



# Numerical study of a bi-directional in-band pumped dysprosium-doped fluoride fiber laser at 3.2 $\mu\text{m}$ \*

Lingjing LI<sup>§1</sup>, Chunyang MA<sup>§2</sup>, Nian ZHAO<sup>1</sup>, Jie PENG<sup>1</sup>, Bin LIU<sup>1</sup>,  
 Haining JI<sup>1</sup>, Yuchen WANG<sup>‡3</sup>, Pinghua TANG<sup>‡1</sup>

<sup>1</sup>School of Physics and Optoelectronics, Xiangtan University, Xiangtan 411105, China

<sup>2</sup>Research Center of Circuits and Systems, Peng Cheng Laboratory, Shenzhen 518055, China

<sup>3</sup>Shanghai Institute of Optics and Fine Mechanics, Chinese Academy of Sciences, Shanghai 201899, China

E-mail: 201705710111@smail.xtu.edu.cn; macy15@foxmail.com; nzhao@xtu.edu.cn; jpeng@xtu.edu.cn; liubin@xtu.edu.cn;  
 sdytjhn@xtu.edu.cn; wangyuchen@siom.ac.cn; pinghuatang@xtu.edu.cn

Received Oct. 16, 2023; Revision accepted Nov. 26, 2023; Crosschecked June 3, 2024

**Abstract:** Dy<sup>3+</sup>-doped fluoride fiber lasers have important applications in environment monitoring, real-time sensing, and polymer processing. At present, achieving a high-efficiency and high-power Dy<sup>3+</sup>-doped fluoride fiber laser in the mid-infrared (mid-IR) region over 3  $\mu\text{m}$  is a scientific and technological frontier. Typically, Dy<sup>3+</sup>-doped fluoride fiber lasers use a unidirectional pumping method, which suffers from the drawback of high thermal loading density on the fiber tips, thus limiting power scalability. In this study, a bi-directional in-band pumping scheme, to address the limitations of output power scaling and to enhance the efficiency of the Dy<sup>3+</sup>-doped fluoride fiber laser at 3.2  $\mu\text{m}$ , is investigated numerically based on rate equations and propagation equations. Detailed simulation results reveal that the optical-optical efficiency of the bi-directional in-band pumped Dy<sup>3+</sup>-doped fluoride fiber laser can reach 75.1%, approaching the Stokes limit of 87.3%. The potential for further improvement of the efficiency of the Dy<sup>3+</sup>-doped fluoride fiber laser is also discussed. The bi-directional pumping scheme offers the intrinsic advantage of mitigating the thermal load on the fiber tips, unlike unidirectional pumping, in addition to its high efficiency. As a result, it is expected to significantly scale the power output of Dy<sup>3+</sup>-doped fluoride fiber lasers in the mid-IR regime.

**Key words:** Mid-infrared laser; Fiber laser; Bi-directional pumping

<https://doi.org/10.1631/FITEE.2300701>

**CLC number:** TN248

## 1 Introduction

Lasers operating in the mid-infrared (mid-IR) wavelength regime at around 3  $\mu\text{m}$  have gained

significant interest due to their important applications in environment monitoring, biomedicine, molecular spectroscopy, and infrared countermeasures (Ilev and Waynant, 2006; Sijan, 2009; Jackson, 2012; Ycas et al., 2018). Among them, fiber lasers stand out due to their excellent beam quality, long-term stability, and scalability for high power output (Shen et al., 2017).

Typically,  $\sim 3$   $\mu\text{m}$  mid-IR fiber lasers can be generated using Er<sup>3+</sup>-, Ho<sup>3+</sup>-, and Dy<sup>3+</sup>-doped fluoride fibers (Jackson, 2012; Li et al., 2012; Aydin et al., 2018; Majewski et al., 2020; Wang ZH et al., 2020; Jobin et al., 2022; Luo et al., 2022, 2023; Pajewsk et al., 2023). The Ho<sup>3+</sup>-doped fluoride fiber laser, pumped by a 1150-nm laser diode, has achieved continuous-wave

<sup>§</sup> These authors contributed equally to this work

<sup>‡</sup> Corresponding authors

\* Project supported by the Hunan Provincial Natural Science Foundation of China (Nos. 2023JJ30596 and 2022JJ30556), the Scientific Research Fund of Hunan Provincial Education Department, China (No. 21B0136), the National Natural Science Foundation of China (No. 62105209), the Shanghai Pujiang Program, China (No. 22PJ1414900), and the Shenzhen Government's Plan of Science and Technology, China (No. RCYX20210609103157071)

ORCID: Yuchen WANG, <https://orcid.org/0000-0002-5815-1755>; Pinghua TANG, <https://orcid.org/0000-0003-2285-9812>

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(CW) lasing of  $>7$  W with an optical–optical efficiency of  $\sim 30\%$  (Crawford et al., 2015). Similarly, the heavily  $\text{Er}^{3+}$ -doped fluoride fiber laser has demonstrated an output power of 20.6 W with an optical–optical efficiency of  $\sim 36\%$  (Faucher et al., 2011), while recent advancements have pushed its output power beyond 40 W (Aydin et al., 2018). On the other hand, the  $\text{Dy}^{3+}$ -doped fluoride fiber laser offers a broader emission spectrum (Sójka et al., 2018), demonstrating wide wavelength tunability from 2.8 to 3.4  $\mu\text{m}$  in CW operation (Majewski et al., 2018). This wide emission range bridges the spectra gap between  $\text{Er}^{3+}$  and  $\text{Ho}^{3+}$  laser systems of around 2.9  $\mu\text{m}$  and  $\text{Er}^{3+}$  laser system of around 3.5  $\mu\text{m}$ , which opens up new applications such as real-time sensing and polymer processing (Majewski et al., 2020, 2021). Furthermore, the  $\text{Dy}^{3+}$ -doped fluoride fiber laser offers flexibility in terms of pump source selection (Amin et al., 2020), enabling the use of 1.1-, 1.3-, 1.7-, and 2.8- $\mu\text{m}$  wavelengths as pump sources (Jackson, 2003; Tsang et al., 2006; Tsang and El-Taher, 2011; Majewski and Jackson, 2016; Majewski et al., 2018, 2021; Woodward et al., 2018; Fortin et al., 2019; Wang YZ et al., 2022; Xiao et al., 2024). These characteristics make  $\text{Dy}^{3+}$ -doped fluoride fiber a compelling choice as a gain fiber for generating mid-IR fiber lasers, especially in the  $>3$   $\mu\text{m}$  region.

Among the available pump sources, the 2.8- $\mu\text{m}$  laser stands out as a particularly attractive option for achieving high-efficiency and high-power  $\text{Dy}^{3+}$ -doped fluoride fiber lasers due to the low quantum losses, simple conversion, and high conversion efficiency of the in-band pumping (Majewski et al., 2019, 2021; Jobin et al., 2020; Tang et al., 2022; Zhang et al., 2022). Researchers have achieved notable results using this pumping scheme, such as an optical–optical efficiency of  $\sim 27\%$  with an output power of  $\sim 100$  mW (Majewski and Jackson, 2016) and  $\sim 190$  mW (Majewski et al., 2019), an optical–optical efficiency of  $\sim 66\%$  with a maximum output power of 1.06 W (Woodward et al., 2018), and an optical–optical efficiency of  $\sim 53\%$  with a maximum output power of 10.1 W (the highest reported output power from a  $\text{Dy}^{3+}$ -doped fluoride fiber laser to date) (Fortin et al., 2019). However, it is worth noting that all the previously reported 2.8- $\mu\text{m}$  in-band pumped  $\text{Dy}^{3+}$ -doped fluoride fiber lasers employ a unidirectional pumping scheme, which still faces significant

challenges in terms of thermal loading density on the fluoride gain fiber tips in the high launched pumped power regime. This thermal effect has the potential to cause damage and places limitations on the scalability of output power, unlike its silica counterparts, which boast much higher damage thresholds.

To address the limitations of output power scaling and improve the efficiency of the  $\text{Dy}^{3+}$ -doped fluoride fiber lasers, a bi-directional in-band pumping scheme is proposed and numerically investigated in this work. In this pumping scheme, equal pump power is injected from both ends of the gain fiber, effectively mitigating the thermal effect on the fiber tips more than unidirectional pumping does with the same total pump power, thus offering significant potential for the high-power operation of fluoride fiber lasers. Detailed simulation results reveal that the optical–optical efficiency of the bi-directional in-band pumped  $\text{Dy}^{3+}$ -doped fluoride fiber laser can reach 75.1%, which approaches the Stokes limit of 87.3%. The potential for further improvement of efficiency of the  $\text{Dy}^{3+}$ -doped fluoride fiber laser is also discussed.

## 2 Numerical model for the simulations

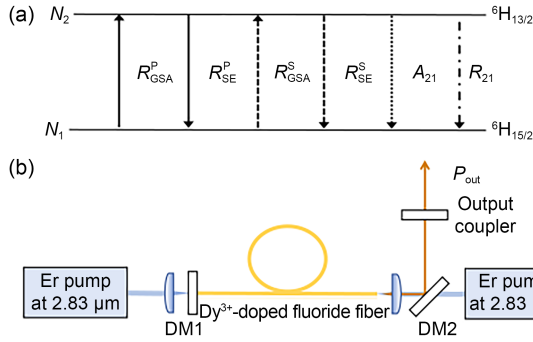
Fig. 1a displays the schematic of the energy-level structure and energy transfer processes relevant to the in-band pumped  $\text{Dy}^{3+}$ -doped fluoride fiber laser at 3.2  $\mu\text{m}$ . By considering the ground state absorption, stimulated emission, and radiative and nonradiative decays, the rate equations of the in-band pumped  $\text{Dy}^{3+}$ -doped fluoride fiber laser can be written as follows:

$$\frac{\partial N_1}{\partial t} = -R_{\text{GSA}} N_1 + (R_{\text{SE}} + A_{21} + R_{21}) N_2, \quad (1)$$

$$\frac{\partial N_2}{\partial t} = R_{\text{GSA}} N_1 - (R_{\text{SE}} + A_{21} + R_{21}) N_2, \quad (2)$$

$$N_{\text{Dy}} = N_1 + N_2, \quad (3)$$

where  $N_1$  and  $N_2$  represent the population densities of  ${}^6\text{H}_{15/2}$  and  ${}^6\text{H}_{13/2}$  levels respectively,  $N_{\text{Dy}}$  denotes the  $\text{Dy}^{3+}$  dopant concentration,  $A_{21} = \tau_2^{-1}$  and  $R_{21}$  are the radiative and nonradiative decay rates from the  ${}^6\text{H}_{13/2}$  to  ${}^6\text{H}_{15/2}$  level respectively,  $R_{\text{GSA}} = R_{\text{GSA}}^{\text{P}} + R_{\text{GSA}}^{\text{S}}$  is the total transition rate associated with the ground state absorption



**Fig. 1** Energy-level structure and energy transfer processes relevant to the in-band pumped Dy<sup>3+</sup>-doped fluoride fiber laser at 3.2 μm (a) and the schematic of the fiber laser (b)

(GSA), and  $R_{SE} = R_{SE}^P + R_{SE}^S$  represents the total transition rate linked to the stimulated emission (SE). These parameters can be given by

$$R_{GSA}^P = \frac{\sigma_{ap} N_1 \Gamma_p}{h\nu_p A_c} [P_p^+(z) + P_p^-(z)], \quad (4)$$

$$R_{SE}^P = \frac{\sigma_{ep} N_2 \Gamma_p}{h\nu_p A_c} [P_p^+(z) + P_p^-(z)], \quad (5)$$

$$R_{GSA}^S = \frac{\sigma_{as} N_1 \Gamma_s}{h\nu_s A_c} [P_s^+(z) + P_s^-(z)], \quad (6)$$

$$R_{SE}^S = \frac{\sigma_{es} N_2 \Gamma_s}{h\nu_s A_c} [P_s^+(z) + P_s^-(z)]. \quad (7)$$

Here,  $\sigma_{ap}$  and  $\sigma_{ep}$  refer to the absorption and emission cross-sectional area of the atomic transition at the pump wavelength respectively,  $\sigma_{as}$  and  $\sigma_{es}$  represent the absorption and emission cross-sectional area of the atomic transition at the signal wavelength respectively, and  $\Gamma_p$  and  $\Gamma_s$  are the power filling factors for the pump laser and signal laser respectively. For mid-IR Dy<sup>3+</sup>-doped fluoride fiber lasers, core pumping is commonly employed; thus,  $\Gamma_p$  and  $\Gamma_s$  are set as 0.7511 (Li and Jackson, 2012).  $h$  is Planck constant,  $\nu_p$  is the frequency of the pump laser ( $\nu_p = c/\lambda_p$ ,  $\lambda_p$  is the pump wavelength and  $c$  is the speed of light), and  $\nu_s$  is the frequency of the signal laser ( $\nu_s = c/\lambda_s$ ,  $\lambda_s$  is the signal wavelength).  $A_c$  is the effective cross-sectional area of the fiber core,  $P_p$  represents the longitudinally varying power of the pump light, and  $P_s$  corresponds to the longitudinally varying power of the signal light. The positive and negative signs indicate the forward and backward propagations along the  $z$  direction, respectively. The power evolution of the pump and laser light along the propagation direction can be obtained as follows:

$$\frac{dP_p^+(z)}{dz} = -\Gamma_p (\sigma_{ap} N_1 - \sigma_{ep} N_2) P_p^+(z) - \alpha P_p^+(z), \quad (8)$$

$$\frac{dP_p^-(z)}{dz} = \Gamma_p (\sigma_{ap} N_1 - \sigma_{ep} N_2) P_p^-(z) + \alpha P_p^-(z), \quad (9)$$

$$\frac{dP_s^+(z)}{dz} = \Gamma_s (\sigma_{es} N_2 - \sigma_{as} N_1) P_s^+(z) - \alpha P_s^+(z), \quad (10)$$

$$\frac{dP_s^-(z)}{dz} = -\Gamma_s (\sigma_{es} N_2 - \sigma_{as} N_1) P_s^-(z) - \alpha P_s^-(z). \quad (11)$$

In these propagation equations,  $\alpha$  represents the propagation loss coefficient of the fiber at the pump and signal wavelengths. The pump power and laser power at both fiber ends are subjected to the following boundary conditions (related to Fig. 1b):

$$P_p^+(0) = P_0^f, \quad (12)$$

$$P_p^-(L) = P_0^b, \quad (13)$$

$$P_s^+(0) = R_1 P_s^-(0), \quad (14)$$

$$P_s^-(L) = R_2 P_s^+(L). \quad (15)$$

Here,  $P_0^f$  and  $P_0^b$  denote the forward and backward pump power launched into the Dy<sup>3+</sup>-doped fluoride fiber respectively,  $R_1$  and  $R_2$  indicate the reflectivity of the dichroic mirrors DM1 and output coupler (OC) respectively, and  $L$  is the fiber length. For the laser systems involved, the output power of the fiber laser is given by

$$P_{out} = (1 - R_2) P_s^+(L). \quad (16)$$

Based on the boundary conditions, the rate equations and propagation equations can be solved numerically. The parameters listed in Table 1 are used in the numerical simulations, unless otherwise stated.

In the case of our study, we did not consider Fresnel reflections at the fiber end facets since this concern can be addressed by cleaving the fiber ends with an angle of  $\sim 8^\circ$ . The rate equations (1) and (2) are a typical system of ordinary differential equations, accompanied by four boundary conditions (12)–(15), constituting a two-point boundary value problem (BVP). The commonly used numerical solution methods for BVPs include the shooting method and its improved version (Sujecki, 2014), the relaxation method (Quimby et al., 2008), and the bvp4c function (Woodward and Gorjan, 2022). Here, we employed the MATLAB BVP solver bvp4c directly in the simulations.

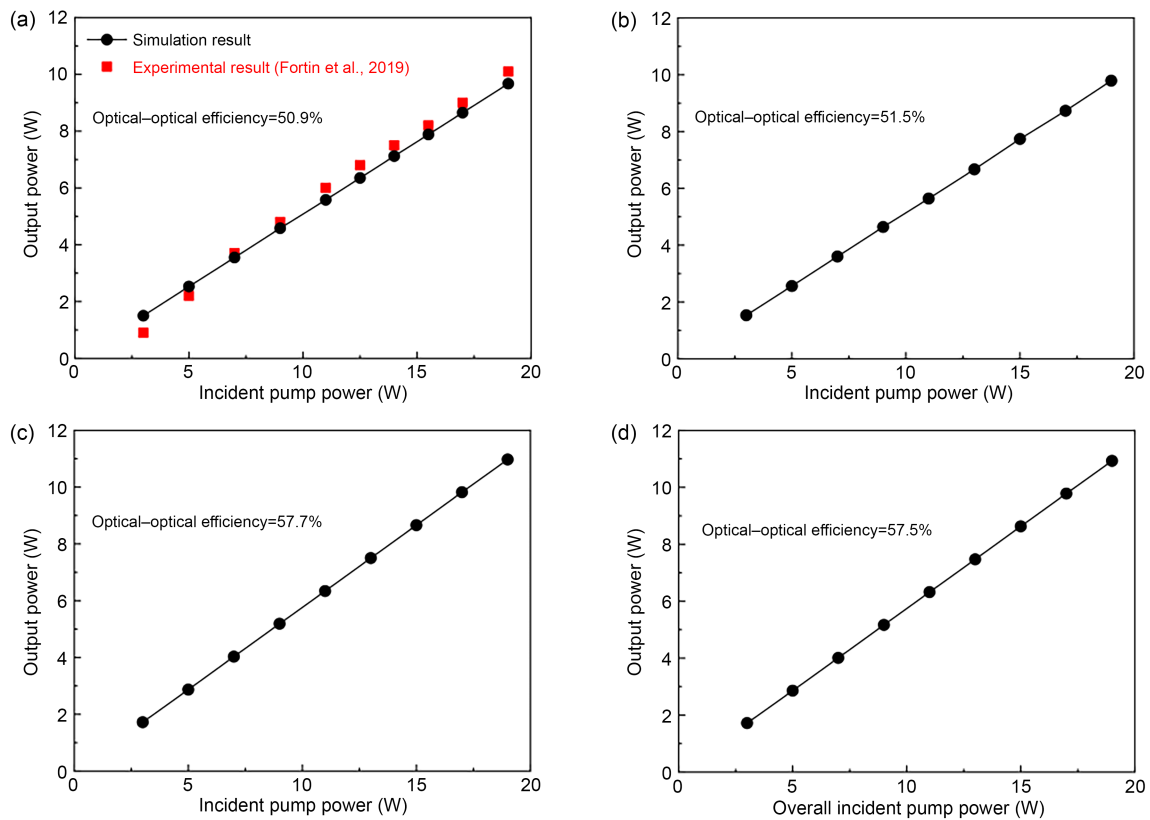
### 3 Numerical results and discussion

To validate the simulation model, we first compare the simulation results with these from the study of

**Table 1 Parameters used in the simulations**

Parameter	Value	Reference
$A_c$ (m <sup>2</sup> )	$1.2265 \times 10^{-10}$	Fortin et al. (2019)
$\alpha$ (dBm <sup>-1</sup> )	0.3	Woodward et al. (2018)
$R_1$	99%	Woodward et al. (2018)
$N_{Dy}$ (m <sup>-3</sup> )	$3.63 \times 10^{25}$	Fortin et al. (2019)
$\lambda_p$ (μm)	2.83	Fortin et al. (2019)
$\lambda_s$ (μm)	3.2	Fortin et al. (2019)
$\sigma_{ap}$ (10 <sup>-25</sup> m <sup>2</sup> )	3.88	Majewski and Jackson (2016)
$\sigma_{ep}$ (10 <sup>-25</sup> m <sup>2</sup> )	2.05	Majewski and Jackson (2016)
$\sigma_{as}$ (10 <sup>-25</sup> m <sup>2</sup> )	0.26	Majewski and Jackson (2016)
$\sigma_{es}$ (10 <sup>-25</sup> m <sup>2</sup> )	0.68	Majewski and Jackson (2016)
$\Gamma_p$	0.7511	Li and Jackson (2012)
$\Gamma_s$	0.7511	Li and Jackson (2012)
$\tau_2$ (ms)	13.7	Falconi et al. (2018)
$R_{21}$ (s <sup>-1</sup> )	1539	Falconi et al. (2018)

Fortin et al. (2019). The simulation parameters, including the fiber and cavity parameters, were set according to the work of Fortin et al. (2019). Fig. 2a presents the simulation results alongside the experimental data of Fortin et al. (2019). The good agreement between them confirms the validity of the numerical model. We then compared the output performances of forward pumping, backward pumping, and bi-directional pumping, with the reflectivity of the OC ( $R_2$ ) configured at 20% and the fiber length set to 5.5 m. Figs. 2b–2d depict the output power of the Dy<sup>3+</sup>-doped fluoride fiber laser as a function of incident pump power for each pumping method, revealing that the optical–optical efficiency for bi-directional pumping closely matches that of backward pumping, but surpasses that of forward pumping. Given the intrinsic advantage of bi-directional pumping in mitigating thermal load on the fiber tips, unlike unidirectional pumping, it is believed to hold significant potential for enhancing the output power scaling of Dy<sup>3+</sup>-doped fluoride fiber lasers with high efficiency in the



**Fig. 2** Comparison of the simulation results and experimental results from Fortin et al. (2019) (a) and simulated output power of the Dy<sup>3+</sup>-doped fluoride fiber laser as a function of the incident pump power with different pumping methods: forward pumping (b), backward pumping (c), and bi-directional pumping (d)

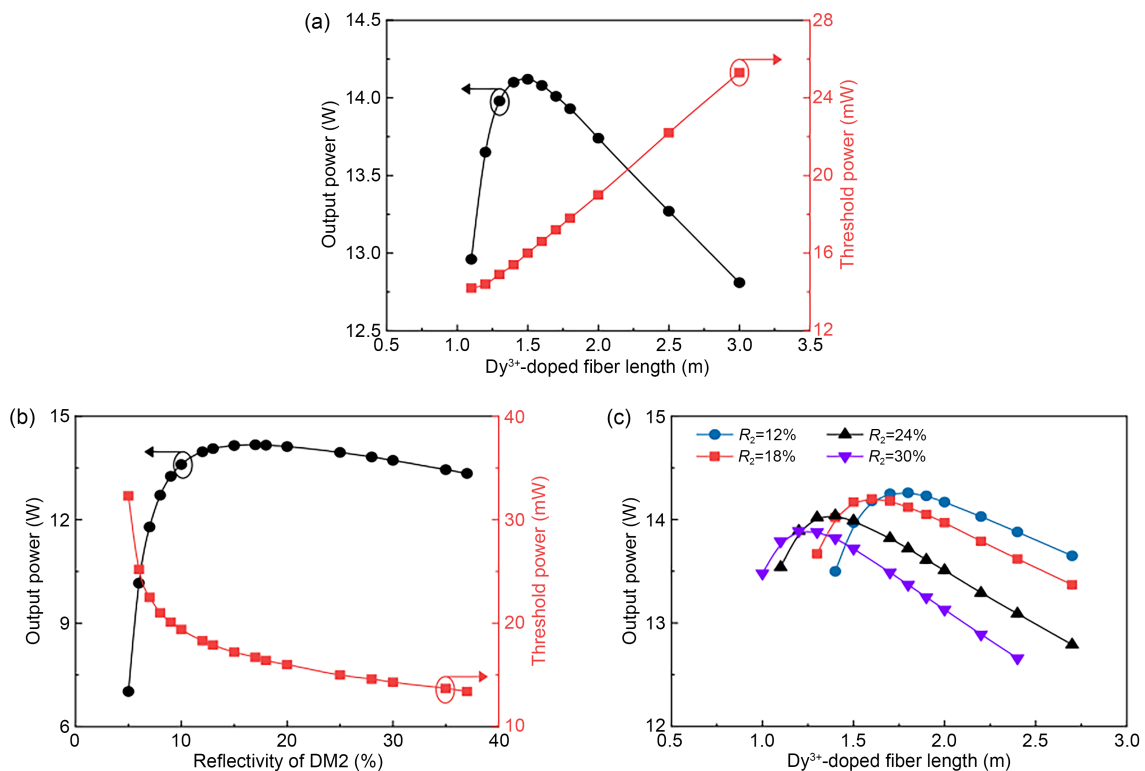
high-power regime. Therefore, our study focused on optimizing the output performance of the bi-directional pumped  $\text{Dy}^{3+}$ -doped fluoride fiber laser.

Next, to optimize the gain fiber length, the dependence of output power and threshold power on the  $\text{Dy}^{3+}$ -doped fluoride fiber length was investigated. The overall incident pump power was set to 19 W and  $R_2$  was configured at 20%. As depicted in Fig. 3a, a maximum output power of 14.1 W was achieved with a 1.5-m  $\text{Dy}^{3+}$ -doped fluoride fiber. Beyond this length, the output power starts to decrease, and the laser threshold monotonically increases. Subsequently, the output power and threshold power of the fiber laser with a fixed  $\text{Dy}^{3+}$ -doped fluoride fiber length of 1.5 m and varying  $R_2$  were studied. The corresponding results as presented in Fig. 3b demonstrate that a relatively high output power can be obtained with the reflectivity within the range of 12%–30%. Additionally, the threshold power decreases as  $R_2$  increases, which

is attributed to higher reflectivity, enabling the laser to operate in a lower loss regime. Fig. 3c illustrates the output power versus the  $\text{Dy}^{3+}$ -doped fluoride fiber length for different  $R_2$  values (12%, 18%, 24%, and 30%). It can be seen that as  $R_2$  decreases, the optimal fiber length increases. By appropriately selecting the fiber length and  $R_2$ , a maximum output power of ~14 W can be achieved, which is significantly higher than that presented in the work of Fortin et al. (2019) at the same pump power.

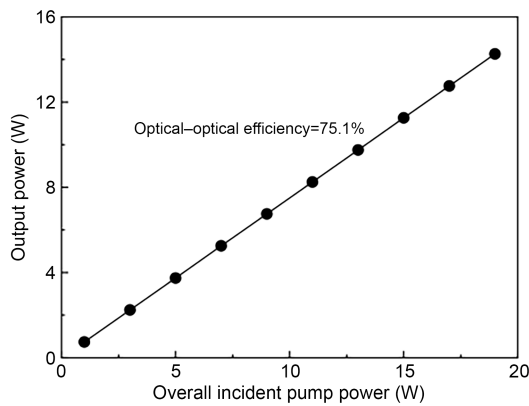
The output power of the bi-directional pumped  $\text{Dy}^{3+}$ -doped fluoride fiber with respect to the overall incident pump power is shown in Fig. 4. A fiber length of 1.8 m was selected, and  $R_2$  was configured at 12%. The results indicate that the optical–optical efficiency of the fiber laser can reach up to 75.1%, which is close to the Stokes limit of 87.3%.

Additionally, the effect of different dopant concentrations of  $\text{Dy}^{3+}$ -doped fluoride fiber on the output

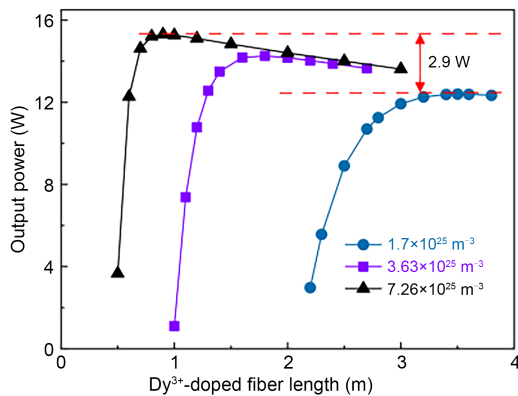


**Fig. 3** Simulated output power and threshold power of the bi-directional pumped  $\text{Dy}^{3+}$ -doped fluoride fiber laser as a function of  $\text{Dy}^{3+}$ -doped fiber length (the overall incident pump power is 19 W and the output coupler reflectivity is 20%) (a), simulated output power and threshold power of the bi-directional pumped  $\text{Dy}^{3+}$ -doped fluoride fiber laser as a function of  $R_2$  (the overall incident pump power is 19 W and the fiber length is 1.5 m) (b), and simulated output power of the bi-directional pumped  $\text{Dy}^{3+}$ -doped fluoride fiber laser versus the fiber length for  $R_2$  values of 12%, 18%, 24%, and 30% (the overall incident pump power is 19 W) (c)

performance at an overall incident pump power of 19 W was investigated. Fig. 5 displays the output power as a function of the Dy<sup>3+</sup>-doped fluoride fiber length for various dopant concentrations. As the dopant concentration of Dy<sup>3+</sup> increases from  $1.7 \times 10^{25}$  to  $7.26 \times 10^{25} \text{ m}^{-3}$ , the optimal fiber length for achieving the maximum output power decreases from 3.5 to 0.9 m, with the corresponding maximum output power increasing from 12.4 W to 15.3 W. These results indicate that higher efficiency can be achieved by selecting a gain fiber with a higher dopant concentration.



**Fig. 4** Simulated output power of the bi-directional pumped Dy<sup>3+</sup>-doped fluoride fiber laser as a function of the overall incident pump power under  $R_2=12\%$  and  $L=1.8 \text{ m}$



**Fig. 5** Simulated output power of the bi-directional pumped Dy<sup>3+</sup>-doped fluoride fiber laser as a function of the fiber length for different dopant concentrations (the overall incident pump power is 19 W and the output coupler reflectivity is 12%)

We would like to point out that the simulation results presented above were obtained based on the assumption of a fiber loss coefficient of  $0.3 \text{ dBm}^{-1}$ , which represents a large loss. Given the continuous

advancements in fluoride fiber fabrication technology, it is anticipated that fibers with even lower loss coefficients can be produced. Under these improved conditions, one can expect higher efficiency in the Dy<sup>3+</sup>-doped fluoride fiber laser. Furthermore, current research on the Dy<sup>3+</sup>-doped fluoride fiber laser predominantly focuses on the continuous wave operation regime due to the lack of mid-IR saturable absorbers and dispersion compensation devices. The Dy<sup>3+</sup>-doped fluoride fiber has demonstrated a broadband emission spanning from 2.8 to 3.4  $\mu\text{m}$ , signifying its substantial potential for generating few-cycle mode-locked pulses (Wang YC et al., 2019). With the development of broadband saturable absorbers (Bharathan et al., 2019; Huang WC et al., 2020; Wang C et al., 2021; Hu et al., 2022; Qin et al., 2022; Pan et al., 2023; Wu et al., 2023) and dispersion compensation devices (Qin et al., 2019; Huang J et al., 2020), mode locking of the Dy<sup>3+</sup>-doped fluoride fiber laser will garner increasing attention.

## 4 Conclusions

In summary, a bi-directional pumping scheme to address the limitation of output power scaling and to enhance the efficiency of the Dy<sup>3+</sup>-doped fluoride fiber laser at 3.2  $\mu\text{m}$  was investigated numerically based on rate equations and propagation equations. The simulations focused on exploring the impact of gain fiber length and reflectivity of the output coupler on the laser's performance. Detailed simulation results demonstrate that a maximum optical-optical efficiency of 75.1% with respect to the overall incident pump power can be achieved by optimizing the fiber and cavity parameters. Moreover, we identified the potential for further efficiency improvement by appropriately increasing the dopant concentration of Dy<sup>3+</sup>. This work provides important guidance for achieving high-efficiency Dy<sup>3+</sup>-doped fluoride fiber lasers in the high-power regime.

## Contributors

Pinghua TANG and Yuchen WANG designed the research. Lingjing LI, Chunyang MA, and Nian ZHAO processed the data. Lingjing LI and Chunyang MA drafted the paper. Jie PENG, Bin LIU, and Haining JI helped organize the paper. Pinghua TANG and Yuchen WANG revised and finalized the paper.

## Conflict of interest

All the authors declare that they have no conflict of interest.

## Data availability

The data that support the findings of this study are available from the corresponding authors upon reasonable request.

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