

Cytotoxic anthronecyclopentenone heterodimers from the fungus *Penicillium* sp. guided by molecular networking

Ruiyun Huo, Jiayu Dong, Gaoran Liu, Ying Shi, Ling Liu

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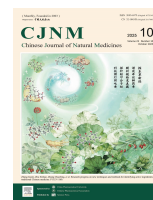


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Original article

Cytotoxic anthrone–cyclopentenone heterodimers from the fungus *Penicillium* sp. guided by molecular networkingRuiyun Huo^{a,b}, Jiayu Dong^{a,b}, Gaoran Liu^{a,b}, Ying Shi^{a,b}, Ling Liu^{a,b,*}^a State Key Laboratory of Microbial Diversity and Innovative Utilization, Institute of Microbiology, Chinese Academy of Sciences, Beijing 100101, China^b University of Chinese Academy of Sciences, Beijing 100049, China

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ABSTRACT

(±)-Penicithrones A–D (**1a/1b–4a/4b**), four novel pairs of anthrone–cyclopentenone heterodimers characterized by a distinctive bridged 6/6/6–5 tetracyclic core skeleton, together with three previously identified compounds (**5–7**), were isolated from the crude extract of the mangrove-derived fungus *Penicillium* sp., guided by heteronuclear single quantum correlation (HSQC)-based small molecule accurate recognition technology (SMART 2.0) and liquid chromatography–tandem mass spectrometry (LC-MS/MS)-based molecular networking. The structural elucidation of new compounds was accomplished through comprehensive spectroscopic analysis, and their absolute configurations were determined using DP4+ ¹³C nuclear magnetic resonance (NMR) calculations and electronic circular dichroism (ECD) calculations. Compounds **1a/1b–4a/4b** demonstrated moderate cytotoxicity against three human cancer cell lines HeLa, HCT116 and MCF-7 with half maximal inhibitory concentration (IC₅₀) values ranging from 15.95 ± 1.64 to 28.56 ± 2.59 μmol·L⁻¹.

1. Introduction

Natural products have consistently demonstrated a crucial role in pharmaceutical research, significantly impacting the treatment of human diseases^{1–3}. Anthrones represent a class of structurally diverse hybrid natural products exhibiting a broad spectrum of biological activities, including antimicrobial, antioxidative, caspase-3 inhibitory, and cytotoxic properties^{4–8}. These compounds are biosynthesized from a polyketide chain containing eight C2 units, which undergo condensation reactions to form the carbon skeleton of anthrones⁹. Anthrone derivatives, particularly those isolated from fungi, occur in complex structures such as dimers and heterodimers. More than 20 anthrone analogues have been isolated and characterized from the genus *Penicillium*, with several demonstrating antifungal activity^{8,10}. These natural products have garnered significant research interest due to their potential applications in medicine and pharmaceuticals.

The strains of *Penicillium* are prevalent in both terrestrial and marine ecosystems^{11,12}, and have been identified as a productive source of structurally diverse secondary metabolites including alkaloids, terpenes, isocoumarins, and polyketides, owing to their abundant secondary metabolites biosynthetic gene clusters^{13–16}. These compounds demonstrate various bioactivities, including cytotoxic, antiinflammatory, antiviral, α-glucosidase inhibitory, antimicrobial, insecticidal, and biocontrol activities^{16–19}. Mangrove-derived fungi, inhabiting tropical and subtropical intertidal estuarine zones, represent a promising source of

structurally diverse and biologically active secondary metabolites^{20–22}. During our ongoing investigation of new bioactive compounds from mangrove-derived fungi^{23–25}, the fungus *Penicillium* sp. (LA032) was selected for detailed study. The application of heteronuclear single quantum correlation (HSQC)-based small molecule accurate recognition technology (SMART 2.0) and liquid chromatography–tandem mass spectrometry (LC-MS/MS)-based molecular networking methods (Fig. 1) facilitated the targeted isolation and rapid identification of four new pairs of anthrone–cyclopentenone heterodimers, (±)-penicithrones A–D (**1a/1b–4a/4b**) and three known anthrones (**5–7**) (Fig. 2). Compounds **1a/1b–4a/4b** represent the first examples of anthrone–cyclopentenone heterodimers featuring an unprecedented bridged 6/6/6–5 tetracyclic core skeleton. This paper describes the isolation, structure elucidation, and cytotoxic activity evaluation of these compounds.

2. Results and discussion

The ethyl acetate extract was prepared and fractionated by silica gel column chromatography (CC) to yield eight fractions (Frs. 1–8). HSQC-based SMART 2.0 analysis was employed for rapid screening and prediction of natural product skeletal structures²⁶. The analysis revealed that four of the top six compounds, ranked by cosine similarity score, were anthraquinones containing aliphatic chain substituents (Fig. 1A). Subsequent isolation was guided by LC-MS/MS-based molecular networking using the Global Natural Products Social (GNPS) web platform²⁷. Analysis of Fr. 2 led to the identification of citreoresein (**5**)²⁸, 2-chloro-1,3,8-trihydroxy-6-(hydroxymethyl)anthracene-9,10-dione (**6**)²⁹, and endrocrocins (**7**)³⁰. Notably, the global molecular network ana-

* Corresponding author.

E-mail address: liul@im.ac.cn (L. Liu)

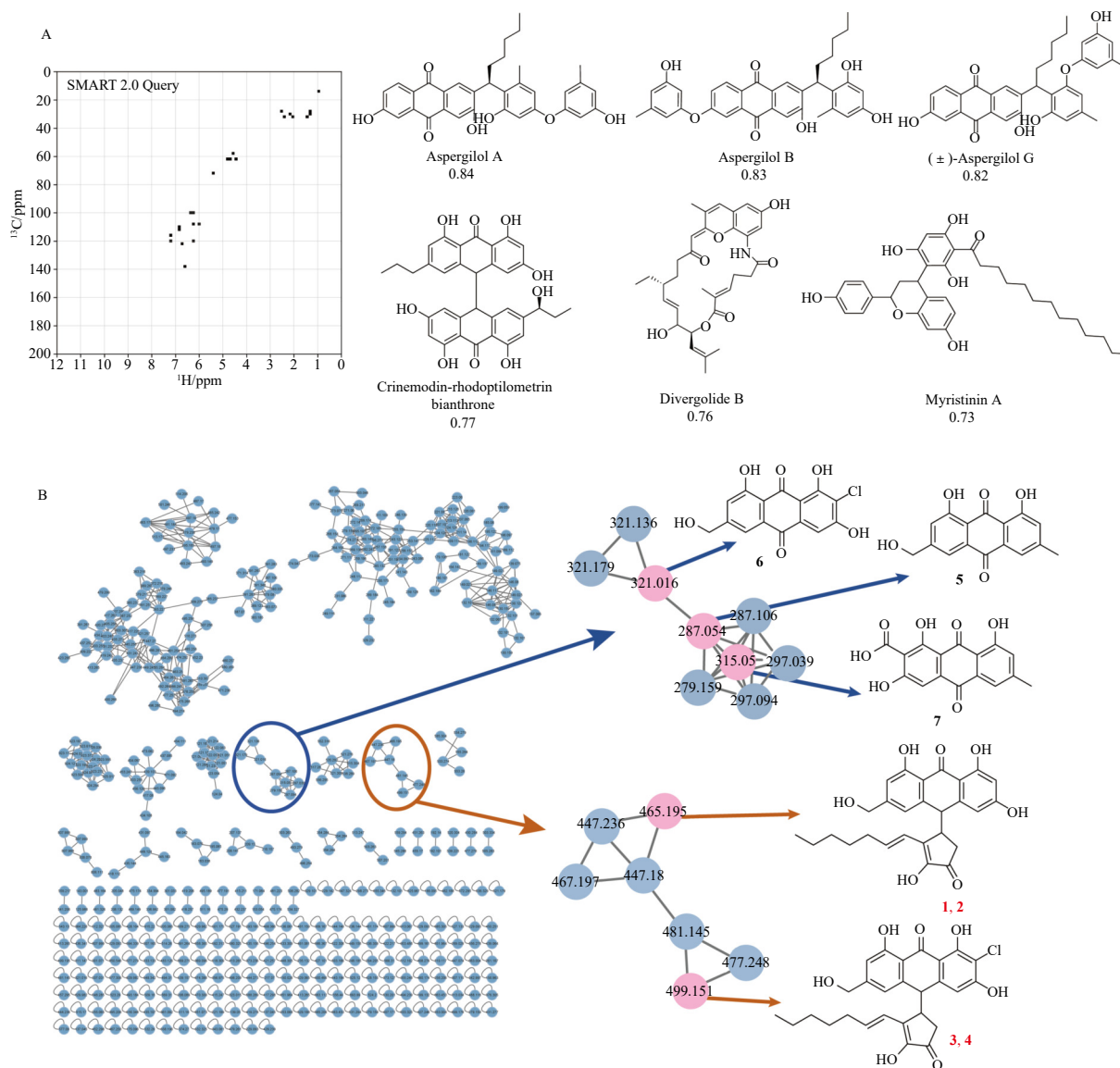


Fig. 1 (A) Digitized HSQC spectrum and the SMART results. (B) Molecular networking analysis.

lysis identified a distinct cluster of compounds with a molecular weight difference of exactly 34 mass units. This finding suggests the presence of novel chlorinated quinone derivatives within the dataset (Fig. 1B). Based on this discovery, targeted separations were conducted to explore and characterize these new compounds.

Penicithrone A (**1**) was isolated as a brown solid. Its molecular formula was determined to be $C_{27}H_{28}O_7$ with fourteen degrees of unsaturation based on the high resolution electrospray ionization mass spectrometry (HR-ESI-MS) data at m/z 465.1904 $[M + H]^+$ (Calcd. for $C_{27}H_{29}O_7$ m/z 465.1908). The infrared spectroscopy (IR) spectrum showed strong absorption bands at 3303 and 1682 cm^{-1} , indicating the presence of hydroxy and carbonyl groups, respectively. Analysis of the ^1H nuclear magnetic resonance (NMR) data (Table 1) of **1** indicated the presence of two exchangeable protons [δ_{H} 12.43 (s) and 12.25 (s)], four aromatic protons [δ_{H} 6.86 (s), 6.74 (d, $J = 2.0$ Hz), 6.55 (s), and 6.34 (d, $J = 2.0$ Hz)], two olefinic protons [δ_{H} 6.69, d (16.0) and 6.65, dt (16.0, 6.0)], two sp^3 methines [δ_{H} 4.71 (d, $J = 2.5$ Hz) and 3.58 (dd, $J = 6.0, 2.5$ Hz)], six methylenes [δ_{H} 4.58 (s), 2.41 (m), 2.03 (overlap), 1.62 (m), 1.57 (d, $J = 18.0$ Hz), 1.41 (m) and 1.34 (m)] and one methyl [δ_{H} 0.92 (t, $J = 7.0$ Hz)]. The ^{13}C NMR (Table 2) and HSQC spectra revealed 27 carbon resonances including one methyl carbon, six methylenes (one oxygenated), two sp^3 methines, six aro-

matic or olefinic methine carbons, and twelve sp^2 quaternary carbons including two carbonyl carbons (δ_{C} 192.3 and 199.1, respectively). These data accounted for all ^1H and ^{13}C NMR resonances except for three unobserved exchangeable protons, indicating that **1** was a tetracyclic compound.

The planar structure of **1** was elucidated through comprehensive 2D NMR experiments (Fig. 3). The heteronuclear multiple-bond correlation (HMBC) from H-2 to C-3, C-9 and C-9a, from H-4 to C-2, C-3, C-9a and C-10, from H-5 to C-7, C-8a, C-10a and C-10, from H-7 to C-8a and C-9, from H-10 to C-4a, C-8a, C-9a and C-10a, from OH-1 to C-1, C-2 and C-9a, and from OH-8 to C-7, C-8 and C-8a enabled the determination of the anthrone moiety (rings A-C) with two hydroxy groups positioned at C-1 and C-8, respectively. Additional HMBC from H₂-11 (δ_{H} 4.58) to C-5, C-6 and C-7 indicated the presence of a hydroxymethyl group (CH₂-11) attached at C-6. The ^1H - ^1H correlated spectroscopy (COSY) cross peaks of H-4'/H₂-5' and H-6'/H-7'/H₂-8'/H₂-9'/H₂-10'/H₂-11'/H₃-12', combined with the HMBC from H₂-5' to C-1' and C-3', from H-4' to C-1', C-2' and C-3', and from the olefinic proton H-6' to C-2', C-3' and C-4', established the cyclopentenone ring with a 1-heptyl side chain at C-3'. Furthermore, the ^1H - ^1H COSY cross peak of H-10/H-4' and the key HMBC from H-10 to C-3', C-4' and C-5', and from H-4' to C-4a, C-10 and C-10a confirmed the connection between the anthrone moiety and the cyclopentenone

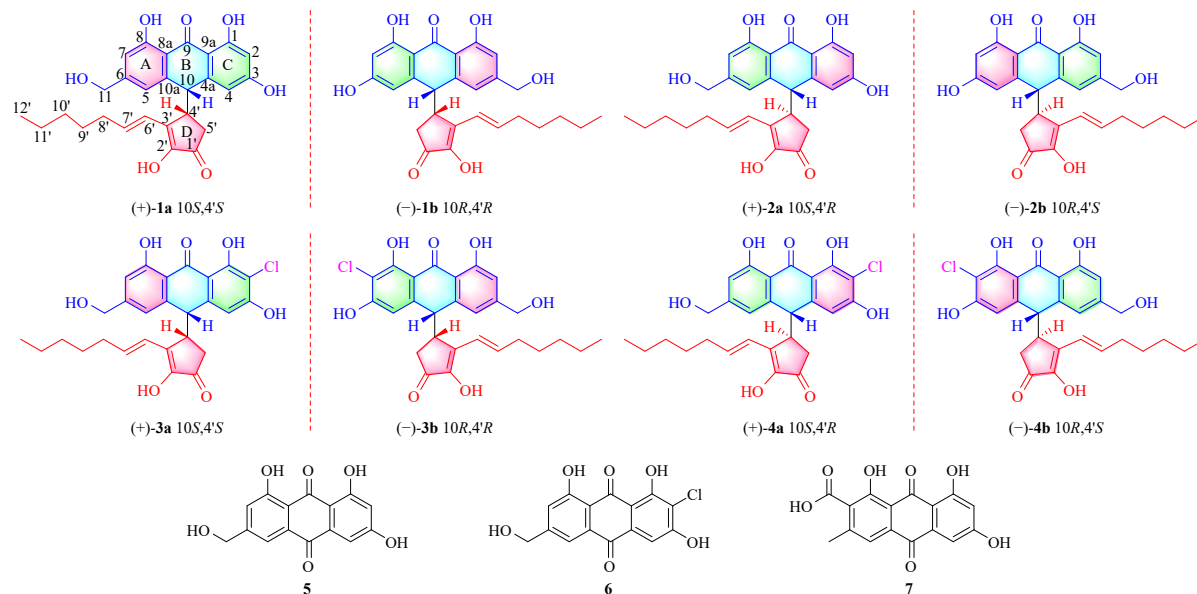


Fig. 2 Chemical structures of compounds (\pm)-**1**–(\pm)-**4** and **5**–**7**.

Table 1 ^1H NMR (500 MHz) data for **1-4** in acetone- d_6 .

No.	δ_{H} (J in Hz)			
	1	2	3	4
2	6.34, d (2.0)	6.27, d (2.0)		
4	6.74, d (2.0)	6.18, d (2.0)	6.93, s	6.36, s
5	6.55, s	7.24, s	6.55, s	7.27, s
7	6.86, s	6.92, s	6.88, s	6.94, s
10	4.71, d (2.5)	4.78, d (2.5)	4.69, d (3.0)	4.79, d (3.0)
11	4.58, s	4.73, s	4.57, s	4.73, s
4'	3.58, dd (6.0, 2.5)	3.63, dd (6.0, 2.5)	3.57, dd (6.0, 3.0)	3.66, dd (6.0, 3.0)
5'a	2.03, overlap	1.96, dd (18.0, 6.0)	1.99, m	1.99, dd (6.0, 18.0)
5'b	1.57, d (18.0)	1.59, m	1.57, m	1.59, m
6'	6.69, d (16.0)	6.71, dt (16.0, 1.5)	6.62, d (16.0)	6.71, dt (16.0, 1.0)
7'	6.65, dt (16.0, 6.0)	6.69, dt (16.0, 6.0)	6.59, dt (16.0, 6.0)	6.70, dt (16.0, 6.0)
8'	2.41, m	2.43, m	2.37, m	2.40, m
9'	1.62, m	1.63, m	1.59, m	1.61, m
10'	1.41, m	1.43, m	1.39, m	1.41, m
11'	1.34, m	1.42, m	1.37, m	1.40, m
12'	0.92, t (7.0)	0.92, t (7.0)	0.89, t (7.0)	0.91, t (7.0)
OH-1	12.43, s	12.41, s	13.10, br s	13.10, s
OH-8	12.25, s	12.29, s	12.14, s	12.13, s

ring via a unique C-4'-C-10 linkage, thus establishing an unique bridged 6/6/6-5 tetracyclic core skeleton. The remaining hydroxy groups were positioned at C-2' and C-3, supported by the chemical shift values for C-2' (δ_{C} 151.4) and C-3 (δ_{C} 166.0), respectively. Thus, the planar structure for **1** was established as shown (Fig. 2).

The *E*-geometry of the C-6'/C-7' olefin was determined based on large coupling constants ($J_{6',7'} = 16.0$ Hz). The relative configuration of two adjacent chiral centers (C-10 and C-4') could not be determined through nuclear Overhauser effect spectroscopy

(NOESY) data experiment. To establish the relative configuration of **1**, theoretical calculations of ^{13}C NMR data for the two possible isomers ($10S^*,4'S^*$)-**1** and ($10R^*,4'S^*$)-**1** were conducted using the gauge-including atomic orbitals (GIAO) method at the mPW1PW91/6-311 + G (d,p) level. The calculated ^{13}C NMR data of the isomer ($10S^*,4'S^*$)-**1** demonstrated strong correlation with the experimental ^{13}C NMR data, indicated by a high linear correlation coefficient (R^2). DP4+ probability analyses identified ($10S^*,4'S^*$)-**1** as the isomer with 100% probability (Fig. 4). The absence of specific rotation or detectable Cotton effects in the ex-

Table 2 ^{13}C NMR (125 MHz) data for **1–4** in acetone- d_6 .

No.	δ_{C} , mult			
	1	2	3	4
1	166.3, C	166.2, C	161.3, C	161.3, C
2	102.5, CH	102.7, CH	106.3, C	107.5, C
3	166.0, C	164.8, C	161.1, CH	160.1, C
4	108.9, CH	110.8, CH	108.9, CH	110.6, CH
4a	149.8, C	143.9, C	147.0, C	141.2, C
5	119.3, CH	117.6, CH	119.4, CH	117.8, CH
6	151.5, C	153.2, C	152.0, C	153.7, C
7	114.0, CH	113.7, CH	114.1, CH	113.8, CH
8	163.5, C	163.5, C	163.6, C	163.6, C
8a	115.7, C	115.1, C	115.5, C	114.9, C
9	192.3, C	192.3, C	192.1, C	192.3, CH
9a	110.3, C	110.9, C	110.5, C	111.3, C
10	44.9, CH	44.6, CH	44.7, CH	44.4, CH
10a	140.9, C	146.8, C	147.0, C	146.7, C
11	63.9, CH ₂	64.0, CH ₂	63.8, CH ₂	64.0, CH ₂
1'	199.1, C	199.2, C	199.1, C	199.2, C
2'	151.4, C	151.4, C	151.4, C	151.5, C
3'	138.7, C	138.4, C	138.6, C	138.5, C
4'	47.1, CH	46.7, CH	47.0, CH	46.6, CH
5'	34.2, CH ₂	34.0, CH ₂	34.3, CH ₂	34.0, CH ₂
6'	123.4, CH	123.3, CH	123.3, CH	123.2, CH
7'	139.2, CH	139.0, CH	139.2, CH	139.0, CH
8'	34.6, CH ₂	34.6, CH ₂	34.6, CH ₂	34.7, CH ₂
9'	29.6, CH ₂	29.0, CH ₂	29.5, CH ₂	29.0, CH ₂
10'	32.3, CH ₂	32.3, CH ₂	32.1, CH ₂	32.4, CH ₂
11'	23.2, CH ₂	23.2, CH ₂	23.2, CH ₂	23.2, CH ₂
12'	14.4, CH ₃	14.4, CH ₃	14.4, CH ₃	14.4, CH ₃

perimental electronic circular dichroism (ECD) spectrum of **1** indicated its racemic nature. Subsequently, **1** was separated by chiral High-performance liquid chromatography (HPLC) into two enantiomers (+)-**1a** ($[\alpha]_{\text{D}}^{25} +22.5$) and (-)-**1b** ($[\alpha]_{\text{D}}^{25} -10.0$). The absolute configurations of (+)-**1a** and (-)-**1b** were established through comparison of the experimental and calculated ECD spectra (Fig. 5) generated by time dependent density functional theory (TD-DFT) for two enantiomers (10*S*,4'*S*)-**1** and (10*R*,4'*R*)-**1**. The experimental ECD spectra of (+)-**1a** and (-)-**1b** correspon-

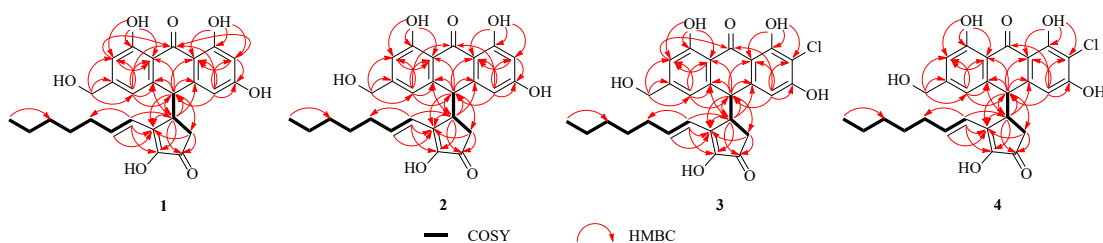
ded with the calculated spectra of (10*S*,4'*S*)-**1** and (10*R*,4'*R*)-**1**, respectively, enabling definitive assignment of (+)-**1a** as 10*S*,4'*S* and (-)-**1b** as 10*R*,4'*R*.

Penicithrone B (**2**) shared an identical molecular formula ($\text{C}_{27}\text{H}_{28}\text{O}_7$) with penicithrone A (**1**), as determined by HR-ESI-MS data. The IR spectrum of **2** exhibited similar signals to those of **1**. Comparison of the 1D NMR spectroscopic data between **2** and **1** revealed differences in chemical shifts around C-10 and C-4', suggesting that the primary structural difference lay in the configuration of either C-10 or C-4'. This observation was corroborated by relevant ^1H - ^1H COSY cross peak of H-10/H-4' and HMBC (Fig. 3) from H-10 to C-3', C-4' and C-5', and from H-4' to C-4a, C-10 and C-10a. To establish the relative configuration of **2**, calculations of ^{13}C NMR chemical shifts were performed. Both correlation coefficients (R^2) and DP4+ probability analysis (Fig. 6) indicated that **2** possessed the configuration of 10*R**,4'*S**.

Compound **2** was determined to be an enantiomeric mixture based on its baseline ECD curve and negligible optical rotation. Chiral resolution of **2** on a chiral Kromasil 5-CelluCoat column yielded the enantiomers (+)-**2a** and (-)-**2b**. The absolute configurations of these enantiomers were determined through comparison of experimental and calculated ECD spectra (Fig. 5). The calculated ECD curves of (10*S*,4'*R*)-**2** and (10*R*,4'*S*)-**2** demonstrated strong correlation with the experimental spectra of (+)-**2a** and (-)-**2b**, respectively, enabling assignment of the absolute configurations of (+)-**2a** as 10*S*,4'*R* and (-)-**2b** as 10*R*,4'*S*.

The molecular formula of **3** was established as $\text{C}_{27}\text{H}_{27}\text{ClO}_7$ through HR-ESI-MS analysis $[\text{M} + \text{H}]^+ m/z$ 499.1522 (Calcd. for $\text{C}_{27}\text{H}_{28}\text{ClO}_7$ m/z 499.1518), with an isotopic peak at m/z 501.1522 of one-third intensity indicating the presence of a chlorine atom. The ^{13}C NMR spectrum (Table 2) displayed 27 carbon signals, comprising one methyl, six methylenes (one oxygenated), two sp^3 methines, five aromatic or olefinic methine carbons, and thirteen quaternary sp^2 carbons including two carbonyl carbons. The 1D NMR spectral data of **3** showed close similarity to penicithrone A (**1**), with notable differences in the deshielded C-2 ($\Delta\delta_{\text{C}} +3.8$) and the presence of a singlet aromatic signal at H-4 ($\delta_{\text{H}} 6.93$) in **3**, indicating chlorination of **1**, consistent with their 34 mass unit difference. The chlorine substituent position at C-2 was confirmed through key HMBC (Fig. 3) from H-4 to C-2 (δ_{C} 106.3), C-3 (δ_{C} 161.1), C-9a (δ_{C} 110.5) and C-10 (δ_{C} 44.7), and OH-1 to C-1 (δ_{C} 161.3), C-2 and C-9a. Compound **3** was thus characterized as a rare chlorinated anthrone-cyclopentenone heterodimer. The minimal optical rotation and weak Cotton effects indicated that compound **3** existed as enantiomers. Chiral HPLC separation of (\pm)-**3** yielded (+)-**3a** ($[\alpha]_{\text{D}}^{25} +23.3$) and (-)-**3b** ($[\alpha]_{\text{D}}^{25} -15.8$). The experimental ECD spectra of (+)-**3a** and (-)-**3b** exhibited patterns analogous to those of (+)-**1a** and (-)-**1b** (Fig. 7) confirming the absolute configurations of (+)-**3a** and (-)-**3b** as 10*S*,4'*S* and 10*R*,4'*R*, respectively (Fig. 2).

(\pm)-Penicithrone D (**4a/4b**) exhibited the same molecular formula of $\text{C}_{27}\text{H}_{27}\text{ClO}_7$ as **3**, as determined by HR-ESI-MS data at m/z $[\text{M} + \text{H}]^+ 499.1517$ (Calcd. for $\text{C}_{27}\text{H}_{28}\text{ClO}_7$ m/z 499.1518). The 1D and 2D NMR data (Tables 1 and 2) for **4** demonstrated similarity to those of **3**, suggesting that **4** represented a stereoisomer of **3**, which was subsequently confirmed by ^1H - ^1H COSY and HM-

**Fig. 3** Key ^1H - ^1H COSY and HMBC of compounds **1–4**.

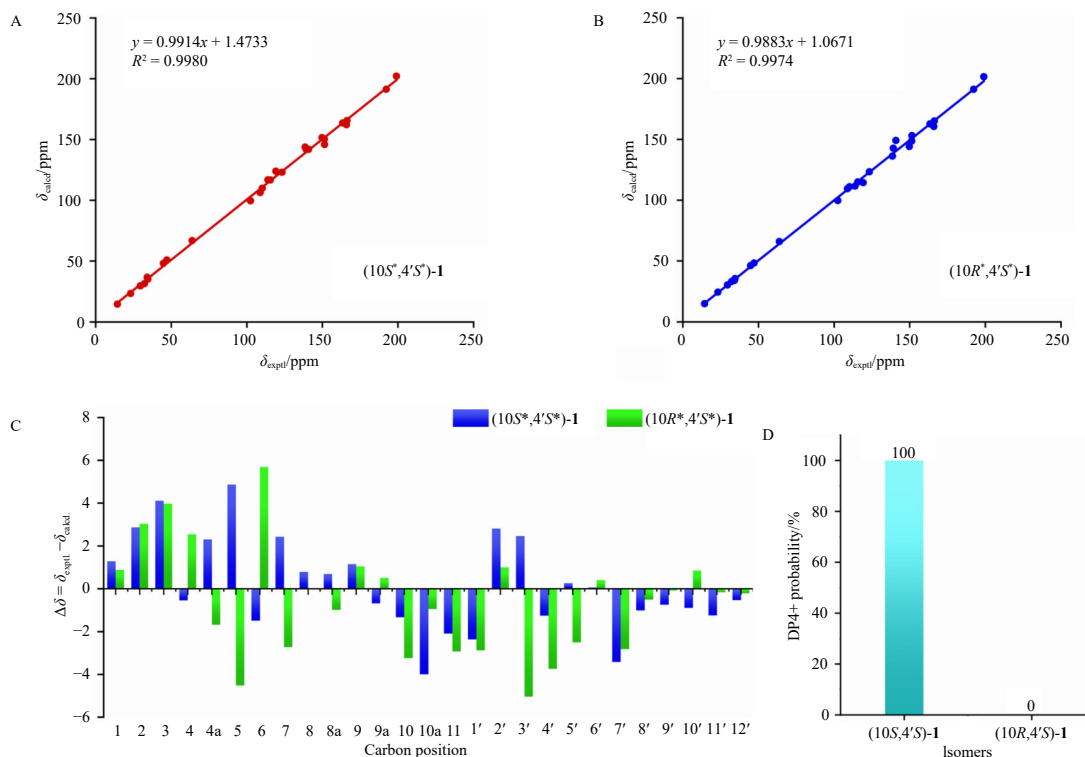


Fig. 4 The ^{13}C NMR calculation results of two plausible isomers of **1**. (A–B) Linear correlation plots of calculated vs experimental ^{13}C NMR chemical shifts. (C–D) Relative errors between the calculated ^{13}C NMR chemical shifts the recorded data and DP4+ probability analysis.

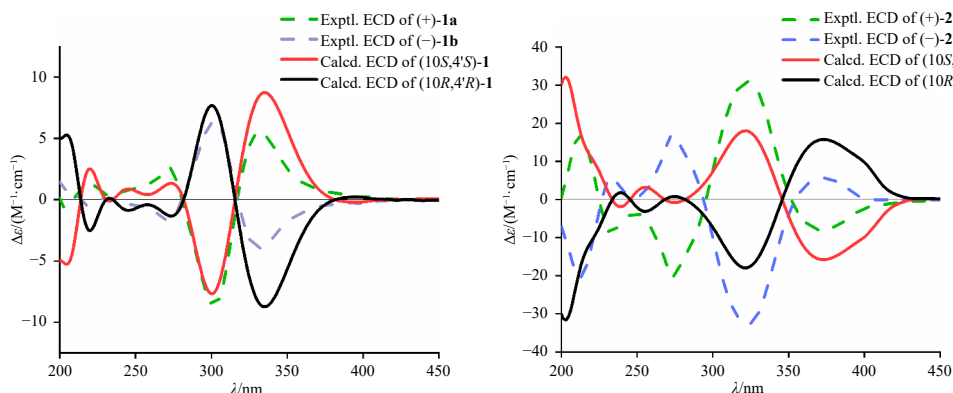


Fig. 5 Calculated and experimental ECD spectra of compounds **1a/1b** and **2a/2b**.

BC data (Fig. 3). Additionally, **4** underwent separation by chiral HPLC to produce two pure enantiomers (+)-**4a** $[\alpha]_{\text{D}}^{25} +91.9$) and (-)-**4b** $[\alpha]_{\text{D}}^{25} -81.1$). The absolute configurations of the enantiomers (+)-**4a** and (-)-**4b**, displaying mirror imagelike ECD curves, were determined as $10S,4'R$ and $10R,4'S$ through comparison with (+)-**2a** and (-)-**2b** (Fig. 7), respectively.

Moreover, based on comparative analysis of NMR data with literature reports, three additional known compounds were identified as citreosein (**5**)²⁸, 2-chloro-1,3,8-trihydroxy-6-(hydroxymethyl)anthracene-9,10-dione (**6**)²⁹ and endocrocin (**7**)³⁰, respectively.

Compounds **1a/1b–4a/4b** underwent evaluation for cytotoxic activity *in vitro* (Table 3) against human cancer lines HeLa, HCT116 and MCF-7. The compounds demonstrated cytotoxicity with half maximal inhibitory concentration (IC_{50}) values ranging from 15.95 ± 1.64 to $28.56 \pm 2.59 \mu\text{mol}\cdot\text{L}^{-1}$. No significant difference in activity was observed between enantiomers, and among the four pairs of enantiomers, compounds **3a/3b** and **4a/4b** displayed enhanced activities against cell lines with IC_{50} values ran-

ging from 15.95 ± 1.64 to $21.42 \pm 1.38 \mu\text{mol}\cdot\text{L}^{-1}$.

(±)-Penicithrones A–D (**1–4**) constitute the first examples of anthrone–cyclopentenone heterodimers possessing an unprecedented bridged 6/6/6–5 tetracyclic core skeleton, wherein the anthrone moiety connects to the cyclopentenone unit *via* a carbon–carbon bridge bond. In the biosynthetic pathway of anthrone dimers, C–C bond formation primarily occurs on the monomeric backbones through oxidative coupling reactions^{8,9,31}. Anthrone heterodimers remain exceptionally rare, with reported carbon skeletons limited to anthrone–cyclohexene⁶, anthrone–pyranoid diketopiperazine⁵, anthrone–anthraquinone and anthrone–*C*-glycosides^{32,33}. Notably, anthrone–cyclopentenone hybrid metabolites featuring the bridged 6/6/6–5 tetracyclic core skeleton have not been previously documented.

Biogenetically, compounds **1–4** are hypothesized to originate from two precursors: anthrone (**e** or **f**) and alkyl substituted cyclopentenone (**d**) through a Michael addition reaction (Scheme 1). Intermediate **a**, synthesized from acetyl-CoA and malonyl-CoA by HRPKS through various cyclization and oxida-

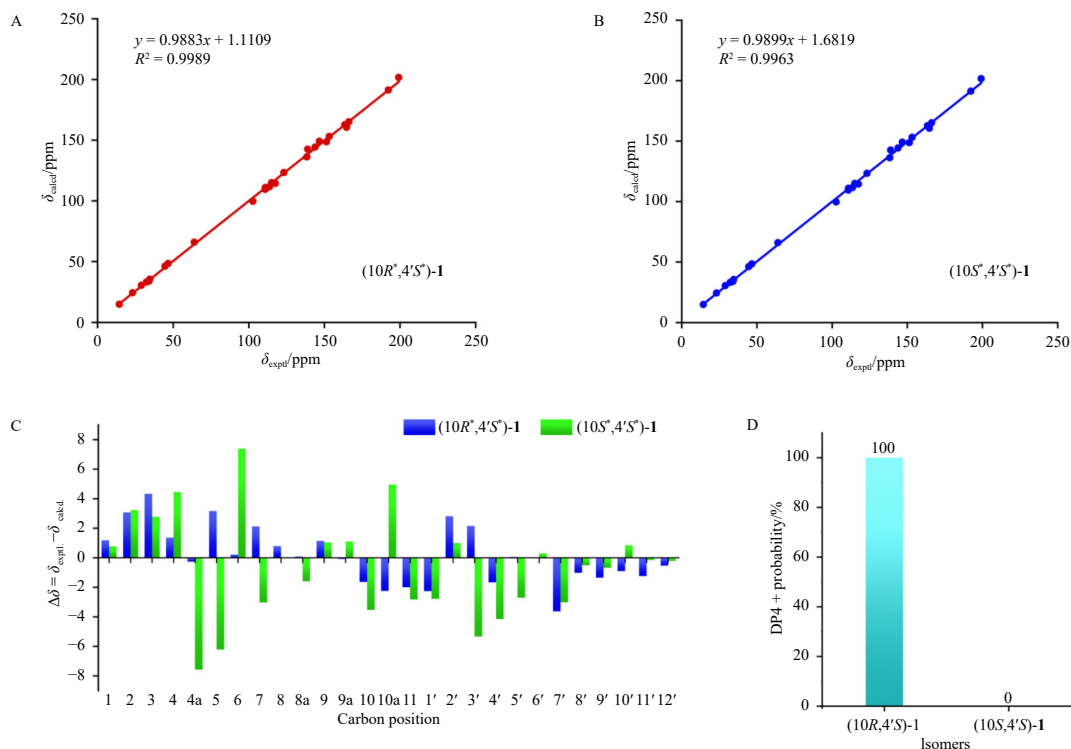


Fig. 6 The ¹³C NMR calculation results of two plausible isomers of 2. (A–B) Linear correlation plots of calculated vs. experimental ¹³C NMR chemical shifts. (C–D) Relative errors between the calculated ¹³C NMR chemical shifts the recorded data and DP4+ probability analysis.

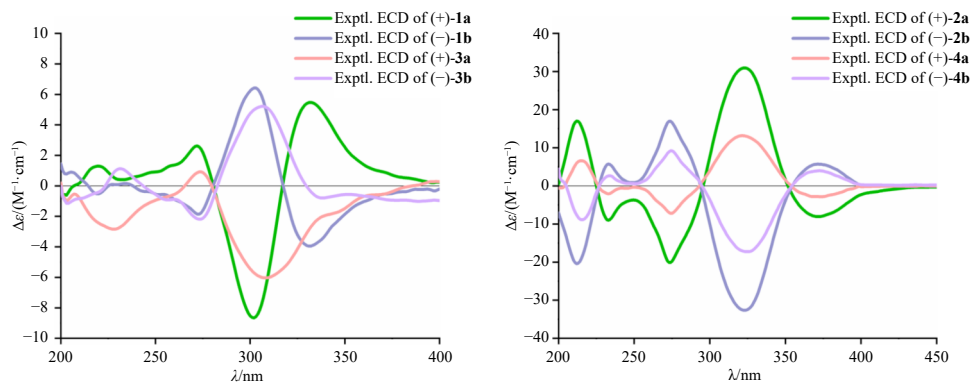


Fig. 7 Experimental ECD spectra of 1–4.

tion reactions, undergoes oxidation to form **b**, followed by rearrangement and ring contraction reactions to produce cyclopentenone (**d**). The anthrone pathway initiates with the synthesis of atrochryson thioester by NR-PKS and its conversion to atrochryson carboxylic acid by thioesterase, subsequently diverging into two pathways. In the first pathway, atrochryson carboxylic acid undergoes dehydration and oxidation to form **7**, which is decarboxylated to emodin, oxidized to **5**, and chlorinated by halogenase to yield **6**. In the second pathway, atrochryson carboxylic acid is decarboxylated to atrochryson, followed by dehydration, hydroxylation, and chlorination to form anthrones **e** and **f**. Subsequently, precursors **e** and **f** undergo a Michael addition reaction with precursor **d** to generate compounds **1–4**.

3. Conclusion

This investigation utilized HSQC-based SMART strategy and LC-MS/MS-based molecular networking to isolate four novel pairs of anthrone–cyclopentenone heterodimers (\pm)-penicithrones A–D (**1a/1b–4a/4b**) characterized by a distinctive bridged

6/6/6–5 tetracyclic core skeleton. The newly identified compounds demonstrated cytotoxicity with IC_{50} values ranging from 15.95 ± 1.64 to $28.56 \pm 2.59 \mu\text{mol}\cdot\text{L}^{-1}$. This integrated approach expedites the discovery of novel bioactive compounds while expanding the structural and biological diversity of anthrone heterodimers, presenting promising opportunities for chemical synthesis and medicinal applications.

4. Experimental

4.1. General experimental procedures

Optical rotations were measured on an Anton Paar MCP 200 automatic polarimeter (Anton Paar, Graz, Austria), and ultraviolet (UV) data were obtained on a Thermo Genesys-10S UV/Vis spectrophotometer (Thermo Fisher Scientific, Waltham, MA, USA). IR data were recorded using a Nicolet IS5 FT-IR spectrophotometer (Thermo Fisher Scientific, Waltham, MA, USA). The 1D/2D NMR spectra were collected from a Bruker Avance-500

Table 3 Cytotoxicity of compounds **1a**–**4b** (mean \pm SD, $n = 3$).

Compound	IC ₅₀ ($\mu\text{mol}\cdot\text{L}^{-1}$)		
	HeLa	HCT116	MCF-7
(+)- 1a	21.93 \pm 2.47	23.22 \pm 1.84	22.77 \pm 1.80
(-)- 1b	20.61 \pm 0.99	27.86 \pm 2.25	27.43 \pm 3.30
(+)- 2a	21.66 \pm 2.65	20.84 \pm 1.63	21.07 \pm 2.06
(-)- 2b	22.87 \pm 1.48	25.92 \pm 1.77	28.56 \pm 2.59
(+)- 3a	18.42 \pm 0.19	20.35 \pm 1.09	21.24 \pm 0.93
(-)- 3b	19.51 \pm 1.91	21.42 \pm 1.38	20.63 \pm 1.76
(+)- 4a	16.29 \pm 1.48	15.95 \pm 1.64	16.13 \pm 0.53
(-)- 4b	17.57 \pm 0.89	16.42 \pm 2.12	16.96 \pm 0.72
Cisplatin	8.26 \pm 1.83	9.41 \pm 1.85	9.00 \pm 1.94

spectrometer (Bruker, Bremen, Germany) using solvent signals (acetone- d_6 : δ_{H} 2.05/ δ_{C} 29.8, 206.1) as references. HR-ESI-MS data were obtained using an Agilent Accurate-Mass-Q-TOF LC/MS 6520 instrument (Agilent Technologies, Santa Clara, CA, USA). HPLC separations were performed on an Agilent 1260 instrument equipped with a variable-wavelength UV detector using a Fisher Wharton xbridge C₁₈ (10 mm \times 250 mm, 5 μm , 2 mL $\cdot\text{min}^{-1}$). Open CC was performed on silica gel (Qingdao Haiyang Chemical Co., Ltd., Qingdao, China), octadecylsilyl (ODS, 50 μm , YMC Co., Ltd., Japan) and Sephadex LH-20 (Amersham Biosciences, Uppsala, Sweden).

4.2. Fungal material and fermentation

The fungal strain *Penicillium* sp. (LA032) was isolated from the rhizospheric soil of a mangrove ecosystem collected from Sanya, China. The strain was identified through phylogenetic analysis based on ITS sequence (GenBank Accession No. OP804236) and morphological observation. The voucher specimen was deposited in the Institute of Microbiology, Chinese Academy of Sci-

ences, Beijing.

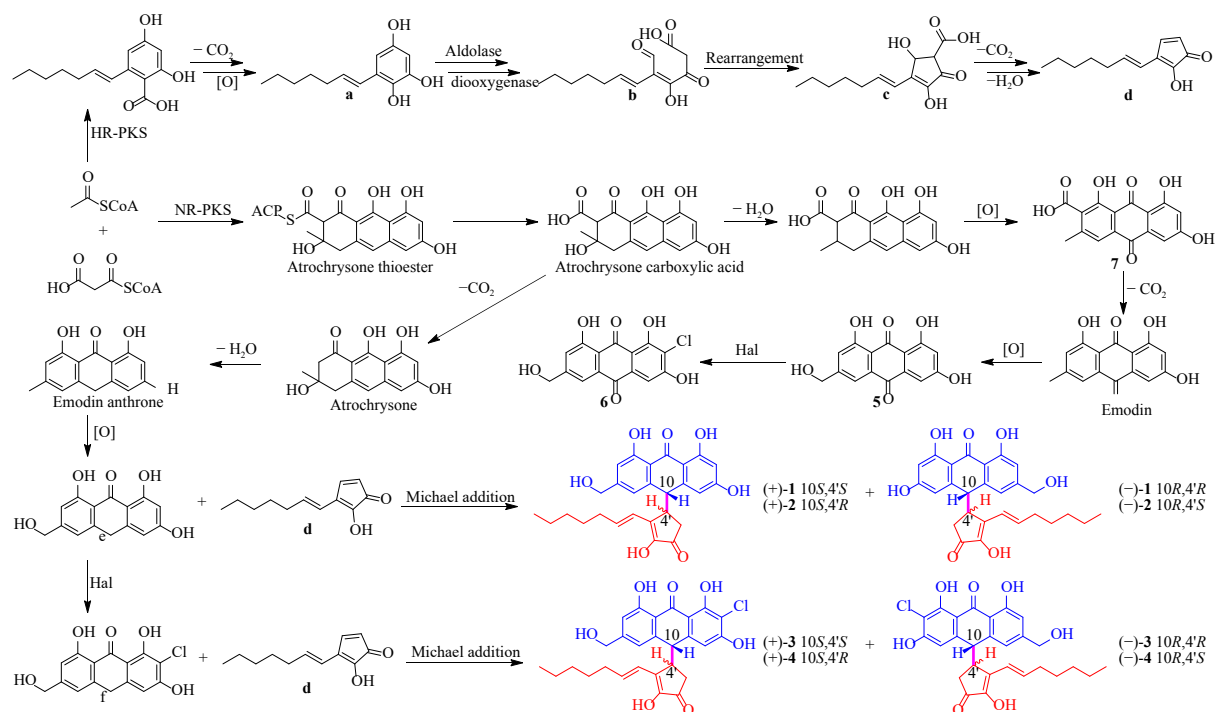
The strain *Penicillium* sp. (LA032) was cultivated on potato dextrose agar (PDA) at 25 $^{\circ}\text{C}$ for 7 d. Subsequently, small agar plug pieces were inoculated into 500 mL Fernbach flasks containing 150 mL of media (0.4% glucose, 1% malt extract, and 0.4% yeast extract) at room temperature on an orbital shaker at 150 r $\cdot\text{min}^{-1}$ for 4 d to obtain the seed culture. Static fermentation was conducted at 25 $^{\circ}\text{C}$ for 30 d in 30 \times 1 L Erlenmeyer flasks containing 200 g of rice and 200 mL of distilled water.

4.3. Extraction and SMART-guided isolation

The fermented rice material underwent repeated extraction with EtOAc (4 \times 5.0 L), and the organic solvent was evaporated to dryness, yielding the crude extract (51.0 g). The extract was fractionated through silica gel CC using petroleum ether (PE)/EtOAc gradient elution to produce eight fractions Frs. 1–8. Subsequently, small quantities of fractions (25 mg) were transferred into NMR tubes. HSQC experiments were performed through CSV data files uploaded to the SMART system (<http://smart.ucsd.edu/classic>). Analysis of Fr. 2 revealed that four of the top six compounds, ranked by cosine similarity score, were anthraquinones with aliphatic chain substituents (Fig. 1A). Based on the SMART positioning results, Fr. 2 demonstrated richness in anthraquinones featuring aliphatic chains and was therefore systematically investigated for its chemical constituents.

Fr. 2 (2.1 g) eluted with 30% EtOAc was separated by ODS CC (20%–100% MeOH/H₂O) to yield nine subfractions Fr. 2.1–Fr. 2.9. Fr. 2.3 (65.3 mg) eluted with 50% MeOH/H₂O was further purified by RP-HPLC (55% MeOH/H₂O for 20 min; 2.0 mL $\cdot\text{min}^{-1}$) to afford compounds **5** (5.2 mg, t_{R} 13.5 min) and **6** (1.0 mg, t_{R} 17.5 min). Fr. 2.5 (30.5 mg) eluted with 55% MeOH/H₂O was further purified by RP-HPLC (30%–85% MeOH/H₂O for 45 min; 2.0 mL $\cdot\text{min}^{-1}$) to give compound **7** (4.1 mg, t_{R} 23.4 min). Fr. 2.6 (23 mg) eluted with 70% MeOH/H₂O was further purified by RP-HPLC (75% MeOH/H₂O for 60 min; 2.0 mL $\cdot\text{min}^{-1}$) to yield compounds **1** (4.2 mg, t_{R} 41.0 min), **2** (3.1 mg, t_{R} 46.0 min), **3** (2.8 mg, t_{R} 52.0 min) and **4** (1.8 mg, t_{R} 54.0 min).

Compounds **1**–**4** were isolated as racemates. Compound **1**

**Scheme 1** Putative biosynthetic pathway of compounds (±)-**1**–(±)-**4** and **5**–**7**.

was separated using chiral HPLC column (Kromasil 5-CelluCoat column, 250 × 4.6 mm, 5 μm) with CH₃CN/H₂O (V/V, 35:65; flow rate: 0.5 mL·min⁻¹) as the mobile phase, yielding (+)-**1a** (0.8 mg, *t_R* 83.5 min) and (-)-**1b** (0.7 mg, *t_R* 84.8 min). Compound **2** was separated using chiral-phase HPLC column (Kromasil 5-CelluCoat column, 250 mm × 4.6 mm, 5 μm) with CH₃CN/H₂O (V/V, 50:50; flow rate: 0.5 mL·min⁻¹) as the mobile phase, yielding (+)-**2a** (0.9 mg, *t_R* 24.5 min) and (-)-**2b** (1.5 mg, *t_R* 22.0 min). Similarly, (+)-**3a** (0.5 mg, *t_R* 48.0 min) and (-)-**3b** (0.4 mg, *t_R* 46.5 min) were obtained using Kromasil 5-CelluCoat column (CH₃CN/H₂O, V/V, 40:60, flow rate 0.5 mL·min⁻¹). (+)-**4a** (1.1 mg, *t_R* 33.0 min) and (-)-**4b** (1.3 mg, *t_R* 30.0 min) were obtained using Kromasil 5-CelluCoat column (CH₃CN/H₂O, V/V, 50:50, flow rate 0.5 mL·min⁻¹).

(±)-**Penicithrone A (1)**: brown solid; UV (MeOH) λ_{max} (log ε) 210 (2.75), 300 (2.60) nm, 360 (2.41) nm; IR (neat) ν_{max} 3303, 2928, 1682, 1621, 1384, 1037, 847 cm⁻¹; ¹H NMR (500 MHz, acetone-*d*₆) and ¹³C NMR data (125 MHz, acetone-*d*₆), see **Tables 1 and 2**; HR-ESI-MS at *m/z* 465.1904 [M + H]⁺ (Calcd. for C₂₇H₂₉O₇ *m/z* 465.1908).

(+)-**1a**: [α]_D²⁵ +22.5 (c 0.04, MeOH); ECD (2.2 × 10⁻⁴ mol·L⁻¹, MeOH) λ_{max} (Δε) 272 (+2.71), 302 (-8.74).

(-)-**1b**: [α]_D²⁵ -10.0 (c 0.03, MeOH); ECD (2.2 × 10⁻⁴ mol·L⁻¹, MeOH) λ_{max} (Δε) 272 (-1.85), 302 (+6.42).

(±)-**Penicithrone B (2)**: brown solid; UV (MeOH) λ_{max} (log ε) 210 (2.76), 300 (2.59) nm, 360 (2.47) nm; IR (neat) ν_{max} 3301, 2928, 1689, 1621, 1481, 1025, 848 cm⁻¹; ¹H NMR (500 MHz, acetone-*d*₆) and ¹³C NMR data (125 MHz, acetone-*d*₆), see **Tables 1 and 2**; HR-ESI-MS at *m/z* 465.1913 [M + H]⁺ (Calcd. for C₂₇H₂₉O₇ *m/z* 465.1908).

(+)-**2a**: [α]_D²⁵ +88.9 (c 0.1, MeOH); ECD (2.2 × 10⁻⁴ mol·L⁻¹, MeOH) λ_{max} (Δε) 212 (+17.46), 233 (-9.38), 275 (-20.08), 318 (+29.94).

(-)-**2b**: [α]_D²⁵ -77.9 (c 0.1, MeOH); ECD (2.2 × 10⁻⁴ mol·L⁻¹, MeOH) λ_{max} (Δε) 212 (-20.79), 233 (+6.07), 275 (+16.94), 318 (-31.61).

(±)-**Penicithrone C (3)**: brown solid; UV (MeOH) λ_{max} (log ε) 210 (2.44), 300 (2.29), 360 (2.20) nm; IR (neat) ν_{max} 3352, 2928, 1690, 1612, 1397, 1024, 825 cm⁻¹; ¹H NMR (500 MHz, acetone-*d*₆) and ¹³C NMR data (125 MHz, acetone-*d*₆), see **Tables 1 and 2**; HR-ESI-MS at *m/z* 499.1522 [M + H]⁺ (Calcd. for C₂₇H₂₈ClO₇ *m/z* 499.1518).

(+)-**3a**: [α]_D²⁵ +23.3 (c 0.04, MeOH); ECD (3.7 × 10⁻⁴ mol·L⁻¹, MeOH) λ_{max} (Δε) 272 (+0.23), 302 (-1.43).

(-)-**3b**: [α]_D²⁵ -15.8 (c 0.03, MeOH); ECD (5.0 × 10⁻⁴ mol·L⁻¹, MeOH) λ_{max} (Δε) 272 (-2.19), 302 (+5.01).

(±)-**Penicithrone D (4)**: brown solid; UV (MeOH) λ_{max} (log ε) 210 (2.54), 300 (2.30), 360 (2.21) nm; IR (neat) ν_{max} 3341, 2928, 1690, 1613, 1396, 1025, 825 cm⁻¹; ¹H NMR (500 MHz, acetone-*d*₆) and ¹³C NMR data (125 MHz, acetone-*d*₆), see **Tables 1 and 2**; HR-ESI-MS at *m/z* 499.1517 [M + H]⁺ (Calcd. for C₂₇H₂₈ClO₇ *m/z* 499.1518).

(+)-**4a**: [α]_D²⁵ +91.9 (c 0.1, MeOH); ECD (1.0 × 10⁻⁴ mol·L⁻¹, MeOH) λ_{max} (Δε) 212 (+5.99), 233 (-2.09), 275 (-7.21), 318 (+12.86).

(-)-**4b**: [α]_D²⁵ -81.1 (c 0.1, MeOH); ECD (1.0 × 10⁻⁴ mol·L⁻¹, MeOH) λ_{max} (Δε) 212 (-7.68), 233 (+2.63), 275 (+9.20), 318 (-16.25).

4.4. LC-MS/MS and molecular networking analysis

LC-MS/MS analyses were conducted using an Agilent series 1260 Infinity HPLC instrument with a Fisher Wharton xbridge C₁₈ column (250 mm × 4.60 mm). The mobile phase comprised 0.1% formic acid in H₂O (A) and HPLC-grade MeCN (B). The elution gradient conditions for the LC mobile phase were as follows: 0–5 min, 90%–60% A; 5–20 min, 60%–45% A; 20–40 min, 45% A;

40–50 min, 45/5–0% A. The flow rate of the mobile phase was 1.0 mL·min⁻¹. A molecular network was generated on the GNPS (<http://gnps.ucsd.edu>) online workflow. The final results were visualized using Cytoscape 3.6.1.

4.5. NMR calculations

Boltzmann-population exceeding 1% (the relative energy within 3.0 kcal·mol⁻¹) were obtained. Subsequently, the conformers were re-optimized at the M062X/6-31G (d) level using Gaussian 09. Two possible isomers underwent ¹³C NMR chemical shift calculations using the GIAO method at the mPW1PW91/6-311 + G (d,p) level of theory for DP4+ calculations. The calculated chemical shifts (δ_{calcd}) for each compound were weighted according to the Boltzmann distributions over the conformers. The overall theoretical NMR data were analyzed using linear regression and DP4+ probability.

4.6. ECD calculations

Conformational analyses for four stable conformers were performed using Maestro 10.2 in the OPLS3 molecular mechanics force-field within an energy window of 3.0 kcal·mol⁻¹. All conformers were further optimized by the DFT methods at the B3LYP/6-31G (d) level in the Gaussian 09 software package, respectively. The TD-DFT methods at the B3LYP/6-31G (d) were applied to calculate the 60 lowest electronic transitions, which obtained conformers in vacuum, respectively. The Gaussian function was applied to simulate the ECD spectrum of the conformers. The calculated ECD spectra were obtained according to the Boltzmann weighting of each conformer's ECD spectrum in MeOH solution.

4.7. Cytotoxic assay

Cytotoxic assay was conducted according to previously established protocols²⁵. The human cervical cancer cells HeLa (ATCC CCL-2), human colorectal carcinoma cells HCT116 (ATCC CCL-247), and human breast cancer cell line MCF-7 (ATCC HTB-22) were obtained from ATCC. Cells were plated in a 96-well plate and incubated for 12 h. Following incubation, the medium was removed, and each well received 100 μL of medium containing 0.1% DMSO or appropriate concentrations of the test compounds. DMSO served as the negative control. Cisplatin (Lot: K2314537; ≥ 99.5%) was utilized as the positive control. After 48 h incubation at 37 °C, cell proliferation was evaluated by adding 20 μL of MTS reagent to each well, followed by an additional 90 min incubation at 37 °C. The absorbance was measured at 490 nm, with all conditions tested in triplicate.

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Supplementary materials

Experimental details and spectroscopic data of **1a/1b-4a/4b** were provided. Supplementary material related to this article can be requested by sending E-mail to the corresponding author.

Declaration of competing interest

These authors have no conflict of interest to declare.

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