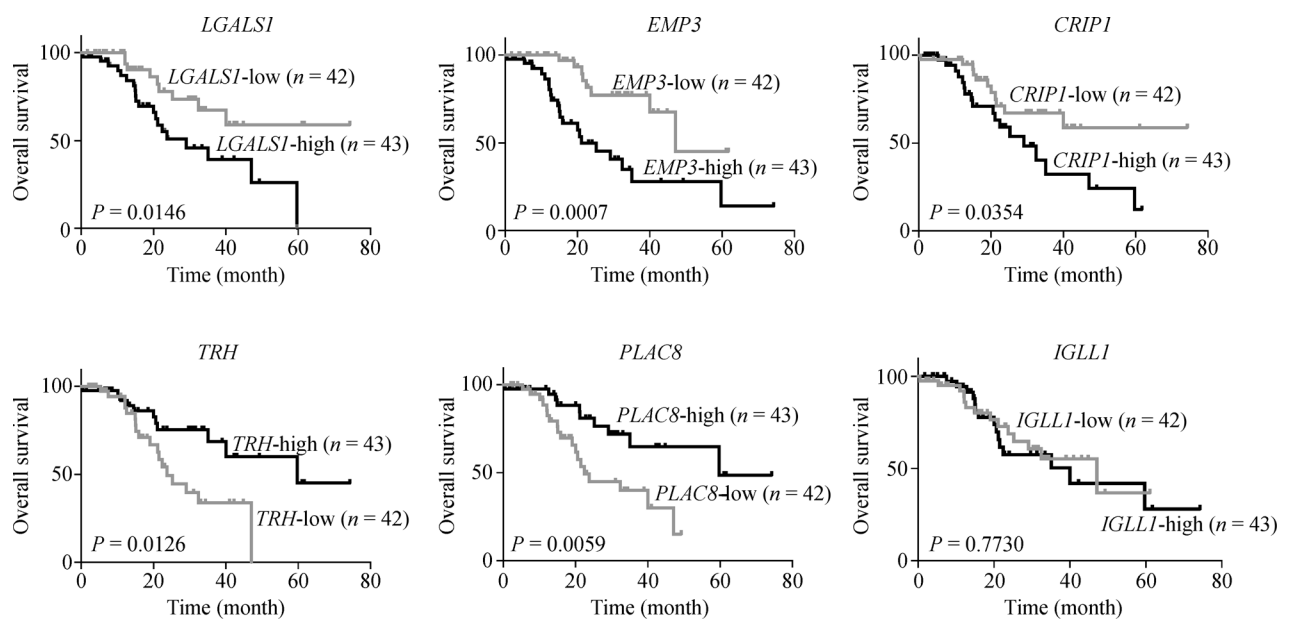
$= 0.002$ ) remained independent prognostic factors for OS, thus suggesting their important roles. However, no statistically significant difference was found in the CD34<sup>+</sup>CD117<sup>dim</sup> proportion and expression of *CRIP1* and *PLAC8*. This finding could be attributed to the small sample size. Further studies are thus required to confirm these results.

### Correlation between gene expression and mutation in gene families

The relationship between gene expression and mutation in gene families obtained from the previous 62 RNA-seq data was analyzed. Patients with high *PLAC8* expression harbored fewer gene mutations in the RTK/Ras family (*KIT*, *NRAS*, *FLT3*, *JAK2*, and *KRAS*) than those with low expression (40.6% vs. 66.7%,  $P = 0.046$ ). Patients with high *IGLL1* expression had fewer gene mutations in epigenetic modifiers (*ASXL2*, *TET2*, *ARID2*, *ASXL1*, *KDM6A*, *KMT2D*, *EZH2*, *IDH2*, and *JMJD1C*) than those with low expression levels (26.7% vs. 55.2%,  $P = 0.026$ ). However, no significant difference in the RTK/Ras family, epigenetic modifiers, transcriptional factors, cohesion complex or signaling pathways for *LGALS1*, *EMP3*, *CRIP1*, and *TRH* was observed between the high and low expression groups (Table 4).

### Discussion

In this study, scRNA-seq and bulk RNA-seq data from our previous work [8] were used and qRT-PCR was performed



**Fig. 4** Comparison of overall survival between patients with high and low gene expression levels. Survival curves were estimated using the Kaplan-Meier method and compared using the log-rank test.

**Table 3** Univariate and multivariate analyses for the clinical characteristics of OS in 85 patients with t(8;21) AML

Factor	OS			
	Univariate		Multivariate	
	HR (95% CI)	P value	HR (95% CI)	P value
Age (year)	1.019 (0.994–1.044)	0.138		
Gender (male vs. female)	0.730 (0.351–1.521)	0.401		
Marrow blasts (%)	1.011 (0.992–1.031)	0.249		
AM (%)	0.986 (0.954–1.020)	0.421		
WBC ( $\times 10^9/L$ )	1.015 (0.996–1.035)	0.115		
PLT ( $\times 10^9/L$ )	1.001 (0.985–1.016)	0.944		
CD56 (positive/negative)	1.797 (0.729–4.428)	0.203		
CD19 (positive/negative)	0.400 (0.191–0.836)	0.015		NS
t(8;21) (alone vs. additional change)	1.267 (0.577–2.783)	0.555		
Loss of sex chromosomes	0.694 (0.328–1.471)	0.341		
CD34 <sup>+</sup> CD117 <sup>dim</sup> % (High vs. low)	2.444 (1.059–5.639)	0.036		NS
<i>KIT</i> mutation	3.340 (1.602–6.964)	0.001	3.926 (1.635–9.427)	0.002
<i>LGALS1</i> expression	2.579 (1.165–5.707)	0.019		NS
<i>EMP3</i> expression	3.710 (1.637–8.412)	0.002	2.222 (0.866–5.702)	0.097
<i>CRIP1</i> expression	2.188 (1.027–4.659)	0.042	2.651 (1.017–6.907)	0.046
<i>TRH</i> expression	0.379 (0.173–0.830)	0.015	0.237 (0.093–0.602)	0.002
<i>PLAC8</i> expression	0.341 (0.153–0.756)	0.008		NS
<i>IGLL1</i> expression	1.123 (0.539–2.338)	0.757		
Minimal residual disease (achieving MMR vs. not achieving MMR)	0.356 (0.147–0.861)	0.022	0.286 (0.108–0.758)	0.012
Transplant status	0.413 (0.140–1.216)	0.109		

Factors with  $P < 0.10$  in the univariate analyses were subjected to multivariate analysis. Major molecular remission (MMR) was based on the *RUNX1-RUNX1T1* transcript level.

to investigate the gene expression levels of the highly expressed genes in CD34<sup>+</sup>CD117<sup>dim</sup> and CD34<sup>+</sup>CD117<sup>br</sup> cell populations in t(8;21) AML. The clinical characteristics of these genes and the related clinical outcomes were also analyzed.

scRNA-seq data revealed that *EMP3*, *CRIP1*, and *LGALS1* were highly expressed in CD34<sup>+</sup>CD117<sup>dim</sup> cells. *EMP3* belongs to the peripheral myelin protein 22-kDa (PMP22) gene family, is overexpressed in breast cancer, and is related to high *HER-2* expression. *HER-2* and *EMP3* co-expression is the most important indicator of clinical outcome for patients with urothelial carcinoma. Besides, high *EMP3* expression is related to poor prognosis in several tumors [21]. In this work, patients with high *EMP3* expression tended to have relatively high blood platelet counts at diagnosis. This finding was reminiscent of a recent report, which showed that early platelet recovery time was an independent prognostic factor in AML [22], and thus suggested the importance of monitoring the recovery of platelet throughout the therapy. Thus, the relationship between gene expression and platelet recovery time deserves further study. *CRIP1* functions as an intracellular zinc transport protein and acts as an oncogene in regulating migration and invasion through excessive zinc-induced EMT in colorectal cancer [23]. This protein is

an independent prognostic marker with remarkable predictive power in several solid tumors [24–26]. *LGALS1* (galectin 1) is a glycan that binds  $\beta$ -galactoside and a wide array of complex carbohydrates. This protein is upregulated in several types of cancer cells, and its abnormal expression is linked to the development, progression, and metastasis of cancers [27]. Human Cell Landscape database showed that *LGALS1* and *EMP3* are expressed in stromal cell populations (Fig. S6). All the above genes are involved in EMT that is associated with several pathways, such as TGF- $\beta$ , NF- $\kappa$ B, and PI3K-AKT signaling pathways [28–30]. During this process, epithelial cells acquire migratory and stem-like traits that lead them to be tumorigenic and malignant [31]. The clinical importance of EMT in solid tumors is well acknowledged, and EMT signatures could be used as indicators of poor clinical outcomes in various solid tumors [28,30–35]. However, the role of EMT in hematological malignancies is poorly understood. A study using an inducible transgenic mouse model of MLL-AF9-driven leukemia reported that EMT-associated genes are linked to poor prognosis in AML [36]. The present work confirmed this observation and showed that high *EMP3*, *CRIP1*, and *LGALS1* expression was significantly associated with poor OS in t(8;21) AML. The external cohort of the TCGA

**Table 4** Correlation between gene expression levels and mutation in gene families<sup>a</sup>

Group	RTK/Ras mutation /all	Epigenetic mutation /all	TF mutation/all	Cohesin complex mutation/all	Signaling mutation /all
<i>LGALS1</i>	31/59	24/59	11/59	10/59	7/59
Low ( <i>n</i> = 42)	16/30	15/30	6/30	3/30	5/30
High ( <i>n</i> = 43)	15/29	9/29	5/29	7/29	2/29
<i>P</i>	0.902	0.138	0.786	0.271 <sup>b</sup>	0.424 <sup>c</sup>
<i>EMP3</i>	31/59	24/59	11/59	10/59	7/59
Low ( <i>n</i> = 42)	15/30	15/30	4/30	5/30	5/30
High ( <i>n</i> = 43)	16/29	9/29	7/29	5/29	2/29
<i>P</i>	0.691	0.138	0.287	1.000 <sup>b</sup>	0.424 <sup>c</sup>
<i>CRIP1</i>	31/59	24/59	11/59	10/59	7/59
Low ( <i>n</i> = 42)	13/28	15/28	4/28	5/28	3/28
High ( <i>n</i> = 43)	18/31	9/31	7/31	5/31	4/31
<i>P</i>	0.371	0.055	0.414	1.000 <sup>b</sup>	1.000 <sup>c</sup>
<i>TRH</i>	31/59	24/59	11/59	10/59	7/59
Low ( <i>n</i> = 42)	17/29	15/29	5/29	7/29	6/29
High ( <i>n</i> = 43)	14/30	9/30	6/30	3/30	1/30
<i>P</i>	0.358	0.089	0.786	0.271 <sup>b</sup>	0.052 <sup>c</sup>
<i>PLAC8</i>	31/59	24/59	11/59	10/59	7/59
Low ( <i>n</i> = 42)	18/27	13/27	4/27	5/27	1/27
High ( <i>n</i> = 43)	13/32	11/32	7/32	5/32	6/32
<i>P</i>	0.046	0.283	0.488	1.000 <sup>b</sup>	0.112 <sup>c</sup>
<i>IGLL1</i>	31/59	24/59	11/59	10/59	7/59
Low ( <i>n</i> = 42)	18/29	16/29	6/29	5/29	6/29
High ( <i>n</i> = 43)	13/30	8/30	5/30	5/30	1/30
<i>P</i>	0.150	0.026	0.692	1.000 <sup>b</sup>	0.052 <sup>c</sup>

<sup>a</sup>85 patients with t(8;21) AML were grouped into high and low groups according to the expression levels of each gene as measured by qRT-PCR. Gene mutation was analyzed in 62 patients with t(8;21) AML and previous RNA-seq data. Among the 85 patients, 59 had previous RNA-seq data.

<sup>b</sup>Cohesin complex mutation was compared and tested using continuity correction.

<sup>c</sup>Signaling mutation was compared and tested using Fisher's Exact Test. The remaining binary variables were tested by Pearson's Chi-square.

AML project also confirmed our findings. However, these results should be validated in a large sample size. Further investigations are also needed to confirm the function of these genes in t(8;21) AML.

*TRH* and *IGLL1* were highly expressed in the CD34<sup>+</sup>CD117<sup>bri</sup> population, and their expression levels in t(8;21) AML were significantly higher than those in other AML subtypes. This finding was consistent with previous studies [37,38] showing that *TRH* expression is significantly higher in t(8;21) AML than in inv(16) AML. However, the functions of these two genes in AML remain poorly understood. One recent scRNA-seq study on primary glioblastoma showed that high *TRH* expression is inversely correlated with tumor invasion and may be related to cell proliferation [39]. This result was consistent with our finding that cell cycle pathways were activated in the CD34<sup>+</sup>CD117<sup>bri</sup> population. Another work on epigenetic and genetic heterogeneity in AML also revealed that high *IGLL1* expression is correlated with cell cycle and DNA repair [40]. Given that patients with a high proportion of CD34<sup>+</sup>CD117<sup>bri</sup> cells presented favorable

clinical outcomes, those with high expression levels of these two genes may have a good prognosis. t(8;21) AML is considered a favorable AML subtype. Whether high *TRH* and *IGLL1* expression could be used as a candidate prognostic marker in AML deserves further study.

Correlations between gene expression and genetic alterations were also analyzed. Although the result was preliminary, patients with low *PLAC8* expression tended to have more gene mutations in the RTK/Ras family. *PLAC8* is a conserved cysteine-rich protein that plays an important role in normal cellular processes and various diseases, and this action is highly reliant on cellular and physiological contexts [41,42]. Although *PLAC8* overexpression is associated with poor prognosis in several solid tumors [41–43], it was found to be related to favorable clinical outcomes in t(8;21) AML in this study. Whether the prognostic effect of high *PLAC8* expression is affected by gene mutations in the RTK/Ras family or whether these genes could regulate *PLAC8* expression needs further investigation.

## Summary

This study is the continuation of our previous work. A correlation was found between the clinical outcome and the highly expressed genes identified in different cell populations. The results suggested that cell adhesion and EMT might play important roles in t(8;21) AML pathogenesis. Identifying new biomarkers may lead to the development of new tools for future tailored therapy in t(8;21) AML.

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## Compliance with ethics guidelines

Xueping Li, Yuting Dai, Bing Chen, Jinyan Huang, Saijuan Chen, and Lu Jiang declare no potential conflicts of interest with respect to the research, authorship, and/or publication of this article. This study was approved by the Ruijin Hospital Review Board and was in accordance with the principle of the *Helsinki Declaration II*.

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